



Consolidated Annual Performance and Evaluation Report 2013

New Mexico Mortgage Finance Authority





New Mexico Mortgage Finance Authority

344 4th Street SW

Albuquerque, New Mexico 87102

505.843.6880

www.housingnm.org

Consolidated Annual Performance and Evaluation Report 2013

Including the
HOME Investment Partnership Program
Emergency Solutions Grant and
Housing Opportunities for Persons with AIDS

January 1, 2013 – December 31, 2013



New Mexico Mortgage Finance Authority

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Authority at:

Phone: 505-843-6880

In State Toll Free: 1-800-444-6880

Fax: 505-243-3289

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Part 1: Introduction and Citizen Participation

Introduction

Purpose

The 2013 Consolidated Annual Performance and Evaluation Report (2013 CAPER) describes the performance of the **New Mexico Mortgage Finance Authority (MFA)** in its administration of the HOME Investment Partnership (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons With AIDS (HOPWA) programs to non-entitlement communities or cities that do not receive direct block grants from the US Department of Housing and Urban Development (HUD). *The Department of Finance and Administration, Local Government Division (DFA) administers the Small Cities Community Development Block Grant (CDBG) Program, and will provide its own separate CAPER.*

In addition, this report also contains information regarding the Weatherization Assistance Program (WAP), funded through the Department of Energy. MFA uses the HUD funding in concert with other programs and sources of funding, so this report also includes an overview of those other resources. This 2013 CAPER is MFA's evaluation of accomplishments in relation to the affordable housing and community development objectives provided in the *FY2011 – 2015 State of New Mexico Consolidated Plan* and the *FY 2013 Action Plan*, and reviews the activities, actions and resources MFA planned for and used during the year January 1, 2013 through December 31, 2013.

The purpose of the CAPER is to:

1. **Show** the MFA's five-year priorities and strategies for housing, homelessness, community development and economic development;
2. **Summarize** the actions taken during the 2013 program year to meet the five-year strategies;
3. **Report** how funds received from these programs were used to meet the identified priorities;
4. **Describe** the MFA's method for distributing HOME, ESG, and HOPWA funds. Activities funded from these programs must meet the priorities identified in the *Consolidated Plan (Plan)*;
5. **List** the resources that may be used by grantees and MFA to further the five-year strategies;
6. **Report** actions taken by the MFA that are required by HUD, such as lead-based paint and removal of barriers to affordable housing; and
7. **Review** citizen comments about the CAPER and provide a response.

The 2013 CAPER

The 2013 CAPER is the fourth of five annual performance evaluation reports. Each report describes the one-year actions and funding priorities used to carry out the five-year 2011 – 2015 Consolidated Plan, a comprehensive planning document identifying the State's housing development, economic development and community development needs. This report describes the performance of MFA in its administration of the HOME, ESG and HOPWA programs to non-entitlement communities, i.e., cities that do not receive direct block grants from HUD. *The Department of Finance and Administration, Local Government Division (DFA) distributes the Community Development Block Grant (CDBG) Program, and will provide its own CAPER.* The period covered in all parts of this report is January 1, 2013, through December 31, 2013.

Specifically, the purpose of this CAPER is to demonstrate how activities undertaken during the 2013 program year address pertinent strategies and priorities identified in the Plan. Part Two of the CAPER gives the major priorities of the State from the Plan and the strategies that were set in that Plan. These sections go into more detail by stating the objectives and performance measurements that were set for each strategy for the 2013 program year. A progress report summarizes the activities that were taken during the 2013 program year so that citizens reviewing the report are able to assess the progress that has been made towards meeting the 5-year priorities and strategies that were established in the Plan.

Strategies do not describe every activity to be undertaken by the State. Instead, the strategies provide direction in the form of a priority need with objectives, performance measures, and completion dates. Objectives serve as examples of the activities to be initiated during those five years. Strategies and objectives may be added, deleted, or modified in subsequent annual action plans.

MFA's Consolidated Planning Process

In summary, MFA's Consolidated Planning Process serves the following functions:

- Provide a 5 year planning document for the State which builds on a comprehensive consultation and citizen participation process;
- Serve as an application for federal funds under HUD's formula grant programs;
- Provide strategies for housing, homelessness, community and economic development;
- Develop an action plan that provides a basis for measuring and assessing performance; and
- Provide annual performance and evaluation reports.

The consolidated planning process is an opportunity for strategic planning and citizen participation to take place in a comprehensive context. The process brings local governments, community organizations, State and federal agencies, service providers, and citizens together to address the larger picture in which the programs operate. It also offers the State an opportunity to shape the various programs into an efficient continuum of service delivery. Strategies and recommendations were developed with public input and consultation from advisory groups, local community leaders, concerned citizens, non-profit organizations, advocacy groups, the private sector and representatives of State and federal agencies. HUD specifies a number of elements for a State Consolidated Plan including:

- An assessment of housing, homeless, community and economic development needs for the ensuing five-year period;
- An analysis of the State's housing market;
- A discussion of the State's priority needs, strategies, and objectives for housing and community development activities;
- An action plan that describes the State's method for distributing funds to carry out activities using funds that are expected to be received under formula allocations and other HUD assistance during the program year and;
- Performance measures to assure that the delivery of these needed housing and community development products and services are provided.

Citizen Participation

Opportunities for Public Participation

Public participation with the 2013 Consolidated Annual Performance Evaluation Report (CAPER) (including review by the advisory groups) is important to the State. Citizens and other interested parties are given access to information and records relating to the CAPER and programs it covers. Copies of Annual Performance Evaluation Reports, Annual Action Plans, the Consolidated Plan and any other relevant information are all available by contacting anyone within the Community Development Department at MFA and are also on MFA's web-site at: <http://www.housingnm.org/consolidated-plan>, <http://www.housingnm.org/action-plan>, or <http://www.housingnm.org/consolidated-annual-performance-and-evaluation-report-caper>

Title II of the Americans with Disabilities Act and Section 504 of the Rehabilitation Act prohibits discrimination on the basis of disability in the programs of a public agency. Persons who need the information contained in this publication in an alternate format may call Debbie Davis at the MFA, 505-767-2221, or in-State toll free at 800-444-6880. Si necesita ayuda en español para entender este documento, puede solicitarla sin costo adicional, llamando al número 505-767-2221.

Comment Period

MFA invites public comments on all planning and reporting activities. To view the specific public comment periods and requirements, please visit our website at www.housingnm.org under Library. For the Program Year 2013 CAPER, the public comment period ran from March 3 through March 17, 2014. A draft of the 2013 CAPER was made available on MFA's web page on March 3, 2013. Printed copies of the CAPER were available at MFA's offices at 344 4th St. SW, Albuquerque, New Mexico, 87102.

Public Notices and Press Coverage

- Public notices, in English and Spanish, announcing the public comment period for the CAPER were placed in the Albuquerque, Clayton, Clovis, Deming, Farmington, Las Cruces, Roswell, and Santa Fe newspapers.
- The Draft CAPER was posted for public comment on March 3, 2014 on MFA's website at: <http://www.housingnm.org/consolidated-plan>. The availability of the Draft CAPER on the web was e-mailed to MFA's electronic distribution lists.

See **Attachment A: Public Notices**

Advisory Group

The official advisory group to MFA on the 2013 CAPER is the MFA Housing Advisory Committee. A copy of the draft 2013 CAPER was sent via e-mail to the Committee on March 3, 2014.

PUBLIC COMMENTS

As of March 17, 2014, no Comments were received.

Criteria for Substantial Change

MFA reserves the right to make non-substantive changes to the Consolidated Plan (including annual action plans and performance evaluation reports) without opening a public comment period. An amendment to the Consolidated Plan is required whenever the jurisdiction determines to:

- Substantially change the allocation priorities or its method of distributing HUD formula grant funds;
- Utilize formula grant funds (including program income) to carry out an activity not previously described in the action plan; or
- Change the purpose, scope, location or beneficiaries of an activity.

Part 2: Self Evaluation and Housing Strategies

Overall Programmatic Self-Evaluation

In order to best evaluate each program's overall performance during the past year, we reviewed the prior year's activities for each program to determine:

- That funds were expended in a timely manner;
- Barriers that have a negative impact on fulfilling the strategies for specific program goals;
- Whether the activities and strategies are making an impact on identified needs; and
- Overall accomplishments and ways each program could change their outreach and distribution plans for the next year.

The fourth year in the Consolidated Plan process was 2013. The process assists us to identify the affordable housing and community development needs of New Mexico.

Expenditure Issues

All program representatives reported that expenditures were being made in a timely manner. On occasion, however, some programs face unique problems that make prompt expenditures difficult. During the period January 1, 2013 to December 31, 2013, more than \$10.506 million of HOME funds were expended on HOME-eligible activities. According to HUD progress reports as of December 31, 2013, MFA's overall national ranking is 10th (2012 ranking as of 12/31/12 was 21, 2011 ranking as of 12/31/11 was 20, 12/31/10 was 18, 12/31/09 was 21) of the 51 State Participating Jurisdictions. MFA's rankings are higher and are above average as compared to other State Participating Jurisdictions in several categories. MFA's Community Development and Accounting Departments have worked diligently toward the goal of ensuring that HUD's Integrated Disbursement and Information System (IDIS) system is up to date with regard to MFA-funded projects. The 12/31/13 Snapshot report rankings are as follows:

Ranking Criteria	MFA %	National %	National Ranking
Funds Committed	96.69	93.01	5
Funds Disbursed	94.02	89.39	5
Leveraging Ratio for Rental	9.95	4.81	5
Disbursements (Rental)	100	96.7	1
CHDO Disbursements	89.21	87.32	29
Serving Renters <50% AMI	88.51	80.7	16
Serving Renters <30% AMI	41.82	37.73	24
Rental Occupancy Rate	99.9	99.38	30

Each project funded with HOME funds has the potential of experiencing delays once an allocation of funds has been awarded. Fortunately, most recipients understand the necessity of committing and expending their HOME allocation within the designated timeframes. As a result, most projects are able to adhere to the one year time frame for committing their HOME award, as well as the two-year time frame for project completion. One project awarded HOME funds during this time frame failed to complete work in a timely manner, and \$500 in HOME funds was recaptured by HUD.

Barriers to Affordable Housing and Impact Issues

The biggest barrier to affordable housing is the lack of affordable housing. In the rural communities, a significant barrier along with supply is adequate funding agencies willing to assist eligible applicants. During 2013, MFA financed the construction, acquisition and/or renovation or provided rental assistance for 290 housing units, with 23 of them designated as HOME units. In addition, MFA assisted non-profit housing providers with training targeted to increasing their capacity to develop new housing units. For many low income individuals and families a barrier to homeownership is having the knowledge and information needed to obtain a mortgage and local banks available to provide program funding.

In March of 2012, MFA mandated that all MFA borrowers would be required to take pre-purchase homebuyer counseling. That pre-purchase counseling could be either:

- Face to face pre-purchase housing counseling provided in either a classroom or one-on-one setting by a HUD approved counseling agency, or
- Through the eHome America on-line housing counseling program.

All loans reserved after Monday, March 5, 2012, were required to receive pre-purchase homebuyer counseling from either of the two approved sources. The only exceptions were those HERO loan homebuyers who were not first time homebuyers.

In 2013, MFA purchased **1,140 first mortgages and provided down payment assistance to 1,083 homebuyers** which allowed them to purchase a home that they otherwise would not have been able to acquire.

Strategic Goals of the New Mexico Consolidated Plan

The following list presents the overriding strategies and goals of the New Mexico Five-Year Consolidated Plan for Housing and Community Development, including selected performance criteria associated with each strategy and goal. Furthermore, there may be a need to direct such housing resources by use of project selection criteria, which may be updated annually, based upon year-to-year need and local circumstances.

The strategies the State will pursue over the next five years are as follows:

- 1. Expand the supply of quality affordable housing**
 - a. Enhance homeowner new construction
 - b. Provide multifamily rental new construction
 - c. Finance multifamily acquisition and rehabilitation
- 2. Increase opportunities for homeownership**
 - a. Provide financial assistance to prospective homeowners
 - b. Assist with single-family acquisition/rehabilitation

- 3. Preserve the State's existing affordable housing stock**
 - a. Provide resources for owner-occupied homeowner housing rehabilitation
 - b. Finance multifamily rehabilitation
- 4. Provide housing for special needs populations, including persons with HIV/AIDS**
 - a. Encourage the development of special needs housing with services
 - b. Expand housing opportunities and access for special needs populations
 - c. Fund non-profit entities providing housing and related services for persons living with HIV/AIDS
- 5. Reduce the incidence of homelessness**
 - a. Increase the number of available living environments, primarily transitional and permanent housing situations
 - b. Increase the level of services provided to the homeless and persons at risk of homelessness
- 6. Enhance the quality of New Mexico's infrastructure and public facilities**
 - a. Fund improvements to non-CDBG entitlement area water/wastewater systems and streets
 - b. Fund infrastructure improvements in the colonias
 - c. Enhance the accessibility and usefulness of public facilities
 - d. Encourage planning that includes comprehensive planning, asset management, preliminary engineering reports, and any other studies or plans listed in rules and regulations
 - e. Encourage more housing related projects
 - f. Encourage more economic development projects

Strategy 6 above will be discussed in the Department of Finance and Administration, Local Government Division CAPER, provided by them directly. MFA will address Strategies 1 – 5.

Overall Evaluation

The overall accomplishments of each block grant program are detailed in the individual program reports in this CAPER. The overall goal of each program – to provide safe, decent and affordable housing to low- and very low-income New Mexicans – has been met or surpassed by each program. In 2013, MFA continued the Strategic Plan process begun in 2010. The Goals and Objectives set forth in the Consolidated Plan relating to housing were incorporated into MFA's Strategic Plan. The Strategic Plan has set the standard for MFA's operations, and is reviewed on a monthly, quarterly and annual basis by MFA's Leadership Team and the Board of Directors. The Strategic Plan timeframe coincides with MFA's fiscal year, or October 1 through September 30. **Table 1**, below, gives a recap of MFA's progress toward reaching identified 2013 Housing and Community Development Plan Strategies.

Each program is working toward achieving all of the goals and objectives of the Consolidated Plan. The programs appear to be distributing funds and completing projects in a timely manner. Problems that may arise are dealt with swiftly. Programs are constantly re-evaluated to make sure they are run in the most efficient manner possible to serve the greatest number of New Mexicans with the most serious housing needs. All programs work with other entities (including citizens, local housing providers, units of local government and the private sector) to maximize accessibility. Lessons learned on a daily,

monthly and yearly basis will continue to be used and incorporated into the overall planning process and implementation of the State's affordable housing programs to benefit all citizens of New Mexico.

Progress toward reaching identified 2013 Housing and Community Development Plan Strategies

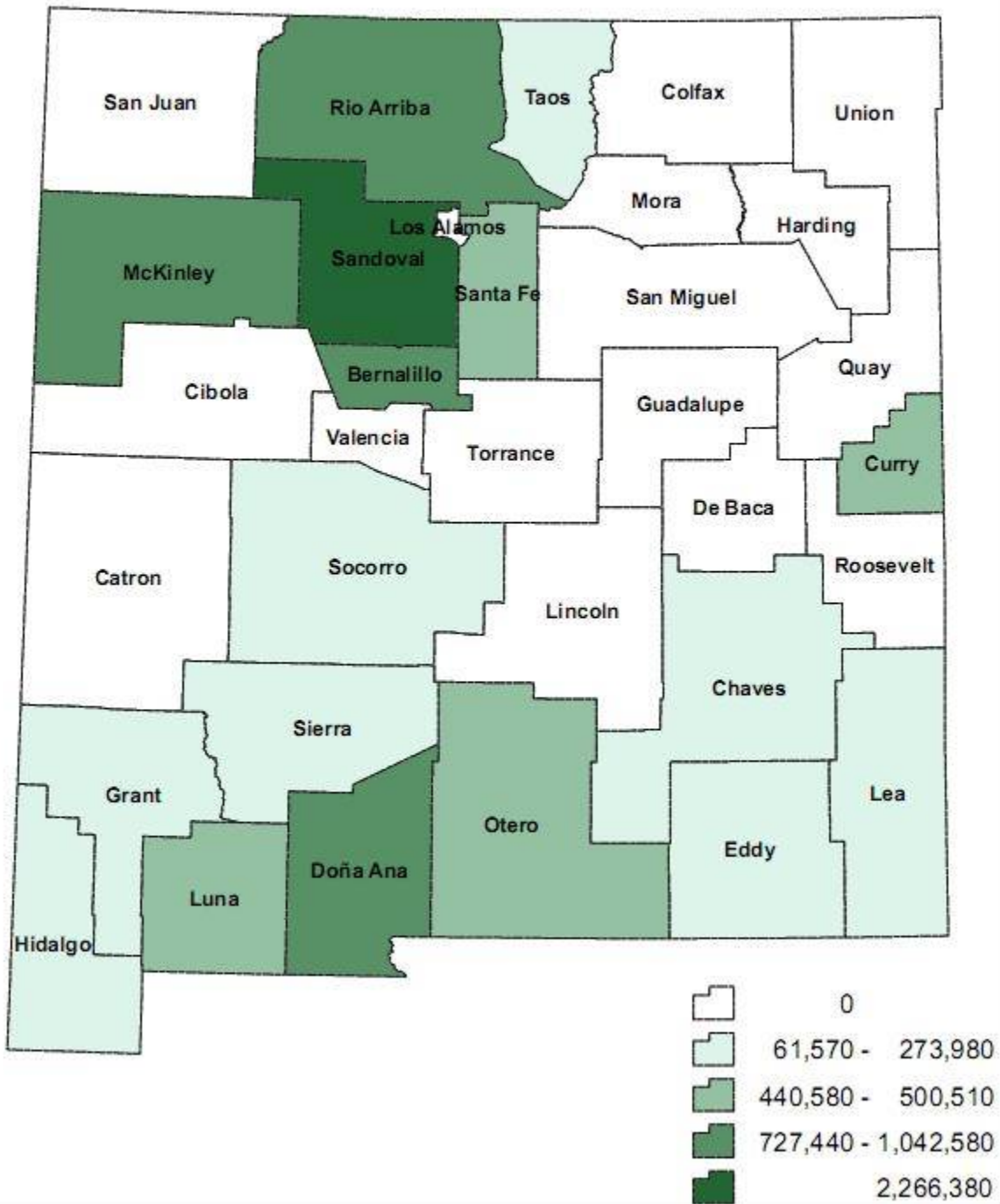
Consolidated Plan FY 2011-2015 Strategies	2013 MFA Goals and Performance Measurement Criteria	Actual Expenditure	Actual Units	Estimated Units	MFA Strategic Plan Goal/Objective
Strategy 1: Expand the supply of quality affordable housing					
Provide Multi-Family Rental Housing New Construction	LIHTC (4% & 9%)	\$51,968,220.00	1,242	500	3/1 & 3/2
	HOME Rental Development	\$804,819.02*	290		
	Risk Sharing	\$770,000.00	60		*Total units developed
Enhance Homeowner New Construction	First mortgage financing	\$140,838,537.46	1,140	1,050	
	Down Payment Assistance	\$5,512,467.06	1,040	0	2/1 & 2/2
	HOME DPA	\$310,326.20	35		
Strategy 2: Increase Opportunities for Homeownership					
Provide Financial Assistance to First-Time Homebuyers	Homebuyer Counseling	\$46,133.66	1099/35	880/35	2/4 & 2/5
	Loss Mitigation Counseling		85	200	2/3 & 2/4
	AG "Keep Your Home New Mexico"	\$761,397.05	485		
	See DPA information above				
Assist with Single-Family Acquisition and Rehabilitation	HOME HOR	\$4,981,873.15	75		2/6
	NM Energy\$mart	\$4,865,621.26	578		507 units estimated for
	NSP Acquisition/Rehab	\$662,558.00	5	4	HOME & Energy\$mart
Strategy 3: Preserve the State’s Affordable Housing Stock					
Conduct Owner-Occupied Homeowner Housing Rehab:	See HOME HOR information above				2/6
Implement Rental Rehabilitation:	See LIHTC, HOME Rental and Risk Sharing information above				
	Section 8 Project Based Assistance	\$26,957,759.00	5,297		3/1 & 3/2
Strategy 4: Provide Housing for Special Needs Populations,Including HIV/AIDS					
Encourage the development of special needs housing with services:	RAP	\$531,304.22	820	700	
	COC Match	\$280,429.02		100	3/3
	EHAP	\$1,114,929.00	2,017	8,000	
Expand housing opportunities and access for special needs populations:	Linkages	\$531,648.00	40	30	3/3
Fund entities providing housing and related services for persons with special needs, including HIV-positive persons and persons living with AIDS.	HOPWA	\$627,840.00	485	350	3/3
Strategy 5: Reduce the Incidence of Homelessness					
Increase the level of services provided to people experiencing homelessness:	See RAP, COC Match, EHAP, Linkages and HOPWA information above				3/3

HOME 2013 COMMITMENTS BY COUNTY

County	Total Of Committed	CHDO OPERATING	SINGLE FAMILY DEVELOPMENT	DOWN PAYMENT ASSISTANCE	ADMIN	HOMEOWNER REHAB	PROGRAM INCOME	RENTAL
Bernalillo	886,061.24	52,672.97		81,775.00	267,044.50	296,171.13	188,397.64	
Chaves	79,177.47					79,177.47		
Curry	450,000.00							450,000.00
Dona Ana	963,631.67		300.00	110,560.60	15,395.26	665,646.38	171,729.43	
Eddy	171,100.65		10,000.00			144,578.80	16,521.85	
Grant	80,700.00					27,577.63	53,122.37	
Hidalgo	156,032.65					156,032.65		
Lea	91,685.94		15,000.00		2,087.94	36,239.14	38,358.86	
Luna	489,796.33			8,350.00	2,739.71	450,503.48	28,203.14	
McKinley	1,042,577.93	42,577.93						1,000,000.00
Otero	500,505.94			27,556.60	15,984.90	405,188.86	51,775.58	
Rio Arriba	727,450.00					672,608.00	54,842.00	
Sandoval	2,266,380.31		156,898.12	56,425.00	2,400.00	1,171,290.03	429,367.16	450,000.00
Santa Fe	440,586.98	84,646.35	179,994.00		2,368.29	131,350.76	42,227.58	
Sierra	61,572.45					61,572.45		
Socorro	80,853.06				2,717.93	21,730.50	56,404.63	
Taos	273,981.28					207,312.13	66,669.15	
	8,762,093.90	179,897.25	362,192.12	284,667.20	310,738.53	4,526,979.41	1,197,619.39	1,900,000.00

Administrative funds in 2013 were either MFA or Home Owner Rehab

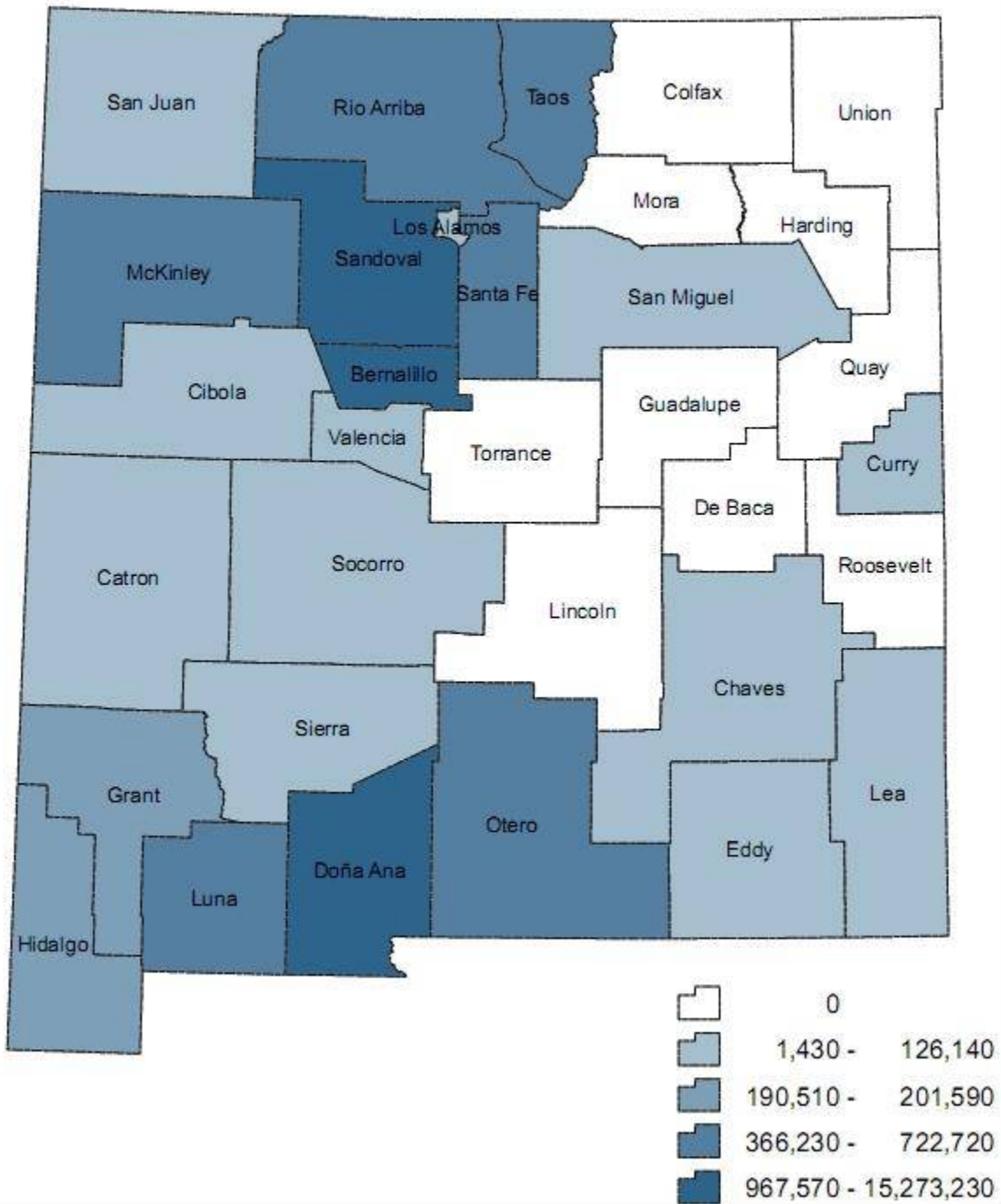
2013 HOME Funds Committed by County



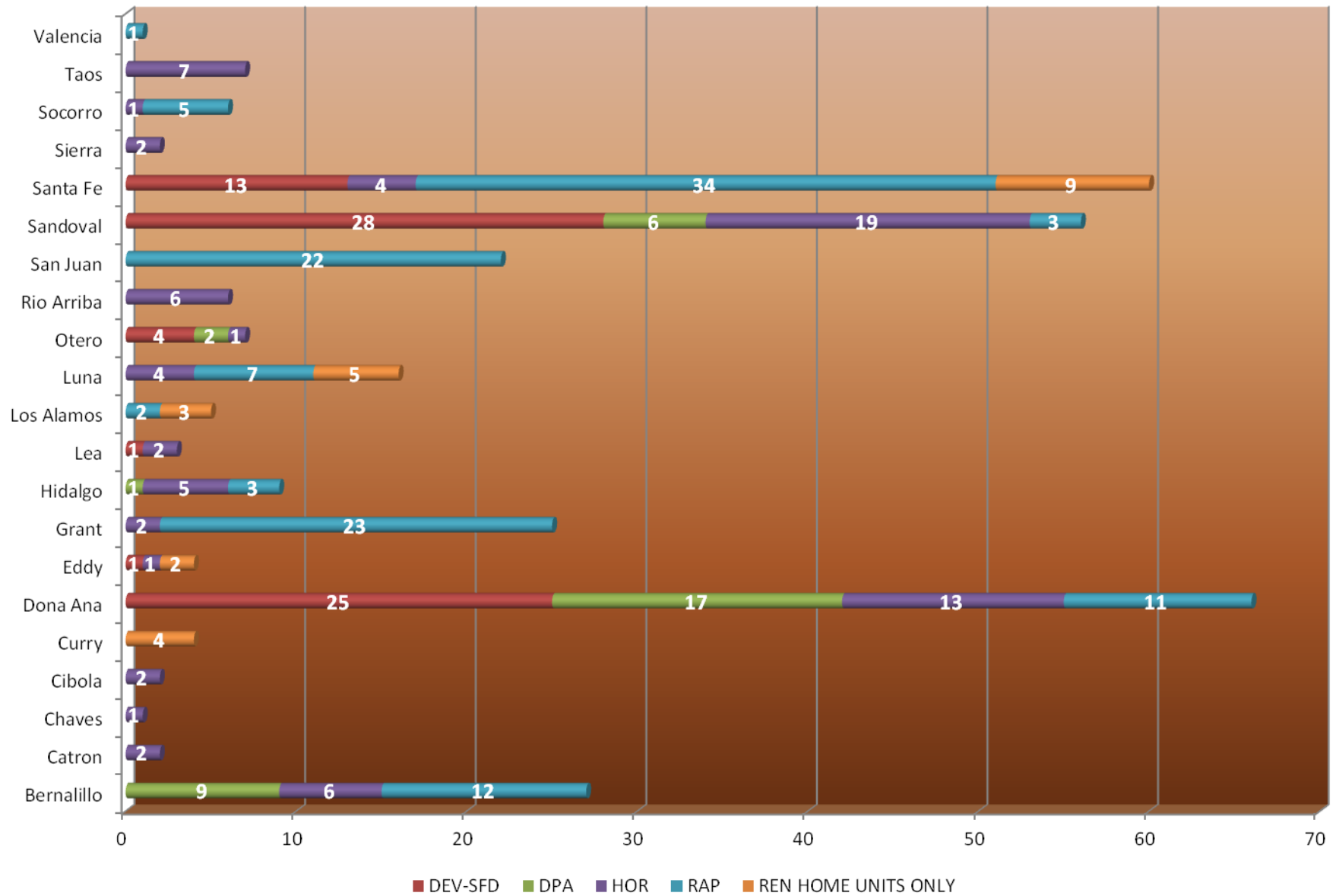
HOME Expenditures 2013

County	Total Expended	COP	SFD	DPA	MFA ADM	HOR	HOR ADM	RAP	RAP ADM	REN
Bernalillo	1,017,246.43	52,672.94		90,575.00	372,397.68	421,666.23	24,503.11	50,095.01	5,336.46	
Catron	36,844.59					36,474.77	369.82			
Chaves	81,880.75					78,177.47	3,703.28			
Cibola	105,808.17					105,808.17				
Curry	49,999.90									49,999.90
Dona Ana	967,571.57			134,951.60		780,262.55	15,976.92	36,380.50		
Eddy	126,136.39		10,000.00			116,136.39				
Grant	190,513.27					160,000.00	5,600.00	23,092.97	1,820.30	
Hidalgo	201,582.15					199,872.15		1,710.00		
Lea	103,746.87		15,000.00			60,785.42	2,961.45			25,000.00
Los Alamos	85,635.00							10,635.00		75,000.00
Luna	503,596.73					410,961.08	28,768.65	3,867.00		60,000.00
McKinley	395,982.82	15,982.82								380,000.00
Otero	366,237.31	35,662.34	152,575.92	12,874.60		156,522.71	8,601.74			
Rio Arriba	510,107.51					472,171.51	16,526.00	21,410.00		
San Juan	30,321.90							28,878.00	1,443.90	
Sandoval	1,527,322.13		224,000.00	71,925.00		1,186,117.62	29,172.51	16,107.00		
San Miguel	69,819.12									69,819.12
Santa Fe	722,717.99	76,239.31	244,991.00			258,990.72	16,362.64	4,316.26	1,818.06	120,000.00
Sierra	73,907.96					73,907.96				
Socorro	86,548.47					77,537.00	2,713.79	6,297.68		
Taos	417,207.70					386,481.40	5,726.30			25,000.00
Valencia	1,439.00							1,439.00		
	7,672,173.73	180,557.41	646,566.92	310,326.20	372,397.68	4,981,873.15	160,986.21	204,228.42	10,418.72	804,819.02

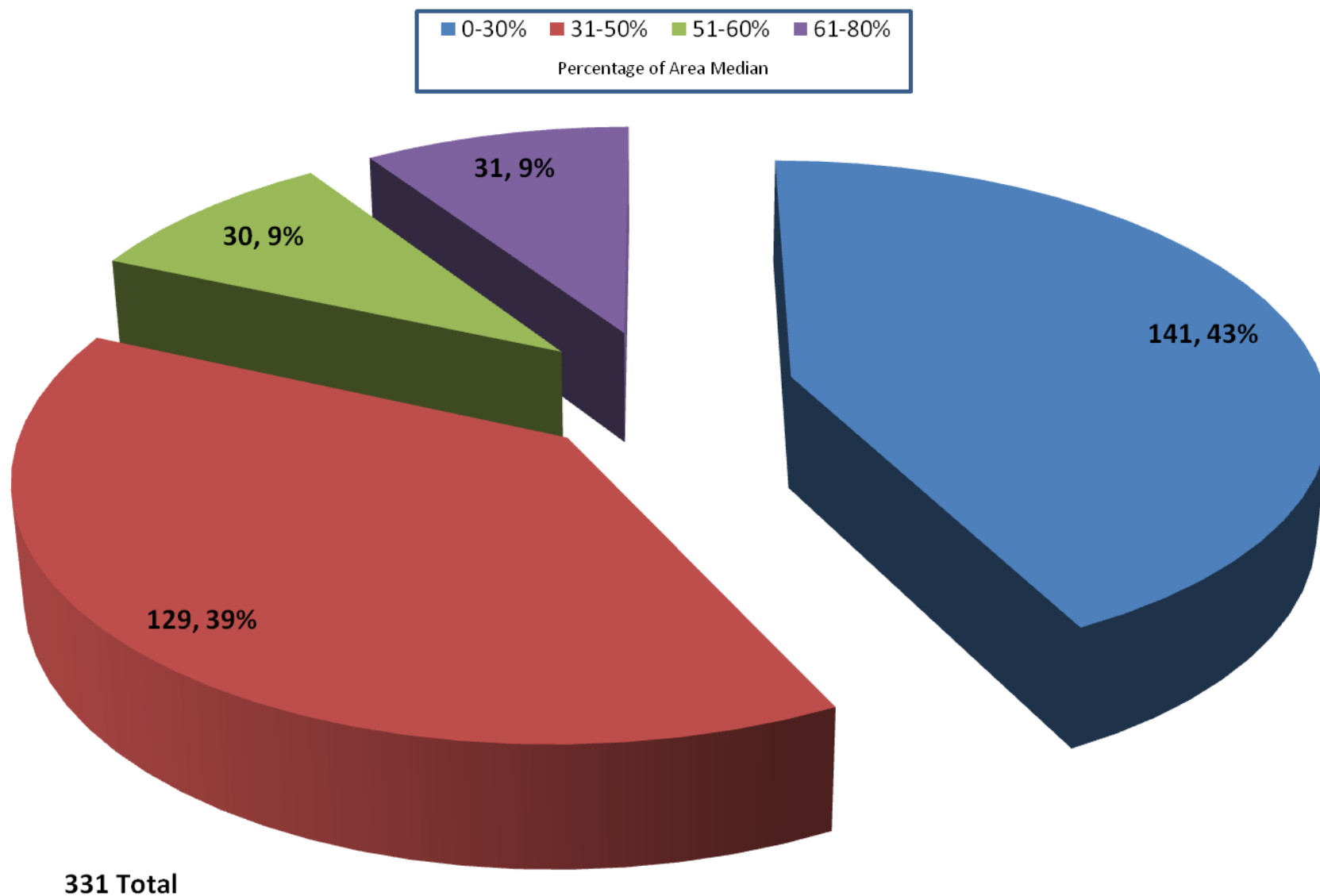
2013 HOME Funds Expended by County



2013 HOME Completed Units by Activity

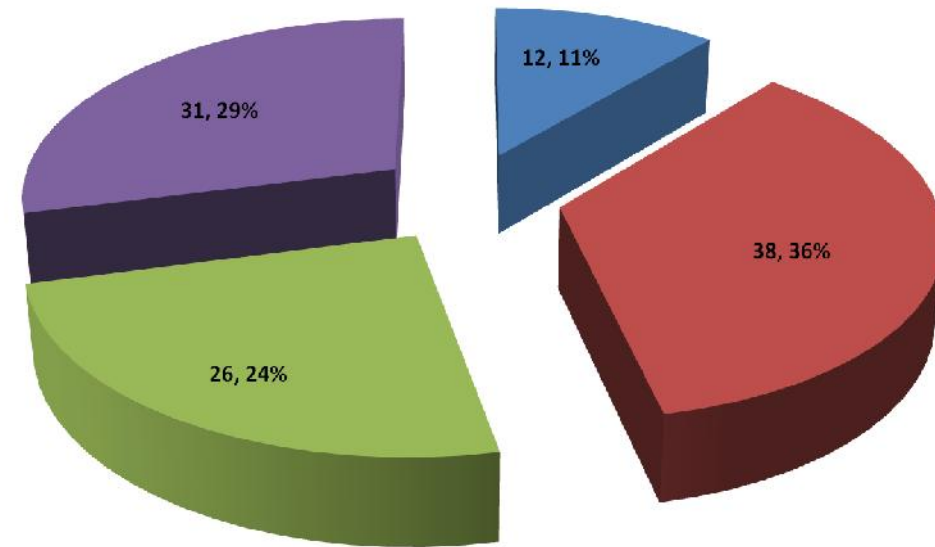


2013 HOME Units/Households Assisted by Income



2013 HOME Owner Units/Households by Income

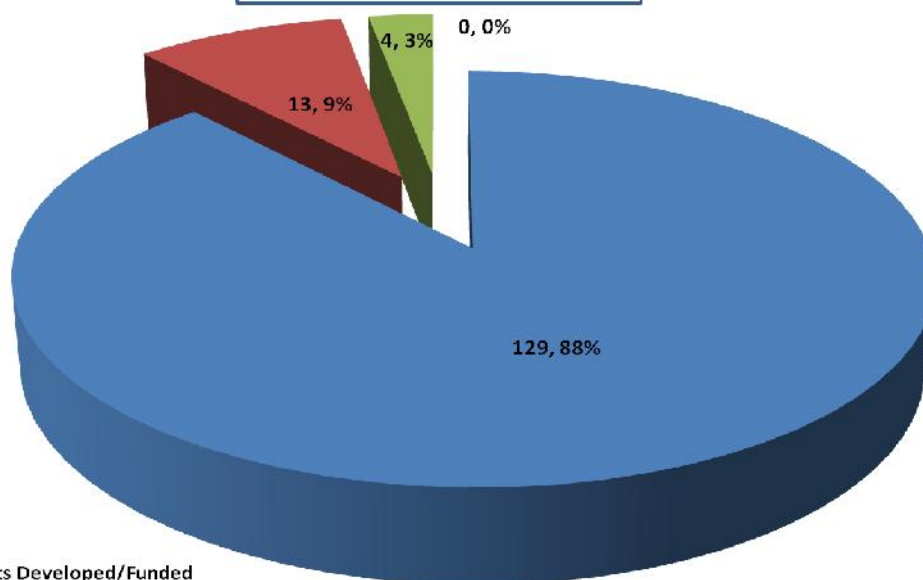
■ 0-30% ■ 31-50% ■ 51-60% ■ 61-80%
 Percentage of Area Median Income



185 Total Units

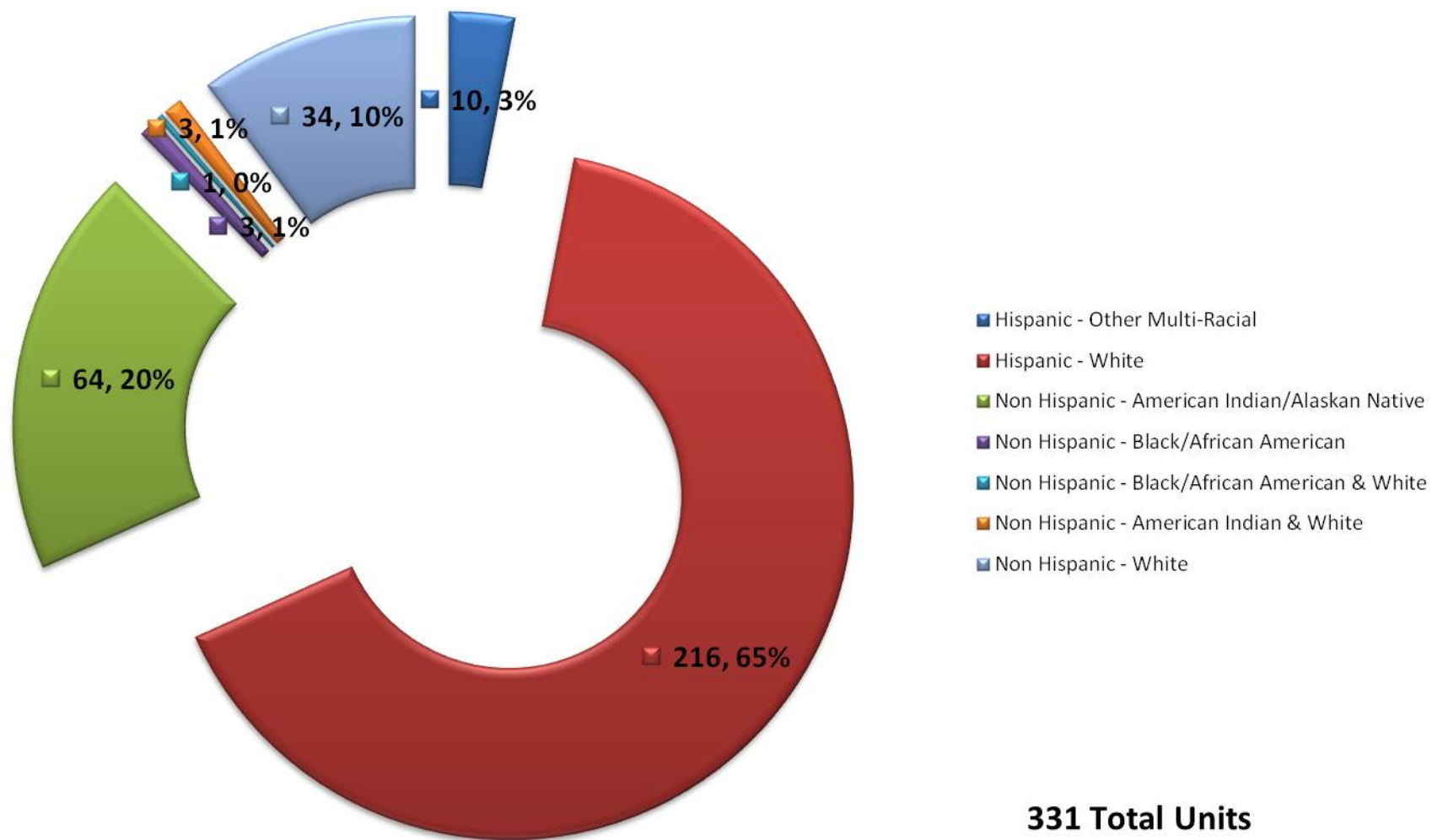
2013 HOME Renter Units/Households by Income

■ 0-30% ■ 31-50% ■ 51-60% ■ 61-80%
 Percentage of Area Median Income



290 Total Units Developed/Funded
 146 Designated HOME Units from
 RAP and Rental Development

2013 HOME Completed Units/Households by Race and Ethnicity



Part 3: Institutional Structure

Training and Technical Assistance Program

Under the Training and Technical Assistance Program (T&TA), MFA currently encourages and provides partnership opportunities between organizations that are interested in developing, owning and/or managing affordable housing with successful industry professionals. This cooperative relationship allows the new agency to learn in a hands-on-manner, with the help of an experienced professional, the development process, everything from site identification and acquisition, to predevelopment, to acquiring financing to completion of construction. In this manner, MFA leverages the skills and talents of those who know and have been successful in the process with those who are learning. This creates more capacity at a reasonable cost while providing valuable networking opportunities for all participants.

The MFA T&TA has provided group training for various programs funded with federal and other funds on an as needed basis. These training sessions included training for the HOME/Tax Credits program, Lead Based Paint, , Uniform Physical Conditions Standards (UPCS) for Rental Assistance Program (RAP) Recipients, , and various Requests for Proposals. Training also addresses program requirements and processes. Section 8 and Tax Credit Compliance training is offered by our Asset Management Department. Lender Training, available through our Homeownership Department, is designed to assist lenders and other industry professionals in the program requirements of our mortgage loan products. This training is held statewide on an ongoing basis through the Homeownership Department Outreach Program.

MFA staff meets with program participants and sub-recipients throughout the year to provide technical assistance that may include mentoring by other housing organizations or units of local government. MFA continues to provide tailored training to partners around the State on an as needed basis, either upon request or to meet a perceived need.

In addition to on-site training, web conferencing is used for monthly training updates and resolving ongoing issues. The outcome of these calls is primarily information sharing. Webinars are used to conduct targeted training for Weatherization, Rehab, and Lender Training for Homeownership, Emergency Housing Assistance Program (EHAP), and Continuum of Care (COC), to provide an interactive training environment. For example, in reviewing conflict of interest provisions, the regulations were presented, followed by several case studies. Each study included polling, through which attendees chose a multiple choice answer, and a presentation by an attendee on why they chose the answer, followed by discussion among attendees. The outcome of the call is measured by assessing the case study results by the end of the call.

Web conferencing is also used to conduct one-on-one training, as it allows for both MFA staff and agency staff to review information simultaneously. This has been used in reviewing completion of forms, such as income and rent calculation, where the agency staff can scan income documentation and then type into a calculation spreadsheet. For these calls, the outcome is measured by whether the agency staff was able to successfully complete the task. In addition, web conferencing is used to train agency staff on invoicing & reporting procedures. This allows the presentation of the forms and questions from staff, and if appropriate, completion of sample forms online by participants. A successful outcome is the

submission of correct paperwork. Webinars are also used annually for Request for Proposals (RFP) training. These webinars are intended to familiarize applicants with the process and requirements.

Certification of Compliance with the Consolidated Plan

As required by regulations, MFA provides a certification of compliance with the Consolidated Plan to those public housing authorities (PHA) who qualify. If the required background information is provided by the requester, MFA will provide the Certification. Forms are located on MFA's web page. Over the course of 2013, MFA provided 10 certifications.

Consolidated Plan Certifications of Consistency 2013

Requesting Entity	Grant Applications
Clovis Housing and Redevelopment Agency	Housing Choice Voucher FSS Program; Public Housing FSS Program; 5 Year and Annual PHA Plan
Lordsburg Housing Authority	5 Year and Annual PHA Plan
Mesilla Valley Public Housing Authority	5 Year and Annual PHA Plan
NM Coalition to End Homelessness	BoS CoC Planning Project
Pecos Housing Authority	5 Year and Annual PHA Plan
Rio Arriba County Housing Authority	5 Year and Annual PHA Plan
Santa Fe Community Housing Trust	HOPWA Re-Entry/First-Time Homeowners Program
Truth or Consequences Housing Authority	Housing Choice Voucher; Housing Choice Voucher FSS
Wagon Mound Housing Authority	5 Year and Annual PHA Plan
Western Regional Housing Authority	5 Year and Annual PHA Plan

Housing Services Directory

MFA publishes a directory of housing and homeless service providers statewide. The directory includes all types of affordable housing organizations and is indexed by service area, housing services provided, and supportive services provided. The directory is available free of charge throughout the year to all interested parties in printed form and is accessible in a searchable format through MFA's web page at www.housingnm.org.

Public Housing and Resident Initiatives

MFA is not directly responsible for public housing and resident initiatives. Each year we work closely with the State chapter of National Association of Housing and Redevelopment Officials (NAHRO) to provide PHA staff training that in turn allows staff to provide high quality services to public housing residents.

Reduce the Number of Families in Poverty

MFA's motto is "Housing New Mexico." While affordable housing is critical to providing the security and stability individuals and families need to achieve economic wellbeing, it does not reduce the number of families living in poverty. However, reducing housing costs gives families more money to spend on other necessities like food and medicine. Overall, it is an improvement in the quality of life. As outlined throughout our Consolidated Plan, MFA has allocated the resources below to try to reach those families living below the poverty line:

1. Funding for first-time homebuyers
2. Pre- and post-purchase housing counseling
3. Housing Choice Vouchers (provided by PHAs) utilized for homeownership
4. Down payment and closing cost assistance
5. NM EnergySmart Program (Weatherization) serving households with income below 200% of poverty
6. Priority points in the Tax Credit Qualified Allocation Plan (QAP) for supportive services for households with income below 30% of Area Median Income
7. Collaborative work with other agencies whose goals and objectives support New Mexicans living below the poverty line, such as the New Mexico Coalition to End Homelessness and the Behavioral Health Collaborative.

Support for the New Mexico Coalition to End Homelessness

MFA continues to provide support for activities undertaken by the New Mexico Coalition to End Homelessness. The Coalition is an inclusive group made up of representatives from about 75 non-profit service providers, State and local agency staff, homeless and formerly homeless people and other interested individuals. With support from MFA, the City of Albuquerque, HUD, its members, and private grants, the Coalition employs eight staff members.

The Coalition has the following major activities:

- Managing the New Mexico Homeless Management Information System
- Developing the Balance of State Continuum of Care Process and Application
- Working with the City of Albuquerque on the Albuquerque Continuum of Care Process and Application
- Providing technical assistance to homeless service agencies in New Mexico
- Educating the general public in New Mexico about homelessness and solutions to homelessness,
- Advocating for the needs of people experiencing homelessness at the local, State and national level.

MFA continues to provide financial support to the Coalition and provide technical assistance to its members.

Homeless Management Information Systems (HMIS)

Under a directive from Congress via HUD to begin collecting information on homeless individuals by 2004, MFA, The City of Albuquerque and the New Mexico Coalition to End Homelessness, have developed and implemented the New Mexico HMIS system. This data management system has been funded in part with MFA General Fund dollars and was implemented in 2004.

In 2011 the New Mexico HMIS underwent a major upgrade with the addition of more dedicated staff and the transition to a new software system, Bowman ServicePoint, funded in part by Homelessness Prevention and Rapid Re-Housing Program (HPRP), as approved by HUD. The system collects information on persons served and services provided, in accordance with the HMIS Data Standards established by HUD.

Use of Advisory and Oversight Committees

MFA receives input several times per year from a number of external advisory and oversight committees comprising representatives from various housing-related industries and geographic areas of the state to advise and comment on activities undertaken with federal dollars. These committees include the Mortgage Finance Authority Act Legislative Oversight Committee, the Land Title Trust Fund Advisory Committee, the NM Housing Trust Fund Advisory Committee, the Housing Advisory Committee (includes the WAP Policy Advisory Committee), the Low Income Housing Tax Credit (LIHTC) Design Competition Jury, and the Allocation Review Committee. MFA will continue to work with and consult with these committees regarding activities undertaken or proposed changes in activities to be undertaken throughout the tenure of this plan.

Research and Development

MFA allocates staff time to the research and development of new programs. These initiatives have included:

- Identifying ways to subsidize or otherwise encourage energy efficiency upgrades by coordinating with utility companies.
- A contract with UNM-Bureau of Business and Economic Research to provide MFA with current housing and rental market information to enhance the organization's databases.
- Participation in coalitions focused on transit oriented development and sustainability to decrease resident utility costs and potentially eliminate the costs of owning a personal automobile.
- Researching new products or programs for reaching underserved populations and applying for those opportunities.
- Identifying funding sources for emergency repair, weatherization and accessibility improvements without substantial rehabilitation.
- Researching ways to maintain the long-term affordability of existing rental properties; with a specific focus on retaining those with expiring use restrictions and assisting troubled rental properties.
- Identifying and implementing best practice funding executions for the first-time homebuyer program.

While the majority of resources dedicated to research and development will be staff time, funding sources like MFA's General Fund will be available to provide trial or pilot projects in order to test the viability of new ideas.

MFA has established a 501(c) (3) corporation, the New Mexico Affordable Housing Charitable Trust (Charitable Trust). The Charitable Trust allows MFA to accept donations of cash for the benefit of affordable housing projects throughout the State. The separate non-profit structure enables donors to be eligible for the New Mexico Affordable Housing Tax Credit and the federal charitable deduction. In addition, this Trust allows MFA the flexibility to apply for other grant and foundation funding.

Part 4: Affirmatively Furthering Fair Housing

MFA's Board-Approved Employee Manual contains the following Fair Housing Policy:

1.6 Fair Housing Policy

- A. The MFA engages in certain housing-related transactions in fulfilling its public purpose and has, since its inception, pledged to the letter and spirit of US policy for the achievement of equal housing opportunity throughout the nation.
- B. The MFA will not refuse to sell or rent, or refuse to negotiate for the sale or rental of, or otherwise make unavailable or deny, a dwelling to any person because of race, color, religion, sex, age, sexual orientation, gender identity, disability, familial status or national origin.
- C. The MFA will not discriminate against any person in making available a residential real estate-related transaction, or in the terms or conditions of such a transaction, because of race, color, religion, sex, age, sexual orientation, gender identity, disability, familial status or national origin.

In addition, in April of each year, MFA's Policy Committee reviews and approves the following policies, copies of which are included as ***Attachment B: MFA Plans***.

1. New Mexico Mortgage Finance Authority Fair Housing Plan and Policy
2. New Mexico Mortgage Finance Authority Section 3 Plan
3. New Mexico Mortgage Finance Authority Limited English Proficiency Policy Statement and Language Action Plan and
4. New Mexico Mortgage Finance Authority Residential Antidisplacement and Relocation Assistance Plan.

The New Mexico Mortgage Finance Authority (MFA) was the lead agency preparing the *2011 – 2015 Consolidated Plan* for Housing and Community Development as well as the *2010 Analysis of Impediments to Fair Housing Choice*. We are now in the initial planning stages for the 2016 – 2020 Consolidated Plan and the 2016 Analysis of Impediments.

Actions Taken to Affirmatively Further Fair Housing

In accordance with the applicable statutes and regulations governing the Consolidated Plan, the MFA certifies that it will affirmatively further fair housing. Our commitment to affirmatively furthering fair housing was demonstrated on many fronts over the last year.

MFA hosted and funded a Fair Housing and Reasonable Accommodations training in July of 2013 targeting the education of local lead agency staff, service providers and fair housing advocates. The training, entitled "Practical Fair Housing in the 21st Century" was held on July 31, 2013. It was an overview of Fair Housing law, discrimination issues and protected classes; a review of the HUD Guidelines and Processes for Reasonable Accommodation; and how to practically advocate and support a prospective tenant through the reasonable accommodation process with a property manager of an apartment complex.

In August 2013, MFA staff assisted in planning and participated in the Southwest Affordable Housing Group Annual Conference. The following fair housing training was provided:

Introduction to Fair Housing and Equal Opportunity

Thurman G. Miles, HUD Ft. Worth

Sara Pratt, HUD Washington:

- **Affirmatively Furthering Fair Housing**
- **Familial Status; Housing Seniors**
- **Lesbian, Gay, Bisexual, Transgender (LGBT)**
- **Assistance/Companion Animals – Service Dogs**

Accessibility Overview

Scott Michael Dunn, Zeffert & Associates

Governor's Commission on Disability

Hope Reed and Dee Martinez, Accessibility Specialists, GCD, Santa Fe

Reasonable Accommodation/Modification

Amanda Gross, Zeffert & Associates

Fair Housing for Maintenance Techs

Scott Michael Dunn, Zeffert & Associates and Bob Hall, Integrated Design & Architecture

Affordable Fair Housing Marketing Plans

Railly McDaniel, High Desert Housing Consultants

Site & Unit Accessibility

Lance Gorackowski, Hope Reed, Bob Hall and Duane Wilson

For multiple housing programs, MFA approves and monitors property owners to ensure they are affirmatively marketing affordable housing units in New Mexico. Owners are required to provide an Affirmative Fair Housing Marketing Plan which identifies the owners target populations based on property and county demographics. The plan also confirms property employees are properly trained. Once MFA approves marketing plans the owner is monitored throughout the properties' affordable period to ensure plans are up-to-date based on current information from the Federal Financial Institutions Examination Council and the U.S. Census.

As part of the Consolidated Plan process, MFA conducted an Analysis of Impediments to Fair Housing Choice within the State of New Mexico. MFA further certifies that it has taken appropriate actions to overcome the effects of any impediments identified through that analysis. We have maintained records reflecting that analysis and actions in this regard.

Actions Taken to Overcome Effects of Impediments

The 2010 Analysis of Impediments for the State of New Mexico uncovered several issues that can be considered barriers to affirmatively furthering fair housing and, consequently, impediments to fair housing choice. These issues are as follows:

- A. Lack of understanding of fair housing, including:
 - 1. Lack of knowledge of fair housing laws by both housing consumers and providers.
 - 2. Lack of awareness and use of fair housing complaint system.
 - 3. Lack of understanding of differences between affirmatively furthering fair housing, affordable housing production, and rights and responsibilities in the landlord/tenant transaction.
- B. Discrimination in the rental markets, particularly against the following protected classes:
 - 1. Disability, especially failure to make reasonable accommodation.
 - 2. Race.
 - 3. National origin.
 - 4. Familial status.
- C. High loan denial rates for racial and ethnic minorities, as well as a greater proportion of high interest rate loans for racial and ethnic minorities, leading to greater risk for foreclosure.
- D. Limited role of the New Mexico Human Rights Bureau.
- E. Land-use and development practices may leave units of local government open to criticism for not operating in the spirit of affirmatively furthering fair housing.

MFA efforts to overcome the effects of the impediments listed above are disclosed below:

The MFA has no fair housing enforcement capacity. The New Mexico Human Rights Division (<http://www.dws.State.nm.us/HR-FAQ.html>) was created under the New Mexico Department of Labor to enforce the Human Rights Act. The Human Rights Act was enacted in 1969 to ensure that all New Mexicans are protected from discrimination in employment, housing, credit and public accommodation. The Division does not provide any information regarding the Fair Housing Act or discrimination in housing. Information on Fair Housing is located on the web page for the New Mexico Commission for Deaf and Hard of Hearing (<http://www.cdhh.State.nm.us/FHA.aspx>). References on that page are to HUD Fair Housing and Economic Opportunity.

The 2010 Analysis of Impediments for the State of New Mexico uncovered several issues that can be considered barriers to affirmatively furthering fair housing and, consequently, impediments to fair housing choice. The actions taken by MFA to overcome the impediments and potential barriers to fair housing choice that may be encountered by residents of New Mexico include the following:

- Provided fair housing information at all new owner orientations and required all Section 8 project-based properties to display the equal housing poster, which is monitored during annual management reviews.
- Monitored each HOME, ESG and HOPWA recipient annually for compliance with the Fair Housing Act, Executive Orders 11063, 11246, 11625, 12432, and 12138; the Age Discrimination Act of 1975; and Section 504 of the Rehabilitation Act of 1973.
- Made Title VI, Section 504 and Section 3 materials and Fair Housing Handbooks available to recipients of federal funding.
- Discussed Fair Housing in each training/meeting session.
- Conducted specific Fair Housing training.

- Enhanced homebuyer training by applying for and supplying funding for homeownership counseling for MFA loan products.
- Offered basic information about fair housing rights and responsibilities as requested, referred questions to HUD.
- Used Fair Housing and Equal Opportunity (FHEO) checklist to confirm use of Fair Housing requirements at each monitoring visit.
- Systematized MFA's Affordable Housing Act plan and ordinance processing and approval processes to optimize fair housing practices. Review team provides detailed technical assistance to towns, cities who submit information.
- Provided, free of charge, Fair Housing posters to all MFA's subgrantees, management agents, onsite managers and partners.

In 2013, MFA expended \$17,718.26 from the MFA General Fund to provide wider public access to Fair Housing information.

Part 5 - Other Actions

Managing the Process - Monitoring

HOME, ESG and HOPWA Monitoring

MFA's Community Development staff conducts contract-based program compliance monitoring for HOME, ESG, HOPWA, Neighborhood Stabilization Program (NSP), and Weatherization programs. They are highly skilled in monitoring all program requirements, including beneficiary income qualification, habitability standards, financial capability, adherence to program regulations, Davis/Bacon and environmental clearance procedures. The staff continues to increase skills by participating in program specific training provided by HUD, including passing scores in the *Certified HOME Specialist - Regulations*, *Certified HOME Specialist - Administration* and *Certified HOME Specialist - Rental*. We ensure that monitoring requirements are carried out in accordance with the HUD rules for HOME, ESG and HOPWA by using a database specifically designed for tracking monitoring visits. Risk assessments are conducted annually by the Program Managers, with monitoring schedules planned from the results of the assessments.

Program Managers prepare and use risk assessments at the beginning of every Program Year, as a tool to develop their monitoring schedules for the next program year. These assessments help determine whether a sub-grantee is a high, moderate, or a low risk agency. If an agency is rated high risk, an on-site monitoring visit will be scheduled. If an agency is rated moderate risk, a desk-top financial audit will be conducted; and if an agency is rated low risk, monitoring will be waived for the PY. Exceptions to not monitoring an agency if it is rated low risk would be contracts funded with HOME and Department of Energy (DOE) funds and if an agency has not had an on-site monitoring in 2-years. HOME and DOE funded agencies have to be monitored annually and agencies not monitored in last 2 years will also receive an on-site monitoring regardless of low risk. Other exceptions (sub-grantee organizational changes during year, etc.) that may surface and either require an increase/decrease in monitoring levels will be documented in either the risk assessment file or the monitoring file and document(s).

As a component of risk management, a risk assessment generally consists of the following:

1. Identification of possible negative external and internal conditions, events, or situations,
2. Determination of cause-and-effect (causal) relationships between probable happenings, their magnitude, and likely outcomes,
3. Evaluation of various outcomes under different assumptions, and under different probabilities that each outcome will take place,
4. Application of qualitative and quantitative techniques to reduce uncertainty of the outcomes and associated costs, liabilities, or losses.

MFA's Asset Management staff conducts long-term compliance monitoring for HOME-funded single-family and multifamily rentals in accordance with the guidelines set out in 24 CFR Part 92, including income eligibility, certification and recertification, and habitability. The database assists in tracking the timeliness of those monitoring visits so that all monitoring frequency requirements are met. Monitoring visits are based on the total number of units on the property. For properties with 1-5 units, every three years; 6-25 units, every two years; and 26 or more units, annually. MFA staff notifies the project manager and owner 30 days in advance of their scheduled monitoring visit, providing a listing of the items they wish to audit. When staff arrives at the project, they will monitor, at a minimum, 5 tenant

files and will perform physical inspections on the same units. If there are less than 5 HOME units, then 100% of the HOME files and units will be inspected. Reports of the monitoring visit are sent to the manager and owner within 30 days of the monitoring, setting out all observations, concerns and findings, and providing information on how the results can be resolved.

The goal of the formal monitoring review is to ensure compliance with and provide technical assistance relating to federal regulations, State policies and program procedures. During the review, the following information is documented:

- Administrative policies and procedures
- Financial records/program income
- Beneficiary selection policy
- Marketing plan, procedures
- Lead based paint regulations/Health Department quarterly reports
- Beneficiary file reviews
- If required, UPCS Inspections

After each review, MFA provides letters to each sub-grantee. The reports take the form of findings, concerns and observations of each sub-grantee's operations. If necessary, remedial actions are part of the report, and must be addressed by the sub-grantee, in writing, to MFA within 30 days of the date of the letter. Remedies for the subgrantee's nonperformance and noncompliance status include corrective and probationary action, suspension, and termination. Based on the review, MFA limits funding to entities that show successful capacity through adherence to federal regulations and MFA policies and procedures.

Attachment C: *Compliance Monitoring 2013*

Lead-based Paint

MFA works in partnership with the regional Environmental Protection Agency office, DFA, public housing authorities and other local partners to increase the number of trained, licensed and certified personnel able to determine the risks of lead hazards—and any corresponding remediation measures—of pre-1978 housing in New Mexico. Although housing rehabilitation activities are underway and MFA applies the Lead-Safe Housing Rule uniformly, there still appears to be insufficient abatement contractors available in the State to perform remediation.

MFA continues to set aside a percentage of the State's formula HOME allocation for eligible lead-based paint assessment and remediation activities. In 2013, MFA paid \$52,440.53 for those activities. These additional funds for lead hazard remediation and abatement have encouraged additional businesses and organizations to obtain appropriate training in order to provide these remediation services. Additionally, MFA continues to train its partners throughout the State in the Lead-Safe Housing Rule. We encourage subgrantees and professionals statewide to receive formal training and any applicable lead-based paint certifications in an effort to improve the efficiency of the rehabilitation services delivery system.

Attachment D: *HOME Lead-Based Paint Expenditures 1/1/2013 – 12/31/2013*

Program Income

The Program Income reported in this CAPER in the HOME Program Annual Performance Report (HUD-40107), **Attachment D**, is income which is directly generated from or by the use of HOME funds. In 2013, \$1,233,435.84 in Program Income came from payments of principal and interest or payoffs on loans made with HOME funds, and HOME funds which were recouped by the Grantee when homeownership units did not continue as the principal residence of the HOME assisted homebuyer for the full affordability period required. MFA expended \$1,149,723.25 of those funds.

Attachment E: *HOME Annual Performance Report (HUD-40107)*

Minority Outreach

Subgrantees that apply for HOME funds are made aware of the Minority Outreach requirements for Minority (MBE) and Women (WBE) Business Enterprises under Federal Programs. MFA provides access to MBE/WBE business directories at <http://www.sba8a.com> on our website. Owners of HOME assisted rental housing are required to report their MBE/WBE activities to MFA to receive their final HOME funds disbursement. During 2013 there were three contracts awarded to a minority owned business, and two to a women owned business reported by our developers. MFA will continue to inform subgrantees and project owners of the MBE/WBE requirements and encourage them to solicit bids from MBE/WBE entities.

Attachment F: *Section 3 Summary Report*

Minimizing Displacement

When utilizing HOME funds, MFA ensures minimal displacement by following a Residential Antidisplacement and Relocation Assistance Plan and HOME Relocation Policies and Procedures. MFA discourages projects that require relocation, and takes all reasonable steps to minimize the displacement of persons. If a developer submits a HOME application for a rental project that involves relocation, the cost of relocation must be a part of the project budget and the developer must submit a relocation plan to MFA. All tenants must be provided the required notices and relocation assistance at the levels required by the Uniform Relocation Act, Section 104(d) of the Housing and Community Development Act, and HUD policy as contained in HUD Handbook 1378. For MFA's owner occupied rehabilitation program, displacement is voluntary.

Part 7 - Leveraging Resources

One of MFA's primary objectives is maximizing the leverage of resources. In 2013 MFA leveraged dollars from many sources, including its own General Fund, State of New Mexico General Fund appropriations, other federal housing programs including Weatherization funds from the Department of Energy, Low Income Home Energy Assistance Program (LIHEAP), the NSP, and local and private resources. HOME produced program income that was used for housing activities throughout the State. MFA and its partner agencies competed for additional resources under the Supportive Housing Program (SHP), Shelter Plus Care (S+C), Section 811 Housing for Persons with Disabilities, Section 202 Housing for the Elderly, and Housing Counseling grants.

Additional Federal resources include Mortgage Revenue Bonds (MRBs) and Low Income Housing Tax Credits available from the IRS and resources available to rural areas through several programs funded by the U.S. Department of Agriculture. These Rural Development programs include: Guaranteed Rural Housing Program; Section 502 Direct Loan Program, Section 504 Loan/Grant Repair Program; Section 514/516 Farm Labor Housing Program; Rural Community Development Initiative and Section 515 Multifamily Housing Preservation Revolving Loan Fund Demonstration Program.

In 2013, resources available to MFA were funding from the MFA Housing Opportunity Fund and General Fund, the Land Title Trust Fund, homelessness, LIHEAP funding for weatherization and resources that will become available through three recent State initiatives which include the Affordable Housing Act, State Affordable Housing Tax Credit Program, and the New Mexico Housing Trust Fund. In addition, MFA received funding from the Behavioral Health Collaborative to design and implement a pilot program that will provide permanent rental housing for individuals with behavioral disorders.

The chart below gives a recap of the funding resources available to and/or expended by MFA in 2013.

FEDERAL FUNDING	2013
Bond Volume Cap	\$324,860,000
Low Income Housing Tax Credits (LIHTC) 9%	\$40,581,500
Low Income Housing Tax Credits (LIHTC) 4%	\$11,386,720
HOME Program	\$3,597,945
Emergency Solutions Grants	\$757,993
Housing Opportunities for Persons with AIDS	\$593,615
Neighborhood Stabilization Program	\$662,558
DOE Weatherization Assistance Program	\$899,637
LIHEAP	\$1,368,000
Section 8 Contract Administration (5,297 units)	\$26,957,759
HUD Risk Sharing Loan Program	\$770,000
ARRA - Weatherization (Energy\$mart)	\$624,081
HOME Housing Counseling	\$15,850
National Foreclosure Mitigation Counseling	\$29,804
Total Federal Funds	\$413,105,462

MFA GENERAL FUND	
Mortgage Booster DPA Program	\$3,416,120
Partners Program	\$259,967
Primero Investment Fund	\$233,145
Capacity Building contracts	\$179,484
Total MFA General Fund	\$4,088,716
STATE OF NEW MEXICO FUNDING	
State Homeless Assistance (including COC match)	\$925,700
Housing Trust Fund (disbursed)	\$1,863,872
Attorney General Homeownership Preservation Program	\$761,397
State EnergySaver	\$0
State Affordable Housing Tax Credit	\$4,692,461
Regional Housing Authority Oversight	\$0
Behavioral Health Collaborative (Linkages & Pre-Development)	\$531,648
Total State of New Mexico Funding	\$8,775,078
OTHER FUNDING	
HOME Program Income (expended)	\$1,149,723
Local HOME Match	\$476,761
Local ESG Match	\$1,929,084
NM Gas Company	\$1,298,734
PNM Refrigerator/CFL Replacement	\$437,811
Land Title Trust Fund	\$7,495
Total Other Funding	\$5,299,608
GRAND TOTAL ALL FUNDING	\$431,268,864

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NM Gas Company	\$1,298,734
PNM Refrigerator/CFL Replacement	\$437,811
Land Title Trust Fund	\$7,495

MFA strives to meet and exceed the goals set out in the Consolidated Plan at all times. In 2013, MFA pursued all indicated resources, and did not hinder the implementation of the Plan by action or willful inaction.

Please refer to **Attachment G: 2013 HOME Match Report, HUD Form 40107-A**

Housing

In the 2013 Action Plan, five housing priorities were to be pursued. Those priorities were:

1. Increase opportunities for homeownership
2. Preserve the State's existing affordable housing stock
3. Expand the supply of housing and services to assist the homeless
4. Expand housing opportunities and access for special needs populations
5. Expand the supply of decent affordable rental housing.

MFA determined high priority counties, or areas of demonstrated statistical need (ADSN), that received scoring preferences on applications for funds. The calculations were based on differing characteristics, depending upon the activity. ADSN counties were determined for Rental New Construction/Tax Credit. Both activities have differing criteria that assist us to assign Tier 1 and Tier 2 designations to each activity. The characteristics and data are shown below.

MFA encourages lenders, realtors, and other partners to utilize its homeownership products in difficult-to-serve areas including census tracts in which at least 70 percent of the households earn no more than 80 percent of the statewide median income. MFA increases income and sales price limits for eligible borrowers purchasing a home in these areas, which are called Targeted Area Census Tracts.

Bernalillo County: Census Tracts 6.04, 9.01, 9.03, 15.00, 20.00, 47.35,

Cibola County: Census Tracts 9458, 9458 – North, 9458 – Central, 9458 – South, 9458 – West, 9458 - West Central, 9458 - East Central

Curry County: Census Tract 1.00

Dona Ana County: Census Tracts 4.01, 6.00, 10.00, 17.06, 17.07, 18.05, 18.06

Luna County: Census Tract 2.00

McKinley County: Census Tracts 9405, 9405 North, 9405 Center, 9405 South East, 9405 West, 9405 West Central, 9440, 9440 North, 9440 Center, 9440 South East, 9440 South West, 9440 West, 9453, 9453 North, 9453 South East, 9453 South West

Otero County: Census Tract 1.00

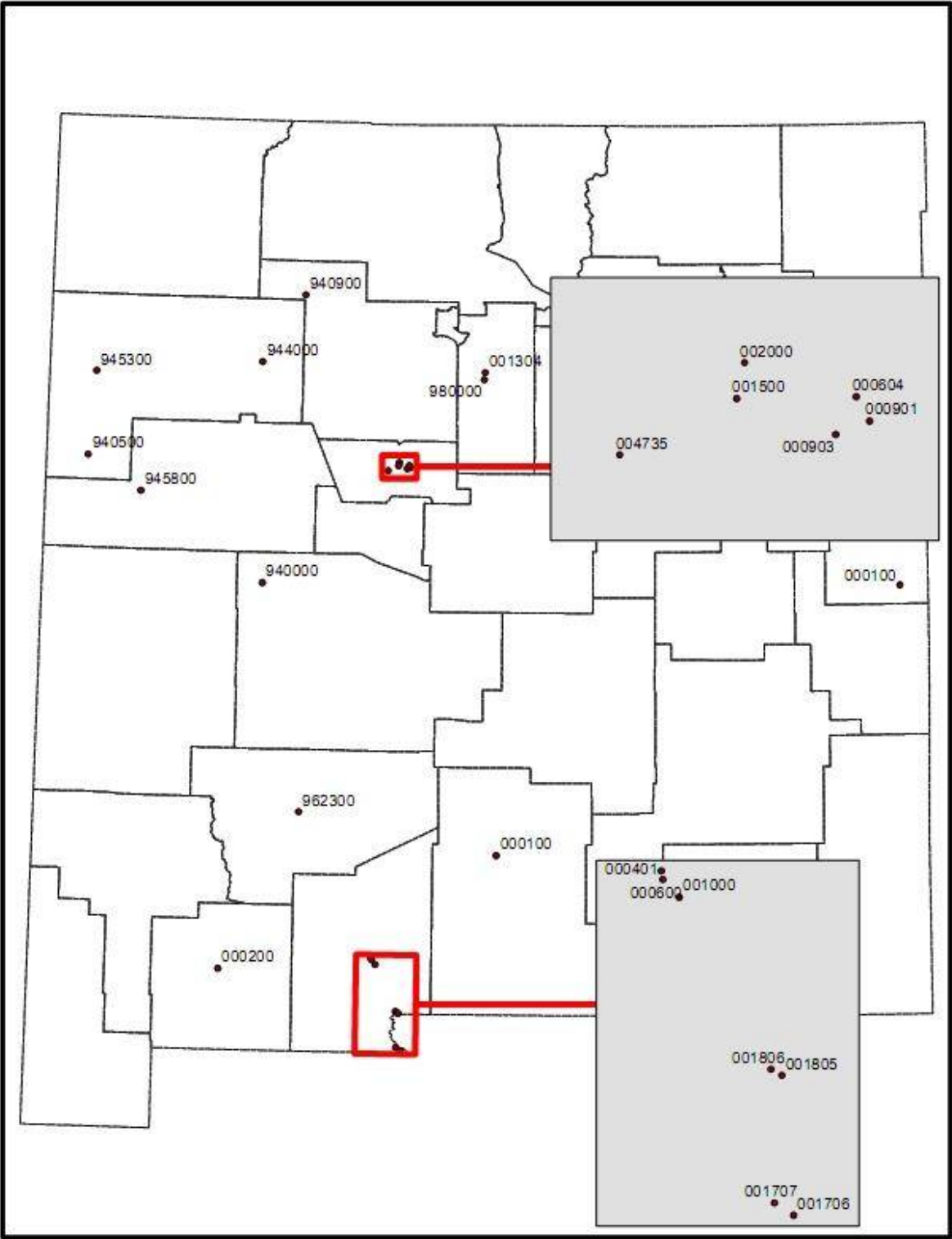
Sandoval County: Census Tract 9409

Santa Fe County: Census Tracts 13.04 and 9800

Sierra County: Census Tract 9623

Socorro County: Census Tract 9400

Homebuyer Targeted Area Census Tracts



Assessment of Goals and Objectives

The priorities and objectives listed in the 2013 Action Plan and the *Assessment of 2013 Goals and Objectives* included both MFA's goals and those of our partners. For the purposes of this CAPER, the information in the Assessment of 2013 Goals reports only on MFA administered resources shown in the 2013 Action Plan. The final decision on where funds were allocated was determined by need and the overall direction of MFA management and the Board of Directors.

Here are a series of charts presenting the data on which the priorities, or demonstrated areas of significant statistical need, were determined.

2013 Areas of Statistically Demonstrated Need Targeting Factors

Areas of Statistically Demonstrated Need	
Tier 1	
1) County or Metropolitan Statistical Area (MSA) with a population greater than 10,000	
2) Growth rate greater than State average for last three years	
3) Vacancy rate below five percent	
Tier 1 Counties: Curry, Dona Ana, Lea, McKinley, Otero, and Roosevelt	
Tier 2	
1) County or MSA with a population greater than 10,000	
and	
2) a. Growth rate greater than State average for last three years	
or	
2) b. Vacancy rate below five percent	
Tier 2 Counties: Bernalillo, Chaves, Colfax, Eddy, Grant, Luna, Rio Arriba, Sandoval, San Juan, San Miguel, and Taos	

Area identified as an area of need will remain an area of need for a minimum of two years. Counties marked with an asterisk (*) are areas that remain as areas of need for a second year even though they do not meet criteria in current year.

2013 Demonstrated Rental Need

County	2011 Census Population (e)	2008 County Population (e)	Population Growth	Population Growth > 3.56% average	Part of MSA or Community > 10 K	2012 % Rental Vacancy (b)	Rental Vacancy rate below 5%	2011 Determined Need (H = High, M = Medium)	2012 Determined Need	Determined Need (H = High, M = Medium)
Bernalillo	670,968	646,879	3.72%	Y	Y	5.79%	N	M	H	M
Catron	3,733	3,631	2.81%	N	N	N/A	N/A			
Chaves	65,890	64,378	2.35%	N	Y	4.20%	Y		H	M
Cibola	27,658	27,259	1.46%	N	Y	6.30%	N	H	H*	
Colfax	13,640	13,764	-0.90%	N	Y	4.80%	Y			M
Curry	49,649	45,512	9.09%	Y	Y	2.20%	Y		H	H
De Baca	1,945	2,000	-2.75%	N	N	N/A	N/A			
Dona Ana	213,598	200,855	6.34%	Y	Y	4.90%	Y	H	H	H
Eddy	54,152	52,566	3.02%	N	Y	2.50%	Y	H	H	M
Grant	29,380	29,921	-1.81%	N	Y	1.00%	Y	M	*M	M
Guadalupe	4,619	4,701	-1.74%	N	N	5.90%	N			
Harding	704	690	2.03%	N	N	N/A	N/A			
Hidalgo	4,861	5,022	-3.21%	N	N	4.70%	Y			
Lea	65,423	62,737	4.28%	Y	Y	3.10%	Y	M	H	H
Lincoln	20,454	20,458	-0.02%	N	Y	9.40%	N	H*		
Los Alamos	18,222	17,924	1.66%	N	Y	8.90%	N			
Luna	25,281	25,375	-0.37%	N	Y	4.70%	Y	H*		M
McKinley	73,664	70,449	4.56%	Y	Y	3.00%	Y			H
Mora	4,773	4,909	-2.77%	N	N	4.80%	Y			
Otero	65,703	62,498	5.13%	Y	Y	3.90%	Y			H
Quay	9,026	8,978	0.53%	N	N	5.90%	N			
Rio Arriba	40,446	40,008	1.09%	N	Y	4.60%	Y			M
Roosevelt	20,446	19,074	7.19%	Y	Y	4.40%	Y		H	H
Sandoval	134,259	125,368	7.09%	Y	Y	5.80%**	N	M*	H	M
San Juan	128,200	126,905	1.02%	N	Y	4.70%	Y	H	H	M
San Miguel	29,301	29,234	0.23%	N	Y	4.80%	Y	M		M
Santa Fe	145,648	141,704	2.78%	N	Y	5.43%	N	M		
Sierra	11,943	11,914	0.24%	N	Y	7.10%	N			
Socorro	17,873	17,966	-0.52%	N	Y	8.50%	N			
Taos	32,917	32,467	1.39%	N	Y	3.00%	Y	H	H	M
Torrance	16,345	16,257	0.54%	N	Y	N/A	N/A	M		
Union	4,433	4,380	1.21%	N	N	4.80%	Y			
Valencia	77,070	74,879	2.93%	N	Y	5.60%	N	M	H	

*Remains on list for second year

**Vacancy rate for Sandoval County is an average of Rio Rancho (7%) and Sandoval (4.6%) data

N/A - Data not reported

Sources:

(a) U.S. Census Bureau, 2012 Annual Estimates for the Resident Population for Counties of New Mexico: April 1, 2010 to July 1, 2011 (CO-EST2011-01-35)

(b) Vacancy Surveys: (1) Performed by BBER May and June, 2012, (2) Apartment Market Survey Summary, May 2012, CB Richard Ellis Multi-Housing Group

Homeless

This 2013 CAPER reports on the ESG and State Homeless funds distributed between Emergency Homeless Assistance Program (EHAP), Rental Assistance Program (RAP) and Continuum of Care performance over the program year. The HOME funded portion of the RAP program was completely expended within 2013, with no new allocations made. EHAP and RAP assists non-profits, units of local government, and tribal governments who are eligible to apply for funding to serve homeless persons in their community.

EHAP funding may be used for supportive services, operating expenses and prevention activities associated with providing shelter or services to homeless individuals. RAP was established by MFA to provide homeless prevention assistance to individuals and families to prevent homelessness and rapid-rehousing assistance to individuals or families experiencing homeless. The objectives of RAP are as follows:

1. Primary objective is to provide rapid re-housing assistance to individuals and families who are literally homeless by assisting them with ESG and State of New Mexico Homeless funds.
2. Secondary objective is to prevent low income families from becoming homeless.
3. Provide case management assistance to Program clients to assist them with housing stability.

The activities receiving funding under EHAP are: Emergency Shelter Operations and Essential Services. The activities receiving funding under the MFA RAP are: Essential Services Only and Homeless Prevention. CoC Performance uses State funds for Permanent Supportive Housing and Continuum of Care Match. The State of New Mexico received \$757,993 in FY 2013 HUD funding for the ESG program, and committed that amount. Homeless funding from the State totaled \$925,700. MFA's program year runs from July 1 through June 30 each year.

The MFA maintains its own program database for demographic data and fiscal data, updated monthly. This database contains the information upon which the tables that are part of this CAPER are based, this year by activity within the entire program.

For the period from January 1, 2013 through December 31, 2013, a total of \$2,155,906.22 has been paid out to subgrantees. Again, MFA's program year runs from July 1 through June 30, so the 2013 calendar year crosses two program years. With the total expenditure above, 3,599 unduplicated clients were served for 73,624 bed nights.

Program Expenditures for 2013 (1/1/13 - 12/31/13)		
Activity	Funding Source	Disbursement
Admin	ESG	\$89,528.74
Admin	State	\$57,981.11
EHAP	ESG	\$625,919.60
EHAP	State	\$310,896.03
RAP	ESG	\$517,795.78
RAP	State	\$218,241.40
CoC	State	\$233,725.79
HMIS	ESG	\$101,817.77
Total		\$2,155,906.22

Under a directive from Congress via HUD to begin collecting information on homeless individuals by 2004, MFA, the City of Albuquerque and the New Mexico Coalition to End Homelessness, have developed and implemented the NM HMIS system. This data management system has been funded in part with MFA General Fund dollars and was implemented in 2004.

Attachment H: 2013 ESG Match Report

Attachment I: 2013 ESG Activity Reports, including demographics and ESG CAPER from IDIS

Special Needs Housing

Through the citizen participation process and research for the Consolidated Plan, non-homeless special needs housing was identified as a priority in the State, especially for the needs of the elderly, the disabled (physically, mentally, and developmentally) and other special needs populations above the need for new multifamily rental housing. However, that research process showed that the housing needs of these populations is primarily for available, decent, safe, and affordable rental housing, affordable to households below 30 percent of AMI. When such housing is available, local service agencies are able to link these populations to services which will keep them housed. The strategy for meeting the housing needs of special populations is to utilize existing financing tools for multifamily rental housing in order to expand the supply of available rental units. As well, it gives preference in funding decisions to those projects that will serve special needs populations in whole or in part.

An exception to the need for more affordable rental housing is the need for physically disabled households seeking to purchase housing. These households face difficulty in finding accessible single-family units. Therefore, MFA will encourage universal design or adaptability standards in all newly constructed affordable single family housing. MFA provides referrals when requested to those agencies that may be able to assist the disabled households to purchase a home.

All of the five housing priorities could have potentially benefited special needs populations. However, two of them (goals 3 and 4) are specifically designated to assist special needs populations and persons who are homeless. As a reminder, the priorities were:

1. Increase opportunities for homeownership.
2. Preserve the State's existing affordable housing stock.
3. Expand the supply of housing and services to assist the homeless.
4. Expand housing opportunities and access for special needs populations.
5. Expand the supply of decent affordable rental housing.

In 2007, the Linkages Supportive Housing Voucher Program (Linkages) was established with \$300,000 in funding from New Mexico Behavioral Health Collaborative. Linkages is a program that will provide permanent rental housing for individuals with behavioral disorders by supplying vouchers in three regions of the State. Each region has a housing administrator and mental health services provider who work together to provide both housing and mental health services for these individuals. A ten percent set aside was established for Native Americans off reservation. In calendar 2013, 39 clients were served with \$531,648.

Housing Opportunities for Persons with AIDS (HOPWA)

HOPWA funds committed for program year 2011-2012: \$604,880

HOPWA funds committed for program year 2012-2013: \$608,287

HOPWA funds committed for program year 2013-2014: \$593,615

Funding under the HOPWA program is available to non-profit organizations that serve people who are HIV positive and/or are living with AIDS to provide short-term rent, mortgage, and utility payments to prevent the homelessness of the tenant or mortgagor of a dwelling. Funding under the HOPWA program is available to non-profit organizations that serve low-income persons with HIV/AIDS for continued rent assistance. Persons receiving rental assistance were required to pay as rent the higher amount of thirty percent of the family's monthly-adjusted income, ten percent of the family's monthly gross income, or welfare assistance specifically designated for housing.

Supportive services including healthcare, mental health assessment, drug and alcohol abuse treatment and counseling, day care, personal assistance, nutrition services, intensive care when required, and assistance in gaining access to local, State, and federal government benefits and services, were also provided to HOPWA recipients in 2013. (Health services may only be provided to individuals with AIDS or related diseases and not to their family members.) A percentage of the HOPWA grant was divided between MFA and subgrantees for program administration. The specific allocation is determined annually but will not exceed any statutory limit.

Obstacles to meeting underserved needs

More than 75 percent of the HOPWA funds are being used by subgrantees to provide rental assistance under the Tenant Based Rental Assistance (TBRA), Short Term Rent Mortgage or Utilities (STRMU) or Permanent Housing Placement activities. MFA feels that this is a good use of the HOPWA funds in that AIDS clients are finding it extremely difficult to obtain any rental assistance or vouchers from the various Housing Authorities throughout the State. The housing authorities will not allow the case managers to interact or work on behalf of the client. With the declining health of many of the clients, forcing them to go to the housing authority or stay on top of the requirements to stay on waiting lists is not manageable. Additional obstacles as observed by the HOPWA subgrantees are reflected in the HOPWA CAPER and HOPWA Verification Worksheet attached.

Specific HOPWA Objectives

MFA has annually awarded these funds through an RFP to member agencies of the HIV/AIDS Health Management Alliance (HMA). These agencies cover all five HMA regions of the State. Funding amounts were determined based on current year-to-date HMA enrollment figures, with the minimum award set at last year's amount, to ensure continuity of services. In Federal Fiscal Year 2010, HOPWA funds were allocated to the City of Albuquerque for the first time when the number of AIDS cases warranted. Previously, MFA and the City of Albuquerque entered into an agreement for MFA to administer HOPWA funds for the City. However, in 2013, HUD awarded the HOPWA funds for the City of Albuquerque directly to MFA. The following table shows allocated amounts for the HOPWA agencies, with MFA administration.

Agency Name	Agency Location	Award 2012-2013
Southwest CARE Center	Santa Fe (Area II)	\$114,677.60
NM AIDS Services	Albuquerque and Farmington (Areas I, III, IV)	\$158,459.75
NM AIDS Services	City of Albuquerque	\$316,901.00
MFA Admin		\$18,248.65
TOTAL		\$608,287.00

Agency Name	Agency Location	Award 2013-2014
Alianza of NM	Roswell (Area III and IV)	\$89,455.26
Southwest CARE Center	Santa Fe (Area II)	\$109,044.00
NM AIDS Services	Albuquerque and Farmington (Area I)	\$70,793.72
NM AIDS Services	City of Albuquerque	\$316,328.00
MFA Admin		\$7,994.02
TOTAL		\$593,615.00

The counties served by these subgrantees are:

For Program Year 2012-2013

NMAIDS Services: (Area I, Area III) Bernalillo, Cibola, McKinley, San Juan, Sandoval, Valencia, Catron, Dona Ana, Grant, Hidalgo, Lincoln, Otero, Sierra, Socorro and Torrance counties.

Southwest CARE Center: (Area II, Area IV) Chaves, Colfax, Curry, De Baca, Eddy, Guadalupe, Harding, Lea, Lincoln, Los Alamos, Mora, Quay, Rio Arriba, Roosevelt, San Miguel, Santa Fe, Taos and Union counties.

For Program Year 2013-2014

Alianza of NM (Areas III and IV): Chaves, Roosevelt, Doña Ana and Lincoln counties.

NM AIDS Services (Area I and City of Albuquerque): Bernalillo, Cibola, McKinley, San Juan, Sandoval, and Valencia counties.

Southwest CARE Center (Area II): Santa Fe, Rio Arriba, Taos, San Miguel, Colfax, Los Alamos counties.

Subgrantees who received the HOPWA awards have exclusive service responsibility for specific counties as listed above. The successful subgrantees had the management task of independently implementing the work program, which ranges from initial participant identification, certification and documentation of participant eligibility through actual provision of program services.

1. The objective of the HOPWA Formula Grant Program is to address the high incidence of immunodeficiency syndrome cases that may cause low-income persons in New Mexico to become homeless by providing housing assistance and related supportive services for said persons and their families.
2. Funding under the HOPWA program is available to serve people who are HIV positive and/or are living with AIDS to provide: STRMU Payments to prevent the homelessness of a tenant or mortgagor of a dwelling, as further described by the regulations.

3. TBRA, including assistance for shared housing arrangements, as further described by the regulations.
4. Permanent Housing Placement Services to help establish permanent residence when continued occupancy is expected. Eligible costs include tenant counseling, application fees, first month's rent and security deposit, and one-time utility connection fees.
5. Supportive Services as described in this RFP and as further described in the regulations.
6. Administrative Expenses to the extent allowed by the regulations.

Attachment J: HOPWA CAPER 2013

Part 8 – Other Resources

New Mexico Housing Trust Fund

In 2005 MFA and the New Mexico Housing Trust Fund (HTF) Advisory Committee drafted program guidelines so that the HTF monies are distributed and finance affordable housing throughout the State as effectively as possible. As long as funds are available, competitive tri-annual funding rounds are held. The latest revisions to the Notice of Funding Availability (NOFA) were approved by the MFA Board of Directors on November 14, 2012 and are posted on MFA's website.

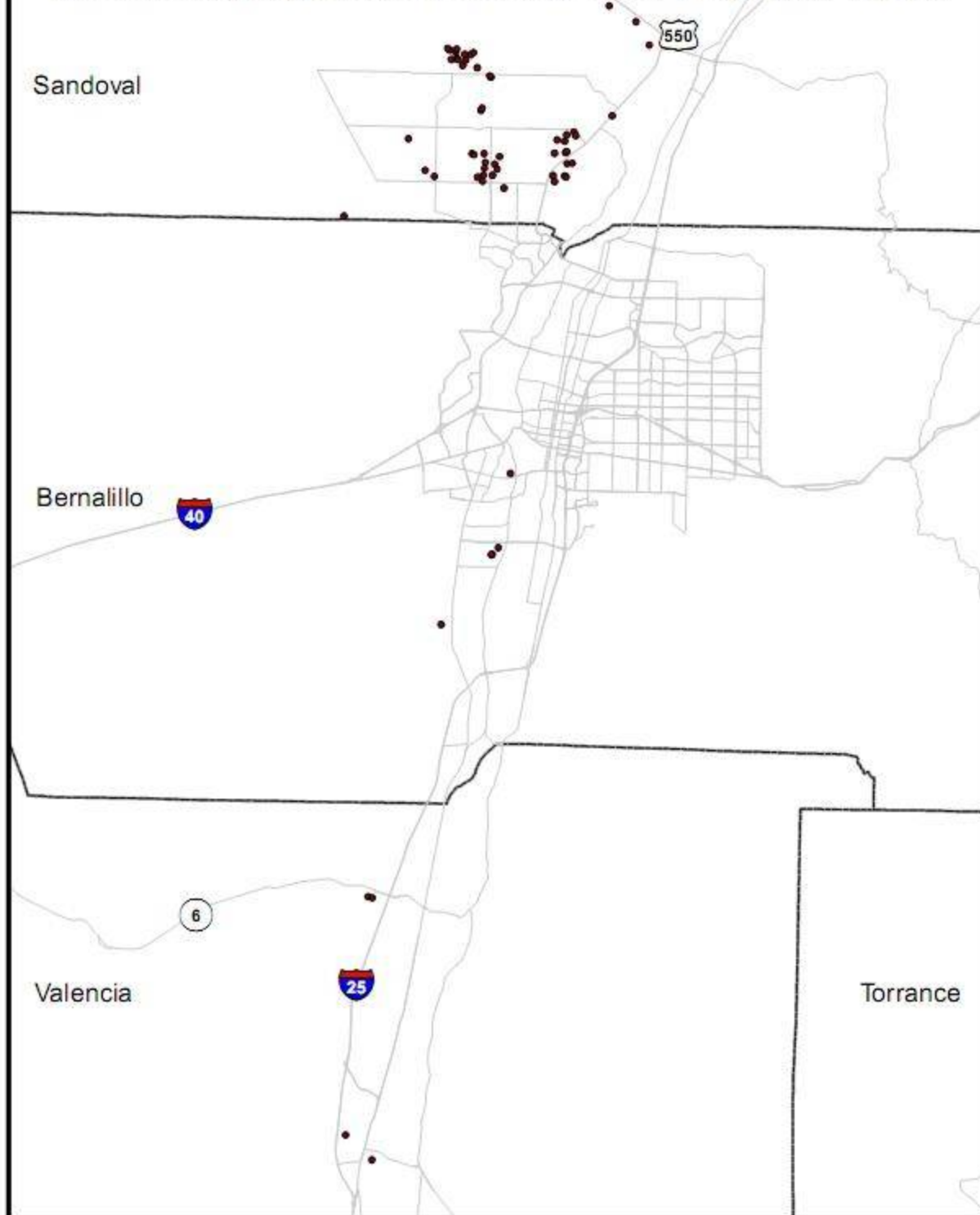
As of December 31, 2013, there have been 37 awards (includes those built and funds repaid) totaling \$25,804,951. These awards leverage \$268,351,719 in other public and private funding, and when completed, these awarded projects will comprise 1,790 new or rehabbed units in 13 counties, which include three Native American pueblos or reservations and one Colonia.

MFA was awarded a \$200,000 appropriation during the 2013 legislative session and \$500,000 in the 2014 legislative session. No HTF funds were approved in 2009, 2010 or 2011 due to State budget constraints.

Neighborhood Stabilization Program (Housing and Economic Recovery Act of 2008)

In 2008, \$19.6 million in federal money was allocated to New Mexico to fund the Neighborhood Stabilization Program, or NSP1. NSP1 is part of the Housing and Economic Recovery Act of 2008. MFA's original portion was \$8.7 million, used for acquisition and rehabilitation of foreclosed properties. NSP was established for the purpose of stabilizing communities that have suffered from foreclosures and abandonment. Since October of 2009, MFA has entered into contracts with four subgrantees. As of December 31, 2013, sixty-two (62) foreclosed homes and twenty-two (22) rental units were purchased and rehabilitated using NSP Acquisition/Rehabilitation funding. \$15,197,195.17 Including Administrative funds and program income in NSP funds were obligated, with \$15,185,461.10 expended as of that same date. Average holding time for NSP purchased properties is 4 months and 61 of 62 properties were sold to income eligible purchasers as of December 21, 2013. The rental units are fully occupied. A map showing locations of the properties in their neighborhoods is included on the next page.

2009-2013 Neighborhood Stabilization Program Projects



American Recovery and Reinvestment Act of 2009 (ARRA)

Weatherization Assistance Program

The NM Energy\$mart stimulus funding was totally expended on September 30, 2013. The Department of Energy funding for the weatherization program has decreased below pre-stimulus funding levels. Therefore, the program does not have the funding necessary to maintain the level of service during the past several “ARRA” years. The 2013 expenditures for Energy\$mart programs are given below.

2013 Energy\$mart Funds Expended	
LIHEAP	\$ 1,606,897.06
DOE	\$ 1,057,228.65
NM Gas	\$ 1,039,315.86
ARRA	\$ 397,414.22
PNM	\$ 160,959.91
NM Gas Baseload/Baseload Plus	\$ 423,805.56
TOTAL	\$3,703,441.57

This 2013 CAPER concludes with the following information:

Attachment K: IDIS Report - PR06 for HOME, ESG and HOPWA for 2011, 2012 and 2013

List of Acronyms for CAPER 2014

Admin	Administrative
ADSN	Areas of demonstrated statistical need
AG	Attorney General
AMI	Area Median Income
ARRA	American Recovery and Reinvestment Act
BoS	Balance of State
CAPER	Consolidated Annual Performance and Evaluation Report
CDBG	Community Development Block Grants
CFL	Compact fluorescent light bulb
CFR	Code of Federal Regulations
CHDO	Community Housing Development Organization
COC	Continuum of Care
COP	CHDO Operating Funds
DFA	Department of Finance and Administration
DOE	Department of Energy
DPA	Down Payment Assistance
EHAP	Emergency Housing Assistance Program
ESG	Emergency Solutions Grant
FHEO	Fair Housing and Economic Opportunity
HMA	Health Management Alliance (HMA)
HMIS	Homeless Management Information System
HOME	HOME Investment Partnership
HOPWA	Housing Opportunities for Persons with AIDS
HOR	Home Owner Rehab
HTF	Housing Trust Fund
HUD	US Department of Housing and Urban Development
IDIS	Integrated Disbursement and Information System
IRS	Internal Revenue Service
LIHEAP	Low Income Home Energy Assistance Program
LIHTC	Low Income Housing Tax Credits
MBE	Minority Business Enterprise
MFA	New Mexico Mortgage Finance Authority
MRB	Mortgage Revenue Bonds
MSA	Metropolitan Statistical Area
NAHRO	National Association of Housing and Redevelopment Officials
NSP	Neighborhood Stabilization Program
PHA	Public Housing Authority
QAP	Qualified Allocation Plan
RAP	Rental Assistance Program
Rehab	Rehabilitation
REN	Rental
RFP	Request for Proposals
S+C	Shelter Plus Care
SFD	Single Family Development
SHP	Supportive Housing Program
STRMU	Short Term Rent, Mortgage and Utilities
T&TA	Training and Technical Assistance
TBRA	Tenant Based Rental Assistance
UPCS	Uniform Physical Condition Standards
WAP	Weatherization Assistance Program
WBE	Women's Business Enterprise

Attachment A: Public Notices

Consolidated Annual Performance and Evaluation Report (CAPER)

2013 DRAFT CAPER

The New Mexico Mortgage Finance Authority is pleased to release the DRAFT State of New Mexico 2013 Consolidated Annual Performance and Evaluation Report (CAPER). The U.S. Department of Housing and Urban Development requires MFA and the Local Government Division of the Department of Finance and Administration to report on activities undertaken during the previous program year using the state's formula HOME, ESG, HOPWA and CDBG allocations. Citizens, interested agencies, and for-profit and non-profit organizations may review the CAPER and provide comments during a fifteen-day public comment period, which begins on March 3, 2014, and will end at 5:00 p.m. MST, Friday, March 17, 2014.

The draft CAPER will be posted at www.housingnm.org on March 3, 2014. The report pertains to the HOME, ESG, and HOPWA funds MFA administers. For CDBG performance reporting, please contact the Local Government Division (505-827-4350). If you are unable to download the 2013 Draft CAPER, please contact the Mortgage Finance Authority to request a copy (505-843-6860) or toll free 1-800-444-6860. If you are an individual with a disability who is in need of a reader, amplifier, qualified sign language interpreter, or any other form of auxiliary aid or service, please contact the person below.

Written comments and/or questions may be directed to Debbie Davis. Phone: (505) 843-6860 or toll free 1-800-444-6860 or Fax: (505) 243-3283 or e-mail: ddavis@housingnm.org. Mail: New Mexico Mortgage Finance Authority, 344 Fourth St. SW, Albuquerque, NM 87102

Aviso Público

La Nueva México Autoridad Financiamiento Hipotecario (MFA) e complacé en publicar el proyecto estado de Nuevo México 2013 Rendimiento Anual consolidado y Informe de Evaluación (CAPER). El Departamento de Vivienda y Desarrollo Urbano de Estados Unidos requiere la MFA y la división del Gobierno Local del Departamento de Finanzas y administración para informar sobre las actividades realizadas durante el año anterior del programa utilizando la fórmula

Consolidated Annual Performance and Evaluation Report (CAPER)

2012 CAPER

The 2012 Consolidated Annual Performance and Evaluation Report (CAPER) was approved by HUD on May 27, 2013. It is posted [here](http://www.housingnm.org). Printed copies may be obtained by calling Debbie Davis at 505-843-6860.

2011 CAPER

Fourth St. SW, Albuquerque, NM 87102

Aviso Público

La Nueva México Autoridad Financiamiento Hipotecario (MFA) e complacé en publicar el proyecto estado de Nuevo México 2012 Rendimiento Anual consolidado y Informe de Evaluación (CAPER). El Departamento de Vivienda y Desarrollo Urbano de Estados Unidos requiere la MFA y la división del Gobierno Local del Departamento de Finanzas y administración para informar sobre las actividades realizadas durante el año anterior del programa utilizando la fórmula del estado, las asignaciones de HOME, HOPWA y CDBG. Ciudadanos, los organismos interesados y organizaciones sin fines de lucro y con fines de lucro pueden revisar el Consolidado Anual Rendimiento e Informe de Evaluación y proporcionar comentarios durante un periodo de comentario público quince días, que comienza el 03 de marzo de 2014 y terminará a las 5:00 p.m. MST, lunes, 17 de marzo de 2014.

El proyecto CAPER se publicará en www.housingnm.org en el 3 de marzo de 2014. El informe pertenece a la HOME, ESG y HOPWA que MFA administra para CDBG informes de rendimiento, póngase en contacto con la División del Gobierno Local (505-827-4350). Si usted es incapaz de imprimir el proyecto 2012 CAPER, póngase en contacto con la MFA para solicitar una copia (505-843-6860) o llame gratis al 1-800-444-6860. Si usted es un individuo con una discapacidad que necesita un lector, amplificador, intérprete de lenguaje de señas cualificado o cualquier otra forma de ayuda auxiliar o de servicio, póngase en contacto con la persona más abajo.

Para comentarios o preguntas pueden ser dirigidos a Debbie Davis. Teléfono: (505) 843-6860 o llame gratis al 1-800-444-6860 o Fax: (505) 243-3283 o por correo electrónico: ddavis@housingnm.org. Correo: Nueva México Autoridad Financiamiento Hipotecario (MFA), 344 Fourth St. SW, Albuquerque, NM 87102

AFFIDAVIT OF LEGAL PUBLICATION

LEGAL # 1738

STATE OF NEW MEXICO
COUNTY OF CURRY:

The undersigned, being duly sworn, says:
That she is a Legal Clerk of
The Clovis News Journal, a daily
Newspaper of general circulation,
published in English at Clovis,
said county and state, and that the
hereto attached

Public Notice

was published in said Clovis News Journal,
a daily newspaper duly
qualified for that purpose within
the meaning of Chapter 157 of the
1937 Session Laws of the State of
New Mexico for 1 consecutive
days/weeks on the same days as follows:

First Publication: February 28, 2014
Second Publication:
Third Publication:
Fourth Publication:

Legal Clerk

Subscribed and sworn to before me
February 28, 2014

Notary Public

My Commission Expires May 24, 2015.

Legal 1738
February 28, 2014
PUBLIC NOTICE

The New Mexico
Mortgage Finance
Authority is pleased to
release the DRAFT
State of New Mexico
2013 Consolidated
Annual Performance
and Evaluation Report
(CAPER). The U.S.
Department of Housing
and Urban Development
requires MFA and the
Local Government
Division of the
Department of Finance
and Administration to
report on activities
undertaken during the
previous program year
using the status formula
HOME, ESG, HOPWA
and CDBG allocations.
Citizens, interested
agencies, and for-profit
and non-profit organiza-
tions may review the
CAPER and provide
comments during a 15-
teen-day public com-
ment period, which
begins on March 3,
2014, and will end at
5:00 p.m. MST, Friday,
March 17, 2014.

The draft CAPER will be
posted at [www.hous-
ingnm.org](http://www.hous-
ingnm.org) on March 3,
2014. The report per-
tains to the HOME,
ESG, and HOPWA
funds MFA administers.
For CDBG performance
reporting, please con-
tact the Local
Government Division
(505-827-4950). If you
are unable to download
the 2013 Draft CAPER,
please contact the
Mortgage Finance
Authority to request a
copy (505-843-6880) or
toll free 1-800-444-
6880. If you are an indi-
vidual with a disability
who is in need of a
reader, amplifier, qual-
ified sign language inter-
preter, or any other form
of auxiliary aid or ser-
vice, please contact the
person below.

Written comments and/or
questions may be
directed to Debbie
Davis. Phone: (505)
843-6880 or toll free 1-
800-444-6880 or Fax:
(505) 243-3289 or e-
mail: [ddavis@hou-
singnm.org](mailto:ddavis@hou-
singnm.org). Mail: New
Mexico Mortgage
Finance Authority, 344
Fourth St. SW,
Albuquerque, NM 87102

Copy of Publication

Aviso Público
La Nueva México
Autoridad
Financiera
Hipotecaria (MFA) o-
completa en publicar el
proyecto estado de
Nuevo México 2013
Rendimiento Anual con-
solidado y Informe de
Evaluación (CAPER). El
Departamento de
Vivienda y Desarrollo
Urbano de Estados
Unidos requiere la MFA
y la división del
Gobierno Local del
Departamento de finan-
zas y administración
para informar sobre las
actividades realizadas
durante el año anterior
del programa utilizando
la fórmula del estado,
las asignaciones de
ESG, HOPWA y CDBG.
Ciudadanos, los organ-
ismos interesados y
organizaciones sin fines
de lucro pueden revisar el
Consolidado Anual
Rendimiento e Informe
de Evaluación y propor-
cionar comentarios
durante un periodo de
sesenta días, que
comienza el 03 de
marzo de 2014 y termi-
nará a las 5:00 p.m.
MST, lunes, 17 de
marzo de 2014.

El proyecto CAPER se
publicará en [www.hous-
ingnm.org](http://www.hous-
ingnm.org) en el 3 de
marzo de 2014. El
informe pertenece a la
HOME, ESG y HOPWA
que MFA administra
para CDBG informes
de rendimiento, pón-
gase en contacto con la
División del Local
Gobierno (505-827-
4950). Si usted es inco-
pac el proyecto 2013
CAPER a, póngase en

contacto con la MFA
para solicitar una copia
(505-843-6880) o llame
gratis al 1-800-444-
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individuo con una dis-
capacidad que necesita
un lector, amplificador,
intérprete de lenguaje
de señas cualificado o
cualquier otra forma de
ayuda auxiliar o de ser-
vicio, póngase en con-
tacto con la persona
nada abajo.
Escrito comentarios o
preguntas pueden ser
dirigidas a Debbie
Davis. Teléfono: (505)
843-6880 o llame gratis
1-800-444-6880 o Fax:
(505) 243-3289 o por
correo electrónico:
ddavis@housingnm.org.
Correo: Nuevo México
Autoridad
Financiera
Hipotecaria (MFA), 344
Fourth St. SW,
Albuquerque, NM 87102

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Public Notice

The New Mexico Mortgage Finance Authority is pleased to release the DRAFT State of New Mexico 2013 Consolidated Annual Performance and Evaluation Report (CAPER). The U.S. Department of Housing and Urban Development requires MFA and the Local Government Division of the Department of Finance and Administration to report on activities undertaken during the previous program year using the State's formula HOME, ESG, HOPWA and COBG allocations. Citizens, interested agencies, and for-profit and non-profit organizations may review the CAPER and provide comments during a fifteen-day public comment period, which begins on March 3, 2014, and will end at 5:00 p.m. MST, Friday, March 17, 2014.

The draft CAPER will be posted at www.housingnm.org on March 3, 2014. The report pertains to the HOME, ESG, and HOPWA funds MFA administers. For COBG performance reporting, please contact the Local Government Division (505-827-4950). If you are unable to download the 2013 Draft CAPER, please contact the Mortgage Finance Authority to request a copy (505-843-6880) or toll free 1-800-444-6880. If you are an individual with a disability who is in need of a reader, amplifier, of a reader, amplifier, or any other form of auxiliary aid or service, please contact the person below.

Written comments and/or questions may be directed to Debbie Davis, Phone: (505) 843-6880 or toll free 1-800-444-6880 or fax: (505) 243-3289 or e-mail: ddavis@housingnm.org. Attn: New Mexico Mortgage Finance Authority, 344 Fourth St. SW, Albuquerque, NM 87102.

Aviso Público

La Nueva México Autoridad Financiamiento Hipotecario (MFA) e complace en publicar el proyecto estado de Nueva México 2013 Rendimiento Anual consolidado y Informe de Evaluación (CAPER). El Departamento de Vivienda y Desarrollo Urbano de Estados Unidos requiere la MFA y la división del Gobierno Local del Departamento de Finanzas y Administración para informar sobre las actividades realizadas durante el año anterior del programa utilizando la fórmula del estado, las asignaciones de ESG, HOPWA y COBG. Ciudadanos, los organismos interesados y organizaciones sin fines de lucro y con fines de lucro pueden revisar el Consolidado Anual Rendimiento e Informe de Evaluación y proporcionar comentarios durante un período de comentario público quince días, que comienza el 03 de marzo de 2014 y terminará a las 5:00 p.m. MST, viernes, 17 de marzo de 2014.

NOTICE

CERTIFICATE OF PUBLICATION

STATE OF NEW MEXICO
COUNTY OF LUNA

I, Bill Armendariz do solemnly swear that I am the Editor of the Deming Headlight and that the article, a copy of which is hereto attached, has been published in the Deming Headlight, a newspaper published daily in the county of Luna, State of New Mexico, for 1 time.

Publication being on the 3rd day of March 2014

Deponent further states that this newspaper is duly qualified to publish legal notices or advertisements within the meaning of 1937 N.M. Laws, Ch. 167, Secs 1 - 5 (Sec. N.M.S.A. 1978, sec 14-11-1 et seq.)

DEMING HEADLIGHT

Bill Armendariz
State of New Mexico

Sworn to and subscribed before me the 3rd day of March 2014.

Cynthia Varnhagen
Notary Public
Commission Expires: 4/12/14



AFFIDAVIT OF PUBLICATION

Ad No. 69987

**STATE OF NEW MEXICO
County of San Juan:**

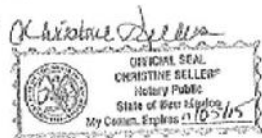
JOHN ELCHERT, being duly sworn says:
That HE is the PUBLISHER of THE DAILY
TIMES, a daily newspaper of general
circulation published in English at Farmington,
said county and state, and that the hereto
attached Legal Notice was published in a
regular and entire issue of the said DAILY
TIMES, a daily newspaper duly qualified for
the purpose within the meaning of Chapter
167 of the 1937 Session Laws of the State of
New Mexico for publication and appeared in
the Internet at The Daily Times web site on
the following day(s):

Wednesday, February 26, 2014

And the cost of the publication is \$147.92

John Elchert

ON *2/26/14* JOHN ELCHERT
appeared before me, whom I know personally
to be the person who signed the above
document.



COPY OF PUBLICATION

Public Notice

The New Mexico Mortgage Finance Authority is pleased to release the DRAFT State of New Mexico 2013 Consolidated Annual Performance and Evaluation Report (CAPER). The U.S. Department of Housing and Urban Development requires MFA and the Local Government Division of the Department of Finance and Administration to report on activities undertaken during the previous program year using the state's formula HOME, ESG, HOPWA and CDBG allocations. Citizens, interested agencies, and for-profit and non-profit organizations may review the CAPER and provide comments during a fifteen-day public comment period, which begins on March 3, 2014, and will end at 5:00 p.m. MST, Friday, March 17, 2014. The draft CAPER will be posted at www.housingnm.org on March 3, 2014. The report pertains to the HOME, ESG, and HOPWA funds MFA administers. For CDBG performance reporting, please contact the Local Government Division (505-827-4350). If you are unable to download the 2013 Draft CAPER, please contact the Mortgage Finance Authority to request a copy (505-843-6880) or toll free 1-800-444-6880. If you are an individual with a disability who is in need of a reader, amplifier, qualified sign language interpreter, or any other form of auxiliary aid or service, please contact the person below. Written comments and/or questions may be directed to Debbie Davis. Phone: (505) 843-6880 or toll free 1-800-444-6880 or fax: (505) 243-3229 or e-mail: ddavis@housingnm.org. Mail: New Mexico Mortgage Finance Authority, 344 Fourth St SW, Albuquerque, NM 87102.

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usted es incapaz el proyecto 2013 CAPER a póngase en contacto con la MFA para solicitar una copia (505-843-6880) o llame gratis al 1-800-444-6880. Si usted es un individuo con una discapacidad que necesita un lector, amplificador, intérprete de lenguaje de señas cualificado o cualquier otra forma de ayuda auxiliar o de servicio, póngase en contacto con la persona más abajo. Gente con comentarios o preguntas pueden ser dirigidos a Debbie Davis. Teléfono: (505) 843-6880 o llame gratis 1-800-444-6880 o fax: (505) 243-3229 o por correo electrónico: ddavis@housingnm.org. Correo: Nueva México Autoridad Financiamiento Hipotecario (MFA), 344 Fourth ST SW, Albuquerque, NM 87102.

Legal No. 69987 published in The Daily Times on February 26, 2014.

LAS CRUCES SUN-NEWS

PROOF OF PUBLICATION

I, being duly sworn, Frank Leto deposes and says that he is the Publisher of the Las Cruces Sun -News, a newspaper published daily in the county of Dona Ana, State of New Mexico; that the notice 52582 is an exact duplicate of the notice that was published once a week/day in regular and entire issue of said newspaper and not in any supplement thereof for 1 consecutive week(s)/day(s), the first publication was in the issue dated February 27, 2014 and the last publication was February 27, 2014

Despondent further states this newspaper is duly qualified to publish legal notice or advertisements within the meaning of Sec. Chapter 167, Laws of 1937.

Signed

Publisher
Official Position

STATE OF NEW MEXICO

ss.

County of Dona Ana

Subscribed and sworn before me this

4th day of March 2014

Maria Isabel Del Villar

Notary Public in and for

Dona Ana County, New Mexico

August 6, 2016

My Term Expires



Public Notice

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www.housingnm.org

LAS CRUCES SUN-NEWS

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electrónico: ddavis@housingnm.org.
Correo: Nuevo México Autoridad
Financiamiento Hipotecario (MFA), 344
Fourth ST SW, Albuquerque, NM 87102

Publication #52582
Run Date: Feb. 27, 2014

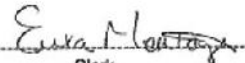
**AFFIDAVIT OF PUBLICATION
STATE OF NEW MEXICO**

I, Erika Montoya
Legals Clerk

Of the Roswell Daily Record, a daily newspaper published at Roswell, New Mexico do solemnly swear that the clipping hereto attached was published in the regular and entire issue of said paper and not in a supplement thereof for a period of:

One time with the issue dated

February 28, 2014


Clerk

Sworn and subscribed to before me

this 28th day of February, 2014


Notary Public

My Commission expires
June 13, 2014

(SEAL)

Public Notice...

Publish February 28, 2014

Public Notice

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Reciba comentarios o preguntas pueden ser dirigidos a Debbie Davis. Teléfono: (505) 843-6880 o llame gratis 1-800-444-6880 o Fax: (505) 243-3289 o por correo electrónico: ddavis@housingnm.org. Correo: Nueva México Autoridad Financiera Hipotecaria (MFA), 344 Fourth St SW, Albuquerque, NM 87102.

SANTA FE
NEW MEXICAN

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NM MORTGAGE FINANCE AUTHORITY.
344 4TH ST SW
ALBUQUERQUE, NM 87103

ACCOUNT: 2041
AD NUMBER: 0000055301
LEGAL NO. 96599 P.O. #:
1 TIME(S) 98.28
AFFIDAVIT 10.00
TAX 8.87
TOTAL 117.15

AFFIDAVIT OF PUBLICATION

STATE OF NEW MEXICO
COUNTY OF SANTA FE

I, K. Salazar, being first duly sworn declare and say that I am Legal Advertising Representative of THE SANTA FE NEW MEXICAN, a daily newspaper published in the English language, and having a general circulation in the Counties of Santa Fe, Rio Arriba, San Miguel, and Los Alamos, State of New Mexico and being a newspaper duly qualified to publish legal notices and advertisements under the provisions of Chapter 167 on Session Laws of 1937; that the Legal No 96599 a copy of which is hereto attached was published in said newspaper 1 day(s) between 02/28/2014 and 02/28/2014 and that the notice was published in the newspaper proper and not in any supplement; the first date of publication being on the 28th day of February, 2014 and that the undersigned has personal knowledge of the matter and things set forth in this affidavit.

/s/

LEGAL ADVERTISEMENT REPRESENTATIVE

Subscribed and sworn to before me on this 28th day of February, 2014

Notary

Commission Expires:



SantaFeNewMexican.com

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THE SANTA FE
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AVISO PÚBLICO

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SantaFeNewMexican.com

201 East Marcy Street, Santa Fe, NM 87501-2021 • 505-983-3303 • Fax: 505-984-1785 • P.O. Box 2048, Santa Fe, NM 87504-2048

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Regular Municipal
Session November 4,
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www.pearsoned.com/usa/

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**Attachment B: Fair Housing Plan, Section 3 Plan,
Residential Antidisplacement and Relocation
Assistance Plan, and Limited English Proficiency Plan**

**NEW MEXICO MORTGAGE FINANCE AUTHORITY
FAIR HOUSING PLAN AND POLICY**

I. Policy Statement

It shall be the policy and commitment of the New Mexico Mortgage Finance Authority to ensure that fair and equal housing opportunities are granted to all persons, in all housing opportunities and development activities funded by the New Mexico Mortgage Finance Authority regardless of race, color, religion, gender, sexual orientation, marital status, lawful source of income, familial status, national origin, ancestry, age or mental or physical disability. This shall be done through a program of education, an analysis of impediments, and designation of a Fair Housing Officer and development of a procedure for complaints of discrimination. This plan will incorporate the directives of State and Federal laws and Executive Orders, including, but not limited to:

- a. Title VI of the Civil Rights Act of 1964
- b. The Fair Housing Act – Title VIII of the Civil Rights Act of 1968, as amended
- c. Executive Order 11063, as amended by Executive Order 12259
- d. Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended
- e. Section 109 of Title I of the Housing and Community Development Act of 1974, as amended
- f. Section 3 of the Housing and Community Development Act of 1968, as amended
- g. Sections 503 and 504 of the Rehabilitation Act of 1973, as amended
- h. The Americans with Disabilities Act of 1990
- i. The Age Discrimination Act of 1975, as amended
- j. Executive Order 11246 (as amended by Executive Orders 12375 and 12086) Equal Opportunity Under HUD contracts and HUD-assisted Construction Contracts
- k. Executive Order 12892, Leadership and Coordination of Fair Housing
- l. New Mexico Human Rights Act Chapter 28, Article 1 NMSA 1978 (§28.1.1 NMSA)

The New Mexico Mortgage Finance Authority commits to providing and promoting racial and economic integration in any housing development and will take affirmative steps to reach beneficiaries from all racial and ethnic groups as well as the physically or mentally handicapped and families with children and to reach a broad range of income eligible beneficiaries for appropriate and applicable housing opportunities.

II. Selection of Fair Housing Officer

In accordance with Title VIII, Civil Rights Act of 1968, as amended, the Fair Housing Officer below has been designated to handle fair housing complaints and activities:

Deborah Davis
Programs and Initiatives Manager
344 4th Street SW
Albuquerque, New Mexico 87102
505-843-6880



The Fair Housing Officer is responsible for the intake and processing of all housing complaints as well as implementation of the Fair Housing Plan activities and actions. While not expected to be an "expert" in Fair Housing Laws, at a minimum, the officer will be familiar with the complaint process and Federal and State Laws, which address Fair Housing. Records which show the date, time, nature of complaint and decisions made in the complaint process(es) will be fully documented. A separate file will maintain a record of all housing discrimination complaint and follow-up actions.

III. Complaint Process

The Fair Housing Officer will reasonably assist the complainant in submitting the complaint to the appropriate body in accordance with the grievance procedures of the New Mexico Mortgage Finance Authority.

The individual(s) filing the complaint will then be advised of the option of filing directly with the U.S. Department of Housing and Urban Development (HUD), the New Mexico Human Rights Division (NMHRD), or the Equal Employment Opportunity Commission (EEOC) or with all agencies simultaneously. The Fair Housing Officer will keep a record of the progress on the number of complaints filed, actions taken, and the status of each complaint.

Additional Steps

- The New Mexico Mortgage Finance Authority will adopt annually the Fair Housing Policy Statement as an indication of its commitment to Fair Housing Month during the month of April.
- The New Mexico Mortgage Finance Authority shall periodically prepare, solicit and provide public service announcements for local radio and/or TV stations or local newspapers in order to provide knowledge and information about Fair Housing.
- The New Mexico Mortgage Finance Authority will display Fair Housing posters identifying the agency's Fair Housing Officer, title, address and phone number in prominent locations. In addition, fair housing information will be distributed outside of the agency to traditional housing partners, including local realtors and banks and other referral sources.
- All advertising of residential real estate owned or developed by the New Mexico Mortgage Finance Authority for sale, rent or financing will contain the Fair Housing logo, equal opportunity slogan as a means of educating the home seeking public that the property is available to all persons regardless of race, color, religion, sex, mental or physical disability, sexual orientation, familial status, marital status, national origin, age, ancestry, or lawful source of income. All printed materials, including agency letterhead, business cards, program brochures, bid advertisements, etc. by the New Mexico Mortgage Finance Authority sponsored programs must include the phrase "Equal Housing Opportunity/Affirmative Action Employer." The type of logo, statement or slogan will depend on the type of media being used (visual or auditory). All logos/statements must appear at the end of the advertisement.

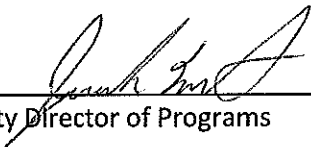


IV. Analysis of Impediments

The New Mexico Mortgage Finance Authority will undertake a periodic Analysis of Impediments and conduct a review of policies, practices and procedures that effect the location available and accessibility of housing.

V. Amendments

The Executive Director, Deputy Director of Programs or Chairman of the Board of the New Mexico Mortgage Finance Authority shall amend and revise this Plan as required to keep current with State/ Federal affirmative action and equal opportunity policies and procedures and local actions and activities to further the purposes of this Plan.


Deputy Director of Programs

3/12/14
Date



**NEW MEXICO MORTGAGE FINANCE AUTHORITY
SECTION 3 PLAN**

The New Mexico Mortgage Finance Authority is committed to comply with Section 3 of the Housing and Urban Development Act of 1968. This Act encourages the use of small local businesses and the hiring of low income residents of the community.

The New Mexico Mortgage Finance Authority has appointed Deborah Davis as the Section 3 Coordinator, to advise and assist key subgrantee personnel and staff on Section 3 and to officially serve as focal point for Section 3 complaints. The approval or disapproval of the Section 3 plan is the ultimate responsibility of the New Mexico Mortgage Finance Authority. Documentation of efforts will be retained on file for monitoring.

Therefore, the New Mexico Mortgage Finance Authority will encourage all subgrantees in:

1. Hiring

- a. Advertise for all positions in local newspapers
- b. Give preference in hiring to lower income persons. This means that if two equally qualified persons apply and one is a resident of the City/County where the programmatic work is being conducted and one is not, the resident will be hired
- c. Maintain records of subgrantee hiring as specified

2. Contracting

- a. The subgrantee will compile a list of businesses, suppliers and contractors located in the area.
- b. These vendors will be contacted for bid or quotes whenever the subgrantee requires supplies, services or construction.
- c. Preference will be given to small local businesses. This means if identical bids/quotes are received from a small business of the City/County where the programmatic work is being conducted and one is not, the contract will be awarded to the business located within the community.

3. CDBG, NSP and HOME Contracts

All CDBG, NSP and HOME bid proposals and contracts include the following Section 3 language in the Statement of Assurances:

EMPLOYMENT

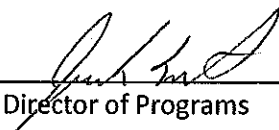
(H) It will comply with Section 3 of the Housing and Urban Development Act of 1968, P.L. 90-448, as amended (12 U.S.C. 1701 (u)) requiring that to the greatest extent feasible

opportunities for training and employment be given to low and moderate income residents and contracts for work in connection with the project be awarded to eligible business concerns. The MFA shall require each contractor to prepare a written Section 3 plan as a part of their bids on all jobs exceeding \$100,000. All Section 3 plans shall be reviewed and approved by the MFA's Equal Opportunity Section 3 Compliance Officer and retained for monitoring by the state.

The MFA will maintain all necessary reports and will insure that all contractors and subcontractors submit required reports.

LOWER INCOME CLARIFICATION

A family who resides in the State of New Mexico and whose income does not exceed the income limit for the size of family as per the attached Section 8 Income Limits for State of New Mexico.



Deputy Director of Programs

3/12/14

Date

NEW MEXICO MORTGAGE FINANCE AUTHORITY
LIMITED ENGLISH PROFICIENCY POLICY STATEMENT AND LANGUAGE ACTION PLAN

The New Mexico Mortgage Finance Authority (MFA) is committed to providing equal opportunity in all programs and services to ensure full compliance with all civil rights laws, including Title VI of the 1964 Civil Rights Act which requires non-discrimination on the basis of national origin. Equal opportunity includes physical and program access for persons with disabilities and program access for persons with Limited English Proficiency (LEP). Program and physical access for persons with disabilities is covered in the Americans with Disabilities Act of 1990 and the Rehabilitation Act of 1973 as amended, Section 504. This policy is based on HUD's suggested four prong analysis which examines the number of limited English proficiency persons served, the frequency with which those persons come into contact with services, the nature and importance of services provided and the costs to the MFA. This policy is intended to ensure MFA's compliance with the Department of Housing and Urban Development's Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency" and was drafted upon consideration of the services offered, the community served, the resources of the MFA, and the costs of various language service options.

It is the policy of this agency to provide language access services to populations of persons with Limited English Proficiency (LEP) who are eligible to be served or likely to be directly affected by our programs. Such services will be focused on providing meaningful access to our programs, services and/or benefits.

Any individual eligible for programs/services through MFA who cannot speak, read, write, or understand the English language at a level that permits them to interact effectively with our staff has the following rights:

- A right to qualified interpreter services at no cost to them.
- A right not to be required to rely on their minor children, other relatives, or friends as interpreters.
- A right to file a grievance about the language access services provided them.

Marjorie Martin, MFA Legal Counsel is the agency's designated Equal Opportunity/Limited English Proficiency Coordinator. Ms. Martin may be reached on weekdays from 8:00am – 5:00pm.

Phone Numbers:

(505) 843-6880

(800) 444-6880 (Toll free in New Mexico)

Fax: (505) 243-3289

TTY/Voice:

711, or if no answer:

1-800-659-8331 (English)

OR

1-800-327-1857 (Spanish)

A New Mexico Relay CA will answer by saying: "New Mexico Relay Go Ahead."

Information about discrimination complaint resolution process is available to you upon request.



Definitions of Terms

- **Effective Communication** – In a Housing Services assistance setting; effective communication occurs when agency staff have taken necessary steps to make sure that a person who is LEP is given adequate information in his/her language to understand the services, benefits or the requirements for services or benefits offered by the MFA. These necessary steps must allow an individual the opportunity to qualify for the benefits or services provided by MFA without unnecessary delay due to the person's LEP. Effective communication also means that a person who is LEP is able to communicate the relevant circumstances of his/her situation to MFA staff.
- **Interpretation** – Interpretation means the oral or spoken transfer of a message from one language into another language.
- **Limited English Proficiency** – A person with limited English proficiency or "LEP" is not able to speak, read, write or understand the English language well enough to allow him/her to interact effectively with MFA staff.
- **Meaningful access** – "Meaningful access" to benefits, programs and services is the standard of access required of the MFA since it receives federal funding. Meaningful access requires compliance by MFA with federal LEP requirements as set out in relevant federal laws. To ensure meaningful access for people with LEP, MFA must make available to applicants/recipients of benefits/services free language assistance that results in accurate and effective communication that does not result in undue delay or denial of benefits to which the LEP applicant/recipient is eligible.
- **Translation** – Translation means the written transfer of a message from one language into another language.
- **Vital Documents** – forms or documents designed and utilized by MFA that are critical for accessing federally funded services or benefits or are required by law. Vital documents can include but are not limited to; applications for MFA programs, consent forms designed by MFA or letters designed by MFA requesting eligibility documentation.
- **Outreach Documents** - MFA designed documents utilized to provide information to the general public but targeting individuals who are eligible or may be eligible for MFA programs.

LEP Population, Analysis and Access Plan

The New Mexico Mortgage Finance Authority has determined that the language(s) other than English that is/are most likely to be encountered by employees of MFA is Spanish. The methodology used to make this determination is as follows: According to data from the U.S. Census Bureau, 64% of households in the State of New Mexico speak only English within the household. The language most commonly spoken at home by New Mexico citizens who are Limited English Proficient (LEP) is Spanish. According to the U.S. Census Bureau, approximately 28.5% of New Mexico's citizens speak Spanish at home. Executive Order 13166 mandates that if the size of the language group exceeds more than 5% of the eligible



population, all vital documents shall be translated. MFA, therefore, will translate all vital documents into Spanish. Currently, no other languages meet the 5% eligible population threshold mandating translated documents.

MFA will periodically monitor the LEP population of those served or those who could be served by MFA. If it is determined that other LEP language groups are seeking benefits/services or are potentially eligible to receive benefits/services, MFA will adjust its methods and services to serve the new population accordingly. Any new LEP populations will be reflected in an updated version of the LEP plan.

LANGUAGE SPOKEN AT HOME BY ABILITY TO SPEAK ENGLISH FOR THE POPULATION 5 YEARS AND OVER - Universe: POPULATION 5 YEARS AND OVER			
Data Set : 2012 America Community Survey 1-year Estimates, Table B16001			
	Estimate	Margin of Error	Percent of Total Population
Total:	1,911,350	+/-479	
Speak only English	1,222,929	+/-5,815	63.982
Spanish or Spanish Creole:	545,308	+/-5,256	28.530
French (incl. Patois, Cajun):	4,744	+/-553	0.248
French Creole:	42	+/-50	0.002
Italian:	1,775	+/-287	0.093
Portuguese or Portuguese Creole:	731	+/-189	0.038
German:	7,600	+/-782	0.398
Yiddish:	164	+/-100	0.009
Other West Germanic languages:	889	+/-228	0.047
Scandinavian languages:	559	+/-191	0.029
Greek:	403	+/-146	0.021
Russian:	1,287	+/-328	0.067
Polish:	521	+/-158	0.027
Serbo-Croatian:	331	+/-214	0.017
Other Slavic languages:	416	+/-174	0.022
Armenian:	89	+/-79	0.005
Persian:	1,228	+/-427	0.064
Gujarati:	614	+/-307	0.032
Hindi:	933	+/-303	0.049
Urdu:	242	+/-133	0.013
Other Indic languages:	906	+/-366	0.047
Other Indo-European languages:	713	+/-239	0.037
Chinese:	4,918	+/-668	0.257
Japanese:	1,972	+/-351	0.103
Korean:	1,398	+/-370	0.073
Mon-Khmer, Cambodian:	15	+/-23	0.001
Hmong:	18	+/-30	0.001
Thai:	360	+/-148	0.019
Laotian:	503	+/-288	0.026
Vietnamese:	3,807	+/-674	0.199
Other Asian languages:	1,045	+/-348	0.055



Tagalog:	2,475	+/-463	0.129
Other Pacific Island languages:	667	+/-196	0.035
Navajo:	64,013	+/-1,653	3.349
Other Native North American languages:	33,589	+/-1,618	1.757
Hungarian:	364	+/-127	0.019
Arabic:	1,890	+/-557	0.099
Hebrew:	631	+/-224	0.033
African languages:	951	+/-321	0.050
Other and unspecified languages:	310	+/-203	0.016

Interpreter Services: MFA, at no cost to the LEP individuals or families, provides interpreter services to all LEP individuals or families applying for, participating in programs or receiving services/benefits through MFA. The interpreter services are provided in an efficient and timely manner so as not to delay a determination of eligibility for an individual or family, receipt of eligible services/benefits or participation in a MFA run program beyond that of an English speaking individual or family. English and Spanish applications and outreach material are available. Additionally, English and Spanish brochures describing services are available at the agency and at various community events, fairs and speaking engagements.

MFA addresses phone calls and voice mail by LEP individuals in the following manner: Clients indicating they speak Spanish are automatically transferred to Spanish speaking staff by the receptionist. MFA addresses walk-ins who are LEP individuals in the same manner (refers to receptionists or point of contact). Spanish speaking staff is also available for walk-in clients, scheduled appointments and written translation.

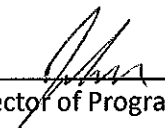
MFA does not require, suggest or encourage LEP individuals or families to use friends, family members or minor children as interpreters. If a LEP individual or family insists that a friend or family member serve as interpreter, that choice is documented. MFA will then, on a case by case basis, consider factors such as: competence of the family or friend used as the interpreter; the appropriateness of the use in light of the circumstances and ability to provide quality and accurate information, especially if the interview could result in a negative effect on the individual or family's eligibility for benefits/services; potential or actual conflicts of interest; and confidentiality of the information being interpreted to determine whether the agency should provide its own independent interpreter for itself. In no case does MFA allow a minor child to act as interpreter for an LEP individual or family.

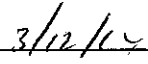
Translation of Documents: As a result of our analysis which indicates that 28.36% of New Mexico residents are Spanish speaking, the MFA is beginning the process of translating all agency designated vital documents into Spanish. The translation process will be conducted as expeditiously as possible with a goal of completing the process within the next calendar year.

Internet Access:

MFA has a webpage with a link on the main page which can be used locate MFA resources and other important information in Spanish.




Deputy Director of Programs


Date



Residential Anti-displacement and Relocation Assistance Plan

This Residential Anti-displacement and Relocation Assistance Plan (RARAP) is prepared by the New Mexico Mortgage Finance Authority (MFA) in accordance with the Housing and Community Development Act of 1974 "Act," as amended; and HUD regulations at 24 CFR §42.325 and is applicable to MFA's HOME Investment Partnership Program (HOME) assisted projects.

Relocation Assistance to Displaced Persons

MFA, through its subgrantees, will offer relocation assistance for lower-income tenants who, in connection with an activity assisted under HOME move permanently or move personal property from real property as a direct result of the demolition of any dwelling unit or the conversion of a lower-income dwelling unit in accordance with the requirements of 24 CFR §42.350.

A displaced person who is not a lower-income tenant will be offered relocation assistance in accordance with the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (URA), (Pub. L 91-645, 42 U.S.C. 4601 §§ et seq).

Rental replacement payments will be made in at least three installments except that lump sum payments may be made to cover (1) moving expenses, (2) a downpayment on the purchase of replacement housing, or incidental expenses related to (1) or (2).

Minimize Displacement

Consistent with the goals and objectives of activities assisted under the Act, MFA will require its subgrantees to take the following steps to minimize the direct and indirect displacement of persons from their homes:

- Plan construction activities to allow tenants to remain in their units as long as possible, by rehabilitating empty units or buildings first.
- Where feasible, give priority to rehabilitation of housing, as opposed to demolition, to avoid displacement.

One-for-One Replacement of Lower Income Dwelling Units (HOME only)

MFA will replace all occupied and vacant occupiable lower income dwelling units demolished or converted to a use other than lower income housing in connection with a project assisted with funds provided under the HOME Program in accordance with 24 CFR §42.375.

Before MFA enters into a written agreement committing MFA to provide funds for a project that will directly result in the demolition or conversion of lower-income dwelling units, subgrantees will make public by publication in a newspaper of general circulation, or other acceptable means



approved by MFA, receive public comment for a minimum of fourteen days and submit all comments to MFA in addition to the following items:

1. A description of the proposed assisted project;
2. The address, number of bedrooms, and location on a map of lower-income dwelling units that will be demolished or converted to a use other than lower-income dwelling units as a result of an assisted project;
3. A time schedule for the commencement and completion of the demolition or conversion;
4. To the extent known, the address, number of lower-income dwelling units by size (number of bedrooms) and location on a map of the replacement lower-income housing that has been or will be provided. *NOTE: See also 24 CFR §42.375(d). 1378 CHG-11 Appendix 34 App. 34-3 [09/11];*
5. The source of funding and a time schedule for the provision of the replacement dwelling units;
6. The basis for concluding that each replacement dwelling unit is designated to remain a lower-income dwelling unit for at least 10 years from the date of initial occupancy; and
7. Information demonstrating that any proposed replacement of lower-income dwelling units with smaller dwelling units (e.g., a 2-bedroom unit with two 1-bedroom units), or any proposed replacement of efficiency or single-room occupancy (SRO) units with units of a different size, is appropriate and consistent with the housing needs and priorities identified in the HUD-approved Consolidated Plan and 24 CFR §42.375(b).

To the extent that the specific location of the replacement dwelling units and other data in items 4 through 7 are not available at the time of the general submission, subgrantees will identify the general location of such dwelling units on a map and complete the disclosure and submission requirements to MFA as soon as the specific data is available.

Replacement not Required, Based on Unit Availability

Under 24 CFR §42.375(d), the subgrantee may submit to MFA a determination that the one-for-one replacement requirement does not apply based on objective data that there is an adequate supply of vacant lower-income dwelling units in standard condition available on a non-discriminatory basis within the area.




Contacts

Ensuring compliance with regards to the requirements of the Act, specifically, tracking the replacement of lower income dwelling units to ensure that they are provided within the required period, and tracking relocation payments and other relocation assistance to any lower-income person displaced by the demolition of any dwelling unit or the conversion of lower-income dwelling units to another use is the responsibility of Program Area Management, as outlined below:

Program Activities	Responsible Person	Phone
HOME activities:	Debbie Davis, Programs & Initiatives Manager	505.767.2221

APPROVAL:



Deputy Director of Programs

3/12/14

Date



Attachment C: Compliance Monitoring 2013

Compliance Monitoring 1/1/13 - 9/30/13

ContractNumber	Agency	Staff Member	Location	Visit Type (Units (#), including Facilities, Files, Admin, Full, Desk)	Est. #	Contact	Start Date	Due Date	Program	Program Mgr.	Date Scheduled	End date	Time	Ltr. Sent	Notes
12-02-SES-EHA-002	St. Elizabeth Shelter Corporation (Shelter)	Natalie	desk	Financial			9/1/2012	6/30/2013	EHA, COC	Jennifer	1/4/2013		9:00AM	11/27/12	October & November
12-02-CML-COC-001	Casa Milagro	GB/NZ	Santa Fe	full		Meryl Lieberman	9/1/2012	6/30/2013	COC	Natalie	1/9/2013		9:00AM	12/6/12	September & October
12-01-TLL-RAP-001	The Life Link	NSH/JS	Santa Fe	Full		Carol Luna-Anderson	10/1/2012	6/30/2012	RAP	Jennifer	1/9/2013		9:00 AM	12/5/12	October & November
12-01-NPH-HOR-001	Northern Pueblos Housing Authority	Laurie	desk	full		Terry Hudson	7/1/2012	12/31/2013	Rehab	Laurie	1/17/2013	-	n/a	12/10/12	tbd
12-02-LAC-EHA-002	La Casa, Inc.	Natalie	desk	Financial			9/1/2012	6/30/2013	EHA, COC	Jennifer	1/23/2013		9:00AM	12/20/12	October & November
12-02-SMH-COC-001	St. Martin's Hospitality Center	Natalie	desk	Financial			9/1/2012	6/30/2013	COC	Natalie	1/30/2013		9:00AM	12/27/12	October & November
11-01-LEA-HOR-001	Lea County Housing	Laurie	desk	full		Russ Doss	7/1/2012	12/31/2013	Rehab	Laurie	1/31/2013		n/a	12/28/12	
12-01-BCH-HOR-001	Bernalillo County Housing Dept	Laurie	desk	full		Betty Valdez	7/1/2012	12/31/2013	Rehab	Laurie	2/6/2013	-	n/a	1/2/13	tbd
12-02-SHC-COC-001	Supportive Housing Coalition	Natalie	Desk	Financial		Paula Harper	7/1/2012	6/30/2013	COC	Natalie	2/6/2013		9:00AM	1/2/13	November & December
12-02-GRH-EHA-002	Grammy's House	Karen	Artesia	Facility, Files		Celina Bryant	7/1/2012	6/30/2013	EHA, COC	Jennifer	2/8/2013	2/8/2013	8:30am	1/4/13	
12-02-SES-EHA-002	St. Elizabeth Shelter (Casa Cerrillos)	MC,KA,KG	Santa Fe	Facility, Files	105		9/1/2012	6/30/2013	EHA, COC	Jennifer	2/15/2013		8:00 AM	1/14/13	
12-02-SES-EHA-002	St. Elizabeth Shelter Corporation	MC,KA,KG	Santa Fe	Facility, Files	105		9/1/2012	6/30/2013	EHA, COC	Jennifer	2/15/2013		8:00 AM	1/14/13	
12/02-TLS-COC-001	Transitional Living Services	Natalie	desk	Financial		Lynn Davis	7/1/2012	6/30/2013	CoC	Natalie	2/20/2013		9:00AM	1/16/13	November & December
12-01-SFP-HOR-001	San Felipe Pueblo HA	Laurie	desk	full		Isaac Perez	7/1/2012	12/31/2013	Rehab	Laurie	2/21/2013	-	n/a	1/17/13	tbd
12-01-ENC-RAP-001	Enlace Comunitario	Mercy	Albuquerque	Units (1) Spanish Speaking		Claudia Medina	7/1/2012	6/30/2013	RAP	Jennifer	2/25/2013		9:00 AM	1/17/13	
12-02-HWC-EHA-001	Home for Women and Children	Natalie	Desk	Financial			9/1/2012	6/30/2013	EHA	Jennifer	2/27/2013		9:00 AM	1/16/13	November & December
12-03-NMA-HOP-001-Abq	New Mexico AIDS Services	Karen	Albuquerque	1 Unit	1	Mike Zuniga	9/1/2012	6/30/2013	HOPWA	Nicole	3/1/2013		1:30pm	1/30/13	
12-02-TLS-COC-002	Transitional Living Services	Karen	Albuquerque	Facility, Files	4	Lynn Davis	7/1/2012	6/30/2013	COC	Natalie	3/1/2013		9:00 AM	1/30/13	
2012/2013 Energy\$mart	SWRHCDC	GB/MF	Las Cruces/Deming	Prog/Tech		Jerry Welch	7/1/2012	6/30/2013	WAP	GB/MF	3/4/2013	3/5/2013	8:00am	2/1/2013	
12-01-OCH-COP-001	"" ""	Laurie	desk	Full		Pam Clarke	7/1/2012	6/30/2013	CHDO	Laurie	3/6/2013		n/a	1/30/13	
12-02-ABO-COC-001	Abode, Inc.	Natalie	Desk	Financial	-	Nicole Martinez	7/1/2012	6/30/2013	COC	Natalie	3/6/2013		9:00 AM	1/30/13	December & January
12-02-BFF-EHA-002	Barrett Foundation	Kathy	Albuquerque	Facility, Files	16	Michael Gaylor	7/1/2012	6/30/2013	EHA	Jennifer	3/6/2013		9:30am	1/30/13	
11-01-OCH-HOR-001	Otero County Habitat for Humanity	Laurie	desk	full		Pam Clarke	7/1/2012	6/30/2012	Rehab/CHDO	Laurie	3/6/2013		n/a	1/30/13	tbd

Compliance Monitoring 1/1/13 - 9/30/13

ContractNumber	Agency	Staff Member	Location	Visit Type (Units (#), including Facilities, Files, Admin, Full, Desk)	Est. #	Contact	Start Date	Due Date	Program	Program Mgr.	Date Scheduled	End date	Time	Ltr. Sent	Notes
2012/2013 EnergySmart	Central NM Housing	GB/MF	Albuquerque	Prog/Tech		Joe Stevens	7/1/2012	6/30/2013	WAP	GB/MF	3/11/2013	3/15/2013	8:00am	2/5/13	8-12 each day
12-01-ACO-HOR-001	Pueblo of Acoma HA	Laurie	desk	full		Lawrence Ramirez	7/1/2012	12/31/2013	Rehab	Laurie	3/22/2013		9:00AM	2/15/13	
12-02-SJC-COC-001	San Juan County Partnership	Natalie	Desk	Financial	-	Pamela Drake	7/1/2012	6/30/2013	COC	Natalie	3/27/2013		9:00am	2/13/13	December & January
12-02-EPH-COC-001	Eastern Plains Housing Development Corporation	Natalie	desk	Financial	-	Monica Gutierrez	7/1/2012	6/30/2013	COC	Natalie	4/10/2013		9:00AM	3/6/13	February & March
12-02-LPS-EHA-001	La Pinon Sexual Assault Recovery	JS/NSH	Las Cruces	full	4	Donna Richmond	7/1/2012	6/30/2013	EHA	Jennifer	4/10/2013		9:00AM	3/6/13	October & December
12-02-LAC-EHA-002	La Casa, Inc.	Loretta	Las Cruces	Facility, Files	15	Theresa Armendariz	9/1/2012	6/30/2013	EHA, COC	Jennifer	4/11/2013		9:00am	3/6/13	n/a
12-03-NMA-HOP-001-BOS	New Mexico AIDS Services	Loretta	Las Cruces	Units	1	Mike Zuniga	8/1/2012	6/30/2013	HOPWA	Nicole	4/11/2013		2:00pm	3/6/13	n/a
12-07-SHC-LIN-001	Supportive Housing Coalition	Laurie	Albuquerque	desk		Paula Harper	7/1/2012	6/30/2013	Linkages	Laurie	4/11/2013		9:00AM	3/6/13	Review October, December 2012 and February 2013
12-01-MVC-RAP-001	Mesilla Valley Community of Hope	Loretta	Las Cruces	Units	3	Nicole Martinez	9/1/2012	6/30/2013	RAP	Jennifer	4/12/2013		9:00am	3/6/13	n/a
12-02-MHP-EHA-001	Metropolitan Homelessness Project	JS/DD	Albuquerque	full		Jaime Tamez	9/1/2012	6/30/2013	EHA	Jennifer	4/15/2013		8:30am	3/13/13	February & March
12-02-ESP-EHA-002	Esperanza Shelter for Battered Families	MC	Santa Fe	Facility, Files	13	Christy O'Neil	9/1/2012	6/30/2013	EHA, COC	Jennifer	4/16/2013		9:00 AM	3/13/13	n/a
12-02-SFH-EHA-001	S.A.F.E. House	Natalie	desk	Financial	-	Michelle Fuller	7/1/2012	6/30/2013	EHA	Jennifer	4/17/2013		9:00AM	3/13/13	January & February
12-02-GWS-COC-001	Goodwill Industries	Natalie	desk	financial		Bill Kesatie	7/1/2012	6/30/2013	COC	Natalie	4/23/2013		8:30 AM	3/20/13	January & February
12-02-VSH-EHA-002	Valencia Shelter Services	JS/NSH	Los Lunas	full	6	Alexandria V. Taylor	9/1/2012	6/30/2013	EHA	Jennifer	4/24/2013		9:00am	3/20/13	February & March
12-02-BFS-EHA-001	Battered Families Services	Natalie	desk	Financial	-	Michele Tuohy	7/1/2012	6/30/2013	EHA	Jennifer	4/30/2013		9:00AM	3/20/13	January & February
12-02-NDY-EHA-001	New Day Youth & Family Svcs	RBQ/JS	Albuquerque	full		Rose Marie Mauzy	7/1/2012	6/30/2013	EHA	Jennifer	4/30/2013		9:00am	3/26/13	February & March
12-01-SFC-COP-001	SF Community Housing Trust	Laurie	desk	Desk		Sharron Welsh	7/1/2012	6/30/2013	CHDO	Laurie	5/1/2013	-	n/a	3/26/13	Review Nov 2012 and Jan and March 2013
12-02-SOC-COC-001	Socorro County Housing Authority *	RBQ, NZ	Socorro	full		Mary Ann Chavez	7/1/2012	6/30/2013	COC	Natalie	5/1/2013		9:00am	3/26/13	
12-02-ESP-EHA-002	Esperanza Shelter for Battered Families	Natalie	desk	Financial		Sherry Taylor	9/1/2012	6/30/2013	EHA, COC	Jennifer	5/7/2013		9:00AM	4/3/13	February & March
12-02-SHC-COC-002	Supportive Housing Coalition	Kathy	Gallup/Chuska	Facility, Files	4	Paula Harper				Natalie	5/7/2013		11:00 AM	4/3/13	
12-02-ASH-EHA-001	Assurance Home, Inc.	JS/NSH	Roswell	Full	5	Alycia Miller	7/1/2012	6/30/2013	EHA	Jennifer	5/8/2013		10:00AM	4/4/13	January & March
12-01-HMW-COP-001	Homewise	Laurie	desk	desk		Mike Loftin	7/1/2012	6/30/2013	CHDO	Laurie	5/8/2013	-	n/a	4/4/2013	Review Nov 2012 and Jan and March 2013
12-02-HAR-EHA-001	Hartley House	JS/NSH	Clovis	Full	15	Donna Horton	7/1/2012	6/30/2013	EHA	Jennifer	5/9/2013	5/9/2013	8:00am	4/4/13	December & February
12-02-LBV-COC-001	La Buena Vida/Valencia Counseling	NZ/RBQ	Los Lunas	Full		Steve Malnar	9/1/2012	6/30/2013	COC	Natalie	5/9/2013		9:00AM	4/5/13	January & March
12-02-GRH-EHA-001	Grammy's House	Natalie	desk	Financial	-	Celina Bryant	7/1/2012	6/30/2013	EHA	Jennifer	5/15/2013		9:00AM	4/9/13	December & March
12-01-NAH-HOR-001	Native American Housing Consultants	Laurie	desk	Desk		Andrea Schnieder	7/1/2012	6/30/2013	Rehab	Laurie	5/16/2013		n/a	4/10/13	Review May and Nov 2012 and Jan 2013
12-02-SFC-COC-001	Santa Fe Community Housing Trust	RBQ/NZ	Santa Fe	full	18	Sharron Welsh	9/1/2012	6/30/2013	COC	Natalie	5/21/2013		9:00am	4/18/13	
12-02-CRO-COC-001	Crossroads for Women	KG/LM	Albuquerque	Facility, Files	3	KC Quirk	9/1/2012	6/30/2013	COC	Natalie	5/22/2013			4/17/13	
12-02-YDI-EHA-001	Youth Development, Inc.	JS	Albuquerque	full		Diana Lopez	7/1/2012	6/30/2013	EHA	Jennifer	5/22/2013		9:00am	4/17/13	January & March
12-07-SFC-LIN-001	SF County Housing Authority	Laurie	Santa Fe	desk		Valerie Huerta	7/1/2012	6/30/2013	Linkages	Laurie	5/24/2013		n/a	4/22/13	Review October, December 2012 and February 2013
12-02-TCH-EHA-001	Taos Coalition to End Homelessness	RBQ/JS	Taos	Full		Jay Wood	7/1/2012	6/30/2013	EHA	Jennifer	5/28/2013		10:00am	4/25/13	November, January & March
12-02-DTP-EHA-002	Dream Tree Project	RBQ/JS	Taos	full	3	Catherine Hummel	9/1/2012	6/30/2013	EHA, COC	Jennifer	5/29/2013		8:00am	4/25/13	February & April
12-02-RRB-EHA-001	Roswell Refuge	Natalie	desk	Financial		Michelle Royer	7/1/2012	6/30/2013	EHA	Jennifer	5/29/2013		9:00AM	4/26/13	January & March

Compliance Monitoring 1/1/13 - 9/30/13

ContractNumber	Agency	Staff Member	Location	Visit Type (Units (#), including Facilities, Files, Admin, Full, Desk)	Est. #	Contact	Start Date	Due Date	Program	Program Mgr.	Date Scheduled	End date	Time	Ltr. Sent	Notes
12-02-CAV-EHA-002	Community Against Violence	RBQ/JS	Taos	Financial/on site	6	Malinda Williams	7/1/2012	6/30/2013	EHA, COC	Jennifer	5/30/2013		8:00am	4/25/13	January & March
12-02-HVH-EHA-002	Haven House	Natalie	desk	Financial		Cosmina Hays	7/1/2012	6/30/2013	EHA	Jennifer	6/5/2013		9:00AM	5/2/13	February & April
12-01-SRH-HOR-001	Southwestern Regional Housing and CDC	Laurie	s Cruces/Dem	desk		Jerry Welch	7/1/2012	6/30/2013	Rehab	Laurie	6/5/2013			3/20/13	Review October 2012
12-01-SRH-COP-001	Southwestern Regional Housing and CDC	Laurie	s Cruces/Dem	desk		Jerry Welch	7/1/2012	6/30/2013	CHDO	Laurie	6/5/2013			3/20/13	Review Sept 2012
12-01-HHT-HOR-001	Habitat for Humanity of Taos	Laurie	desk	full		Cynthia Arvidsen	7/1/2012	12/31/2013	Rehab	Laurie	6/6/2013	-	n/a	5/2/13	Review Oct and Dec 2012 and Feb 2013
12-01-YES-COP-001	YES Housing	Laurie	desk	full		Joe Ortega	7/1/2012	6/30/2013	CHDO	Laurie	6/6/2013	-	n/a	5/2/13	Review Nov 2012 and Jan and March 2013
12-01-TSC-HOR-001	Town of Silver City	Laurie	Silver City	desk		Alex Brown	7/1/2012	12/30/2013	Rehab	Laurie	6/11/2013			3/20/13	Review Dec 2012 and Jan, Feb 2013
12-02-CCH-COC-001	Catholic Charities of Gallup/San Juan County	NZ/RBQ	Farmington	Full		Deborah Sauro-Betts	7/1/2012	6/30/2013	COC	Natalie	6/12/2013		10:30 AM	5/9/13	January and March, 2013
12-01-WRH-RAP-001	Western Regional Housing Authority	Laurie	Silver City	Units - 5		Cathy DeMarco	7/1/2012	6/30/2013	RAP	Jennifer	6/12/2013			3/21/13	n/a
12-07-WRH-LIN-001	Western Regional Housing	Laurie	Silver City	Units - 1		Cathy DeMarco	7/1/2012	6/30/2013	Linkages	Laurie	6/12/2013			3/21/13	Review October, December 2012 and February 2013
12-02-OPT-EHA-001	Option, Inc.	JS	Hobbs	full	6	Dinora Carrejo-Guthrie	7/1/2012	6/30/2013	EHA	Jennifer	6/13/2013		8:00am	5/7/13	December & February
12-03-NMA-HOP-001-BOS Area I	New Mexico AIDS Services	Kathy	Farmington	Units	1	Mike Zuniga	8/1/2012	6/30/2013	HOPWA	Nicole	6/18/2013		1:30pm	5/15/13	
12-01-SFC-RAP-001	Santa Fe Civic Housing Authority	MC/KA	Espanola	Unit Interviews (est. 3-5)	4	Renee King	9/1/2012	6/30/2013	RAP	Jennifer	6/18/2013		9:30 AM	3/26/13	letter was sent but this was rescheduled. Interviewees were notified of the change
12-02-CAR-EHA-001	Community Area Resource Enterprise (CARE 66)	RBQ/JS	gallup	full	10	Sanjay Choudhrie	7/1/2012	6/30/2013	EHA	Jennifer	6/20/2013		10:00AM	5/15/13	January & March, 2013
12-01-SJC-RAP-001	San Juan County Partnership	Kathy	Farmington	Units	1	Pamela Drake	9/1/2012	6/30/2013	RAP	Jennifer				n/a	Kathy inspected one unit during her last visit to Farmington so therefore, no inspections are needed.

Compliance Monitoring 10/1/13 - 12/31/13

Agency	Contract	Date Scheduled	End date	Ltr. Sent	Staff Member	Program	Program Mgr.	Location	Visit Type (Units (#), including Facilities, Files, Admin, Full, Desk)	Est. #	Executive Director	City, State, Zip
Native American Housing Consultants	12-01-NAH-HOR-001	10/10/2013		9/5/13	LL	Rehab	Laurie	Albuquerque	Full	n/a	Andrea Schnieder	Albuquerque, NM 871
Assurance Home, Inc.	13-02-ASH-EHA-001	10/16/2013		9/11/13	JS	EHA	Jennifer	Roswell	Desk	n/a	Ron Malone	Roswell, NM 88201
Tierra del Sol Housing Housing Corporation	12-01-TDS-HOR-001	10/17/2013		9/11/13	LL	Rehab	Laurie	Las Cruces	Desk	n/a	Rose Garcia	Las Cruces, NM 88005
Barrett Foundation	13-02-BFF-EHA-001	10/23/2013		9/18/13	JS/NZM	EHA	Jennifer	Albuquerque	Full	8	Michael Gaylor	Albuquerque, NM 871
Barrett Foundation	13-02-BFF-COC-001	10/23/2013		9/19/13	NZM/JS	EHA	Natalie	Albuquerque	Full	14	Michael Gaylor	Albuquerque, NM 871
Pueblo of Acoma Housing Authority	12-01-ACO-HOR-001	10/24/2013		9/19/13	LL	Rehab	Laurie	Acoma	Full	n/a	Lawrence Ramirez	Acoma Pueblo, NM 87
Southwestern Regional Housing and CDC	2013/2014 NM Energy\$mart	10/28/2013	10/30/2013		GB/MF	WAP	GB/MF	Las Cruces/Deming	Fiscal & Technical	n/a	Jerry Welch	Deming, NM 88030
Santa Fe Civic Housing Authority	13-01-SFC-RAP-001	11/1/2013		9/19/13	JS	RAP	Jennifer	Santa Fe	Full	3	Renee King	Santa Fe, NM 87505
Alianza of New Mexico	13-03-ALZ-HOP-001-ROS	11/6/2013		10/3/13	NS	HOPWA	Nicole	Roswell	Full		Sashua Patton	Roswell, NM 88001
Alianza of New Mexico	13-03-ALZ-HOP-001-ROS	11/6/2013		10/3/13	NS	HOPWA	Nicole	Roswell	Units (est. 1)		Sashua Patton	Roswell, NM 88001
Casa Milagro	13-02-CMG-COC-001	11/6/2013		10/2/13	NZM	COC	Natalie	Santa Fe	Full	2	Erica Westby	Santa Fe, NM 87508
Santa Fe Habitat for Humanity	12-01-SFH-HOR-001	11/7/2013		10/3/13	LL	Rehab	Laurie	Santa Fe	Full		Ted Swisher	Santa Fe, NM 87505
Catholic Charities	13-02-CCH-RAP-001	11/8/2013		10/2/13	JS/GB	RAP	Jennifer	Albuquerque	Full	5	Ilyssa Bozza	Albuquerque, NM 871
Battered Families Services	13-02-BFS-EHA-001	11/13/2013		10/10/13	JS/GB	EHA	Jennifer	Gallup	Desk/Police	n/a	Michele Tuohey	Gallup, NM 87301
Northern Pueblos Housing Authority	12-01-NPH-HOR-001	11/14/2013		10/10/13	LL	Rehab	Laurie	Pojoaque	Desk		Scott Beckman	Santa Fe, NM 87506
Youth Development, Inc.	13-02-YDI-EHA-001	11/14/2013		10/10/13	KA	EHA	Jennifer	Albuquerque	Facility/Files	18	Diana Lopez	Albuquerque, NM 871
Mesilla Valley Community of Hope	13-01-MVC-RAP-001	11/15/2013		10/10/13	JS	RAP	Jennifer	Las Cruces	Desk	n/a	Nicole Martinez	Las Cruces, NM 88006
County of Sandoval	13-02-COS-COC-001	11/20/2013		10/16/13	NZM	COC	Natalie	Rio Rancho	Full		Peggy Cote	Bernalillo, NM 87004
Enlace Comunitario	13-02-ENC-RAP-001	11/20/2013		10/16/13	JS	RAP	Jennifer	Albuquerque	Full	5	Claudia Medina	Albuquerque, NM 871
Southwest CARE Center	13-03-SWC-HOP-001	11/20/2013		10/16/13	NS	HOPWA	Nicole	Santa Fe	Full	6	Jeff Thomas	Santa Fe, NM 87505
New Day Youth & Family Services	13-02-NDY-EHA-001	11/26/2013		10/24/13	KA	EHA	Jennifer	Albuquerque	Facility/Files	23	Steve Johnson	Albuquerque, NM 871
Rocky Mountain Youth Corps	2013/2014 NM Energy\$mart	12/2/2013	12/4/2013	10/24/13	GB/MF	WAP	GB/MF	Taos	Fiscal & Technical	n/a	Carl Colonius	Rancho de Taos, NM 8
Crossroads for Women	13-02-CRO-COC-001	12/4/2013		10/31/13	NZM	COC	Natalie	Albuquerque	Full	4	KC Quirk	Albuquerque, NM 871
Bernalillo County Housing Department	13-07-BCH-LIN-001	12/5/2013		n/a	LL	Linkages	Laurie	Albuquerque	T&TA		Betty Valdez	Albuquerque, NM 871
El Refugio	13-02-ERG-EHA-001	12/12/2013		11/5/13	JS/NZM	EHA	Jennifer	Silver City	Full	12	Maria Morales-Loebl	Silver City, NM 88061
El Refugio	13-02-ERG-COC-001	12/12/2013		11/5/13	NZM/JS	COC	Natalie	Silver City	Full	12	Maria Morales-Loebl	Silver City, NM 88061
Valencia Shelter Services	13-02-VSH-EHA-001	12/18/2013		11/13/13	JS	EHA	Jennifer	Belen	Desk	n/a	Alexandria V. Taylor	Los Lunas, NM 87031
Valencia Shelter Services	13-02-VSH-COC-001	12/18/2013		11/13/13	NZM	COC	Natalie	Belen	Desk	10	Alexandria V. Taylor	Los Lunas, NM 87031
Community Area Resource Enterprise (CARE 66)	13-02-CAR-EHA-001	1/7/2014		11/6/13	JS/GB	EHA	Jennifer	Gallup	Desk	n/a	Sanjay Choudhrie	Gallup, NM 87305
Community Against Violence	13-02-CAV-COC-001	1/8/2014		12/5/2013	NZM	COC	Natalie	Taos	Desk	14	Malinda Williams	Taos, NM 87571
Home for Women and Children	13-02-HWC-EHA-001	1/9/2014		12/5/13	JS/RBQ	EHA	Jennifer	Shiprock	Full		Delfina Salazar	Shiprock, NM 87420
Ohkay Owingeh Housing Authority	12-01-OHA-HOR-001	1/10/2014		12/4/13	LL	Rehab	Laurie	Espanola	Desk		Tomasita Duran	Ohkay Owingeh, NM 8
Esperanza Shelter for Battered Families	13-02-ESP-EHA-001	1/15/2014		12/11/13	GB/NZM	EHA	Jennifer	Santa Fe	Full	24	Marsie Silvestro	Santa Fe, NM 87502
Otero County Habitat for Humanity	11-01-OCH-HOR-001	1/17/2014		12/9/13	LL	Rehab	Laurie	Alamogordo	Desk		Kuia Taiaroa	Alamogordo, NM 883
Catholic Charities	13-02-CCH-RAP-001	1/23/2014		12/17/13	KA	RAP	Jennifer	Albuquerque	Units	2	Ilyssa Bozza	Albuquerque, NM 871
Mesilla Valley Community of Hope	13-01-MVC-COC-001	1/31/2014		12/23/13	NZM	COC	Natalie	Las Cruces	Desk	n/a	Nicole Martinez	Las Cruces, NM 88006

**Attachment D: HOME Lead-Based Paint Expenditures
1/1/2013 – 12/31/2013**

LEAD BASED PAINT EXPENDITURES 2013

Proj #	Address	City	County	Committed	Expended	Balance
8181	1913 Shadyside Dr SW	Albuquerque	Bernalillo	\$561.75	\$561.75	\$0.00
8219	2444 Mares Rd SW	Albuquerque	Bernalillo	\$561.75	\$561.75	\$0.00
8290	1567 Dennison Rd SW	Albuquerque	Bernalillo	\$561.75	\$561.75	\$0.00
8333	207 Los Ranchos NW	Albuquerque	Bernalillo	\$561.75	\$561.75	\$0.00
8400	3223 Cypress SW	Albuquerque	Bernalillo	\$561.75	\$561.75	\$0.00
8401	1415 Mora Rd SW	Albuquerque	Bernalillo	\$561.75	\$561.75	\$0.00
8492	2328 Bridge Blvd SW	Albuquerque	Bernalillo	\$800.00	\$0.00	\$800.00
8128	9 Balke Street	Reserve	Catron	\$1,000.00	\$1,000.00	\$0.00
8310	510 E Tilden St	Roswell	Chaves	\$1,000.00	\$1,000.00	\$0.00
8154	30 Diichina Rd	Pueblo of Acoma	Cibola	\$515.00	\$515.00	\$0.00
8040	309 w. Emerson Avenue	Mesquite	Dona Ana	\$785.00	\$785.00	\$0.00
8041	204 Rosario Street	La Mesa	Dona Ana	\$785.00	\$785.00	\$0.00
8220	2472 Calle De Cura	Mesilla	Dona Ana	\$671.96	\$671.96	\$0.00
8308	5830 Ledesma Dr.	Dona Ana	Dona Ana	\$334.20	\$334.20	\$0.00
8389	215 Gregorio Miranda	Rodey	Dona Ana	\$988.78	\$988.78	\$0.00
8409	305 N Colorado Ave	Rodey	Dona Ana	\$572.45	\$572.45	\$0.00
8298	2304 Bonita Street	Carlsbad	Eddy	\$5,902.95	\$5,902.95	\$0.00
8391	506 S 7th Street	Loving	Eddy	\$1,175.70	\$1,175.70	\$0.00
8293	2210 Hwy 61	San Lorenzo	Grant	\$60.00	\$60.00	\$0.00
8293	2210 Hwy 61	San Lorenzo	Grant	\$640.00	\$640.00	\$0.00
8476	3740 N. Silver St.	Silver City	Grant	\$700.00	\$0.00	\$700.00
8053	609 7th St	Lordsburg	Hidalgo	\$982.65	\$982.65	\$0.00
8134	125 E. B Street	Lordsburg	Hidalgo	\$982.65	\$982.65	\$0.00
8269	209 Pyramid St.	Lordsburg	Hidalgo	\$1,000.00	\$1,000.00	\$0.00
8287	515 E. E Street	Lordsburg	Hidalgo	\$982.65	\$982.65	\$0.00
8113	1219 - 12th St	Eunice	Lea	\$3,216.94	\$3,216.94	\$0.00
8138	1623 E. Dunn	Hobbs	Lea	\$3,305.44	\$3,305.44	\$0.00
8395	1521 Catherine Dr	Hobbs	Lea	\$605.52	\$605.52	\$0.00
8237	701 Saint Anthony	Anthony	Luna	\$785.00	\$785.00	\$0.00
8238	1117 Santa Cruz Street	Deming	Luna	\$588.50	\$588.50	\$0.00
8309	515 S. Tenth St.	Deming	Luna	\$997.00	\$997.00	\$0.00
8408	1300 S Whittier	Deming	Luna	\$572.45	\$572.45	\$0.00
8410	1711 Nickel Street	Deming	Luna	\$1,000.00	\$1,000.00	\$0.00
8429	1021 W Elm Street	Deming	Luna	\$1,000.00	\$684.80	\$315.20
8292	1202 Iowa Avenue	Alamogordo	Otero	\$768.26	\$768.26	\$0.00
8392	1509 West 7th Street	Tularosa	Otero	\$800.00	\$0.00	\$800.00
8393	517 Delaware Avenue	Alamogordo	Otero	\$800.00	\$598.18	\$201.82
8394	27 Derbyshire Road	Tularosa	Otero	\$800.00	\$0.00	\$800.00
8424	817 15th St.	Alamogordo	Otero	\$800.00	\$516.28	\$283.72
8057	368 Ohkay Bupingeh	Ohkay Owingeh	Rio Arriba	\$3,000.00	\$3,000.00	\$0.00
8058	134 Popay Avenue	Ohkay Owingeh	Rio Arriba	\$1,700.00	\$8.38	\$0.00
8278	215 Ohkay Bupingeh	Ohkay Owingeh	Rio Arriba	\$480.00	\$480.00	\$0.00
8279	315 Community School Rd.	Ohkay Owingeh	Rio Arriba	\$270.00	\$270.00	\$0.00
8418	237 Ohkay Bupingeh	Ohkay Owingeh	Rio Arriba	\$500.00	\$0.00	\$500.00
8419	223 Ohkay Bupingeh	Ohkay Owingeh	Rio Arriba	\$500.00	\$0.00	\$500.00
8420	329 Ohkay Bupingeh	Ohkay Owingeh	Rio Arriba	\$500.00	\$0.00	\$500.00
8427	266 Fish Pond Lane	Ohkay Owingeh	Rio Arriba	\$500.00	\$0.00	\$500.00
8039	025 Rupert's Lane	Corrales	Sandoval	\$722.25	\$722.25	\$0.00
8136	235 Pueblo Rd	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8183	5043 Arrowhead St	Jemez Pueblo	Sandoval	\$117.70	\$117.70	\$0.00
8273	41 Pueblo Rd.	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00

Proj #	Address	City	County	Committed	Expended	Balance
8274	27 Blackbird Rd.	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8288	1076 Zia Blvd.	Zia Pueblo	Sandoval	\$192.60	\$192.60	\$0.00
8289	4 Seven Star Loop	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8323	56 Plaza Area	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8324	15 Lorenzo Rd	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8325	30 Plaza Area	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8327	10 Sage Rd	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8328	44 Hillside Rd	San Felipe Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8402	13 Rebahene Plaza	Santa Ana Pueblo	Sandoval	\$90.95	\$90.95	\$0.00
8405	Zia Pueblo	Zia Pueblo	Sandoval	\$144.45	\$144.45	\$0.00
8422	64 Blackmesa	San Felipe Pueblo	Sandoval	\$650.00	\$650.00	\$0.00
8430	62 Blackmesa Rd.	San Felipe Pueblo	Sandoval	\$650.00	\$600.00	\$50.00
8438	Santa Ana St.	Santo Domingo Pueblo	Sandoval	\$600.00	\$600.00	\$0.00
8103	73 TP 806	Santa Fe	Santa Fe	\$500.76	\$500.76	\$0.00
8141	316 R. Third Ave	Truth or Consequences	Sierra	\$1,000.00	\$1,000.00	\$0.00
8314	511 N Ash	Truth or Consequences	Sierra	\$572.45	\$572.45	\$0.00
8280	103 N. Chestnut	Magdalena	Socorro	\$598.13	\$598.13	\$0.00
8494	611 Bagley St.	Socorro	Socorro	\$1,160.00	\$0.00	\$1,160.00
8144	908 Camino del Medio	Taos	Taos	\$498.62	\$498.62	\$0.00
8149	927 Camino de la Serna	Taos	Taos	\$498.62	\$498.62	\$0.00
8295	West Puun Na #B-5	Picuris	Taos	\$500.00	\$500.00	\$0.00
8406	9 Jackrabbit Hill	Picuris	Taos	\$607.76	\$607.76	\$0.00
				\$61,242.89	\$52,440.53	\$7,110.74

**Attachment E: HOME Annual Performance Report
(HUD-40107)**

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting	Ending	

Part I Participant Identification

1. Participant Number	2. Participant Name		
3. Name of Person completing this report		4. Phone Number (Include Area Code)	
5. Address	6. City	7. State	8. Zip Code

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
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Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
A. Contracts						
1. Number						
2. Dollar Amount						
B. Sub-Contracts						
1. Number						
2. Dollar Amount						
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number						
2. Dollar Amount						
D. Sub-Contracts						
1. Number						
2. Dollar Amounts						

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost				
1. Parcels Acquired						
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

Attachment F: Section 3 Summary Report

Economic Opportunities for Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:

Section back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip)	2. Federal Identification: (grant no.)	3. Total Amount of Award:
	4. Contact Person	5. Phone: (Include area code)
	6. Length of Grant:	7. Reporting Period:
8. Date Report Submitted:	9. Program Code: (Use separate sheet for each program code)	10. Program Name:

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E &F)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals					
Technicians					
Office/Clerical					
Construction by Trade (List Trade)					
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total					

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement

8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

**Attachment G: 2011 HOME Match Report,
HUD Form 40107-A**

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 05/31/2007)

[illegible]

2013

[illegible]

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

Instructions for the HOME Match Report

Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF
Room 7176, HUD, 451 7th Street, S.W.
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as "affordable housing" under the HOME Program definitions. "NON" funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.

3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ's HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ's HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for re-placements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]

4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]

6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]

7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ's cost estimate procedures. The value of donated or voluntary labor is determined by a single rate ("labor rate") to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]

8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ's total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

Ineligible forms of match include:

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

Attachment H: 2013 ESG Match Report

2013 ESG Match Report

Match Source	Amount	Service Provider
CDBG	21,738.13	San Juan County Partnership
City of Albuquerque	86,657.66	RAP Agency
City of Las Cruces Health and Human Services funds	8,469.29	Mesilla Valley Community of Hope
City of Las Vegas	804.77	Samaritan House, Inc.
Department of Health	3,609.44	Mesilla Valley Community of Hope
Development income (non-profit)	3,595.00	Catholic Charities
Donated building rent, City of Alamogordo	52,500.00	Center Of Protective Environment (COPE)
Donated building rent, City of Las Cruces	217,041.58	RAP Agency
Donations: cash or in-kind	417,835.15	The Life Link
FEMA EFSP	11,595.00	Catholic Charities
Foundations	7,784.42	Haven House
HOME TBRA	85,941.14	The Life Link
McKinley County Liquor Excise Tax	6,260.16	Battered Families Services
Medicaid	16,174.78	The Life Link
NAHASDA	235,717.21	Community Area Resource Enterprise (CARE 66)
Navajo Way	17,394.00	Home for Women and Children
NM Children Youth and Families Department (CYFD)	272,049.65	Youth Shelters and Family Services
NM Veterans Services	4,695.00	Mesilla Valley Community of Hope
Optum Health Eviction Prevention	41,811.98	The Life Link
Program fees (client rent)	9,850.00	The Life Link
San Juan United Way	72,307.06	People Assisting the Homeless (PATH)
Staff salaries	109,326.54	The Life Link
State Homeless	64,424.12	The Life Link
SWHAP Program	89,711.00	Western Regional Housing Authority
United Way of Central NM	14,980.54	Enlace Comunitario
United Way of Northern NM	2,674.06	Crisis Center of Northern New Mexico
VOCA	41,588.23	The Life Link
Volunteer time	12,547.83	Samaritan House, Inc.
TOTAL MATCH	1,929,083.74	

**Attachment I: 2013 ESG Activity Reports, including
demographics**

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NEW MEXICO
Organizational DUNS Number	808561567
EIN/TIN Number	850252748
Identify the Field Office	ALBUQUERQUE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	
First Name	Karen
Middle Name	
Last Name	Anderson
Suffix	
Title	Program Manager

ESG Contact Address

Street Address 1	344 4 th Street SW
Street Address 2	
City	Albuquerque
State	NM
ZIP Code	87102-
Phone Number	505-843-6880
Extension	
Fax Number	505-242-2766
Email Address	KAnderson@housingnm.org

ESG Secondary Contact

Prefix	
First Name	Natalie
Last Name	Michelback
Suffix	
Title	Program Representative
Phone Number	505-843-6880
Extension	
Email Address	nmichelback@housingnm.org

2. Reporting Period—All Recipients Complete

CAPER

1

Program Year Start Date 01/01/2013
Program Year End Date 12/31/2013

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: San Juan County Partnership

City: Farmington

State: NM

Zip Code: 87402, 8820

DUNS Number: 805200433

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 115068.6

Subrecipient or Contractor Name: Catholic Charities

City: Albuquerque

State: NM

Zip Code: 87107, 1965

DUNS Number: 147263594

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 159606.2

Subrecipient or Contractor Name: Mesilla Valley Community of Hope

City: Las Cruces

State: NM

Zip Code: 88005, 2739

DUNS Number: 040944659

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 175633

Subrecipient or Contractor Name: Enlace Comunitario
City: Albuquerque
State: NM
Zip Code: 87106, 3234
DUNS Number: 801555603
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 44776.41

Subrecipient or Contractor Name: Battered Families Services
City: Gallup
State: NM
Zip Code: 87301,
DUNS Number: 556546570
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 24114

Subrecipient or Contractor Name: Community Against Violence
City: Taos
State: NM
Zip Code: 87571,
DUNS Number: 940672629
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 37397

Subrecipient or Contractor Name: Crisis Center of Northern New Mexico
City: Espanola
State: NM
Zip Code: 87532,
DUNS Number: 962865424
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 16753.64

Subrecipient or Contractor Name: Esperanza Shelter for Battered Families

City: Santa Fe

State: NM

Zip Code: 87507,

DUNS Number: 182615880

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 37821.12

Subrecipient or Contractor Name: Hartley House

City: Clovis

State: NM

Zip Code: 88101,

DUNS Number: 165090697

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 22840.83

Subrecipient or Contractor Name: Haven House

City: Rio Rancho

State: NM

Zip Code: 87144,

DUNS Number: 128906877

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 33381.78

Subrecipient or Contractor Name: Home for Women and Children

City: Shiprock

State: NM

Zip Code: 87420,

DUNS Number: 603988353

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 15394

Subrecipient or Contractor Name: Metropolitan Homelessness Project

City: Albuquerque

State: NM

Zip Code: 87107,

DUNS Number: 789188872

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 49710.84

Subrecipient or Contractor Name: Roswell Refuge

City: Roswell

State: NM

Zip Code: 88201,

DUNS Number: 963243639

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 33559.79

Subrecipient or Contractor Name: Taos Coalition to End Homelessness

City: Taos

State: NM

Zip Code: 87571,

DUNS Number: 013368513

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 19066.26

Subrecipient or Contractor Name: Valencia Shelter Services

City: Los Lunas

State: NM

Zip Code: 87031,

DUNS Number: 611988007

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 15298.37

Subrecipient or Contractor Name: Assurance Home
City: Roswell
State: NM
Zip Code: 88201,
DUNS Number: 182362855
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20221.5

Subrecipient or Contractor Name: Barrett Foundation
City: Albuquerque
State: NM
Zip Code: 87112,
DUNS Number: 612415927
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 41108.16

Subrecipient or Contractor Name: Carlsbad Battered Family Services
City: Carlsbad
State: NM
Zip Code: 88221,
DUNS Number: 963267372
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 22777.67

Subrecipient or Contractor Name: Center of Protective Environment (COPE)
City: Alamogordo
State: NM
Zip Code: 88310,
DUNS Number: 182581579
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 36325.78

Subrecipient or Contractor Name: Community Area Resource Enterprise (CARE 66)

City: Gallup

State: NM

Zip Code: 87301,

DUNS Number: 199444154

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 28031.48

Subrecipient or Contractor Name: DreamTree Project, Inc.

City: Taos

State: NM

Zip Code: 87571,

DUNS Number: 004827353

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 15175.25

Subrecipient or Contractor Name: El Refugio

City: Silver City

State: NM

Zip Code: 88061,

DUNS Number: 106612260

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 24212.9

Subrecipient or Contractor Name: Families and Youth (FYI)

City: Las Cruces

State: NM

Zip Code: 88001,

DUNS Number: 049331325

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 8734.2

Subrecipient or Contractor Name: Family Crisis Center

City: Farmington

State: NM

Zip Code: 87401,

DUNS Number: 182379107

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 31265.69

Subrecipient or Contractor Name: La Casa

City: Las Cruces

State: NM

Zip Code: 88001,

DUNS Number: 184816833

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 67569.45

Subrecipient or Contractor Name: Luna County: The Healing House

City: Deming

State: NM

Zip Code: 88030,

DUNS Number: 069290018

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 14506.42

Subrecipient or Contractor Name: New Day Youth and Family Services (A New Day)

City: Albuquerque

State: NM

Zip Code: 87110,

DUNS Number: 021242524

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 35350.29

Subrecipient or Contractor Name: New Mexico Coalition to End Homelessness

City: Santa Fe

State: NM

Zip Code: 87501,

DUNS Number: 151290272

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 107368.35

Subrecipient or Contractor Name: Option, Inc.

City: Hobbs

State: NM

Zip Code: 88240,

DUNS Number: 061437281

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 25780.62

Subrecipient or Contractor Name: People Assisting the Homeless (PATH)

City: Farmington

State: NM

Zip Code: 87401,

DUNS Number: 120580753

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 39063.48

Subrecipient or Contractor Name: SAFE House

City: Albuquerque

State: NM

Zip Code: 87102,

DUNS Number: 601115305

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 54698.6

Subrecipient or Contractor Name: Samaritan House

City: Las Vegas

State: NM

Zip Code: 87701,

DUNS Number: 182570606

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 4847.19

Subrecipient or Contractor Name: Santa Fe Civic Housing Authority

City: Santa Fe

State: NM

Zip Code: 87501,

DUNS Number: 185269495

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 32865.87

Subrecipient or Contractor Name: St. Elizazbeth Shelter

City: Santa Fe

State: NM

Zip Code: 87505,

DUNS Number: 612433912

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 44815.61

Subrecipient or Contractor Name: The Life Link

City: Santa Fe

State: NM

Zip Code: 87505,

DUNS Number: 610653909

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 133772.4

Subrecipient or Contractor Name: Western Regional Housing Authority

City: Silver City

State: NM

Zip Code: 88061,

DUNS Number: 116253865

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 69378.71

Subrecipient or Contractor Name: Youth Development (YDI)

City: Albuquerque

State: NM

Zip Code: 87105,

DUNS Number: 002286946

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 21987.7

Subrecipient or Contractor Name: Youth Shelters and Family Services

City: Santa Fe

State: NM

Zip Code: 87507,

DUNS Number: 795320761

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 23960.03

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	180
Children	184
Don't Know/Refused/Other	0
Missing Information	0
Total	364

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	204
Children	160
Don't Know/Refused/Other	1
Missing Information	0
Total	365

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	1,942
Children	916
Don't Know/Refused/Other	4
Missing Information	8
Total	2,870

Table 3 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 4 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	2,326
Children	1,260
Don't Know/Refused/Other	5
Missing Information	8
Total	3,599

Table 5 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	2,138
Female	1,452
Transgender	0
Don't Know/Refused/Other	0
Missing Information	9
Total	3,599

Table 6 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	1,260
18-24	256
25 and over	2,070
Don't Know/Refused/Other	5
Missing Information	8
Total	3,599

Table 7 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	341	8	16	317
Victims of Domestic Violence	919	58	131	730
Elderly	81	2	3	76
HIV/AIDS	11	0	0	11
Chronically Homeless	808	0	47	761
Persons with Disabilities:				
Severely Mentally Ill	763	18	45	700
Chronic Substance Abuse	508	3	26	479
Other Disability	803	40	71	692
Total (Unduplicated if possible)	2,051	99	204	1,748

Table 8 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	105,850
Total Number of bed-nights provided	73,624
Capacity Utilization	69.56%

Table 9 – Shelter Capacity

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	26,379
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	29,911
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	49,792	0	0
Subtotal Homelessness Prevention	49,792	0	56,290

Table 10 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance	0	317,260	320,618
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	67,150
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	60,099
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	317,260	447,867

Table 11 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Essential Services	40,591	0	66,185
Operations	598,786	720,804	813,956
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0

Subtotal	639,377	720,804	880,141
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Table 12 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
HMIS	20,315	148,409	152,710
Administration	0	0	134,156
Street Outreach	0	0	0

Table 13 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2009	FY 2010	FY 2011
3,567,121	709,484	1,186,473	1,671,164

Table 14 - Total ESG Funds Expended

11f. Match Source

	FY 2009	FY 2010	FY 2011
Other Non-ESG HUD Funds	200,114	475,519	343,396
Other Federal Funds	114,449	67,450	117,607
State Government	221,407	411,048	296,529
Local Government	308,209	279,008	461,435
Private Funds	186,580	254,107	176,578
Other	327,682	508,560	574,094
Fees	31,421	29,163	9,850
Program Income	12,298	12,591	3,595
Total Match Amount	1,402,160	2,037,446	1,983,084

Table 15 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2009	FY 2010	FY 2011
8,989,811	2,111,644	3,223,919	3,654,248

Table 16 - Total Amount of Funds Expended on ESG Activities