

ATTACHMENT 1 to 2015 CAPER in IDIS

CR-05 - Goals and Outcomes

Serving the homeless and special needs populations by expansion of housing for targeted populations and increasing services through overnight shelter and homelessness prevention were the focus of the Emergency Housing Assistance Program (EHAP), Continuum of Care match (CoC) and Rental Assistance Program (RAP) programs in 2015. MFA funded 47 agencies (includes EHAP, RAP and CoC agencies without duplicates) with a total of \$2.25 million using a combination of ESG and state homeless funding. Projected production goals for persons served with EHAP funding are shown as zero in this report. The projections for persons assisted with overnight shelter were based solely on data held within MFAs online reporting system and will be revised with the full implementation of the HMIS reporting system. Actual production for ESG will be finalized upon resolution by HUD HQ of the comparable database vs. Violence Against Women Act (VAWA) concerns. MFA is working diligently with HUD (HQ & local), New Mexico Coalition to End Homelessness (NMCEH) and the NM Coalition to End Domestic Violence to resolve issues and reporting requirements. Upon resolution, MFA will provide total productions numbers for ESG.

The 2015 goal for the HOPWA program encompassed providing funding for four housing units and housing operations funding to 965 households. Those goals were projections based on data from the five years prior to the 2015 Consolidated Plan/Action Plan 2015, which included data collected prior to the implementation of HMIS. With the implementation of HMIS for HOPWA, reporting of duplicated clients has been eliminated and the reporting more accurately reflect unduplicated clients. For 2015, a total of 230 HOPWA-eligible clients were assisted with HOPWA funding for tenant-based rental assistance (TBRA), short-term rent, mortgage and utilities (STRMU) and permanent housing placement (PHP). HOPWA funding was also used to provide supportive services. New Mexico AIDS Services will complete rehabilitation of four housing units, with HOPWA funds in 2016.

The strategic focus of the CDBG program for non-entitlement communities is to enhance the quality of New Mexico's community development activities by improving the quality of New Mexico's infrastructure. The Department of Finance and Administration (DFA) was successful in meeting this strategic goal by awarding funds to 21 qualified CDBG applications during the annual review period. The majority of the applications were for infrastructure projects, with additional funding for planning grants. The total awarded was \$8,692,184.00. (See Attachment A: 2015 CDBG Applications Funded).

Table 1 Update

- Encourage the development of special needs housing – housing for homeless added: The actual program year number of 12 reflects the special needs set-aside number for the HOME rental project that completed in 2015. That project had 61 total units which include a special needs set-aside for 12 units and 4 HOME units. Some of the HOME units could also serve special needs.
- Enhance homeowner new construction – Direct financial assistance to homebuyers: This row was deleted in its entirety, as the activity was duplicated with “Provide financial assistance to first-time homebuyer- Direct financial assistance to homebuyers” further down in the listing of goals.
- Expand housing for special needs populations – Tenant-based rental assistance/rapid re-housing: The title of the indicator does not accurately describe the rental assistance being provided, but there is no other selection that would work. The goal needs to be updated from 925 for five years to 500 for five years to more accurately reflect the numbers of households currently assisted with ESG rental assistance.

- Finance multifamily rental new construction - rental units constructed: The actual number of HOME units constructed and completed in 2015 was zero. We do expect future production in that activity that will enable to meet the 5 year goal of 50.
- Fund services for persons living with HIV/AIDS – HIV/AIDS housing operations: 5 year estimates were made based upon data provided before conversion to HMIS. We have changed the 4825 to 1000 for five years, with the projected one year goal of 200. The 2015 actual number is 230.
- Increase living environments for homeless persons – Overnight/emergency shelter/transitional housing beds added: The goal has been increased to 50 new beds added to reflect the potential for new participants. One new agency was added in the 2015-2016 program year; The Interfaith Community Shelter, with 19 beds. The report indicates “TBD” until after we receive all of the eCart data.
- Increase services for people experiencing homelessness – homeless person overnight shelter: The new five year goal (32,500) more accurately reflects current production, based on data from the HMIS system. The report indicates “TBD” until after we receive all of the eCart data.
- Increase services for people experiencing homelessness – homelessness prevention: The goal has been reduced to more accurately reflect the production as measured by HMIS. The five year goal is 2400, with the one year goal of 480 persons served with rental assistance type of funding. The report indicates “TBD” until after we receive all of the eCart data.
- Provide financial assistance to first-time homebuyer – direct financial assistance to homebuyers: We are adjusting the goal downward as the original goal was based on past production with an active down payment assistance program (DPA). The HOME DPA program is currently not being funded. We are evaluating the feasibility of the program and may activate it in the future. The five-year goal was changed to 20 which will more accurately reflect future production.
- Provide resources for owner-occupied rehab – household housing unit: We have decreased the goal to 200, with 40 units for the one year goal, to more accurately reflect the production supported by the fund available.

Use of Funds

CDBG: DFA’s objectives in the distribution of housing and community development resources are to create suitable living environments and to create economic opportunities within the state. The highest priorities for the CDBG program translated into three primary goals: (1) Fund improvements to CDBG non-entitlement area water/wastewater systems and streets, (2) Fund infrastructure improvements in designated colonias areas and (3) Encourage planning that includes the entities’ comprehensive plan, asset management, preliminary engineering reports and any other studies. CDBG goals and objectives of both the strategic and action plan were specifically met:

- Twelve of the 17 applications awarded were for projects that would improve water/wastewater systems or improve street and drainage for a total of \$5,999,772
- Colonias area projects were awarded for water/wastewater systems improvement and public facilities construction for a total of \$1,500,000
- Four planning grants were awarded for a total of \$200,000

ESG: Using federal resources, MFA assists the greatest number of people experiencing homelessness through ESG. ESG assistance includes operating and supportive service costs for emergency shelter facilities, rental assistance, housing relocation and stabilization services for homeless persons and those at imminent risk of homelessness that need to be re-housed.

HOME: The largest dollar amount of HOME funding addresses one of the priority needs, rehabilitation of existing homes. The majority of units completed are outside of the Albuquerque area, with a large number being rehabilitated on tribal lands. The goals have been adjusted to more accurately reflect the production supported with available funds. We anticipate meeting the 5-year goal. HOME funding was leveraged with Low Income Housing Tax Credit (LIHTC) for acquisition and rehabilitation or for new construction of affordable multifamily rental housing.

HOPWA: HOPWA funding was used primarily to address the continuing need for rental assistance for clients diagnosed with HIV/AIDS. Additionally, HOPWA was used to provide supportive services for clients.

UPDATED TABLES 11 AND 12

	One-Year Goal	Update	Actual
Number of homeless households to be provided affordable housing units	364	4	4
Number of non-homeless households to be provided affordable housing units	365	80	113
Number of special-needs households to be provided affordable housing units	2051	30	34
Total	2780	114	151

Table 1 – Number of Households UPDATED

	One-Year Goal	Update	Actual
Number of households supported through rental assistance	239	0	108
Number of households supported through the production of new units	3	5	33
Number of households supported through the rehab of existing units	80	40	36
Number of households supported through the acquisition of existing units	0	9	14
Total	322	54	191

Table 2 – Number of Households Supported UPDATED

List of Acronyms for CAPER 2015

Admin	Administrative
ADSN	Areas of demonstrated statistical need
AG	Attorney General
AMI	Area Median Income
ARRA	American Recovery and Reinvestment Act
BoS	Balance of State
CAPER	Consolidated Annual Performance and Evaluation Report
CDBG	Community Development Block Grants
CFL	Compact fluorescent light bulb
CFR	Code of Federal Regulations
CHDO	Community Housing Development Organization
COC	Continuum of Care
COP	CHDO Operating Funds
DFA	Department of Finance and Administration
DOE	Department of Energy
DPA	Down Payment Assistance
DRGR	Disaster Recovery Grant Reporting
EHAP	Emergency Housing Assistance Program
ESG	Emergency Solutions Grant
FHEO	Fair Housing and Economic Opportunity
HMA	Health Management Alliance (HMA)
HMIS	Homeless Management Information System
HOME	HOME Investment Partnership
HOPWA	Housing Opportunities for Persons with AIDS
HOR	Home Owner Rehab
HTF	Housing Trust Fund
HUD	US Department of Housing and Urban Development
IDIS	Integrated Disbursement and Information System
IRS	Internal Revenue Service
LIHEAP	Low Income Home Energy Assistance Program
LIHTC	Low Income Housing Tax Credits
MBE	Minority Business Enterprise
MFA	New Mexico Mortgage Finance Authority
MRB	Mortgage Revenue Bonds
MSA	Metropolitan Statistical Area
NAHRO	National Association of Housing and Redevelopment Officials
NMCEH	New Mexico Coalition to End Homelessness
NSP	Neighborhood Stabilization Program
PHA	Public Housing Authority
PHP	Permanent Housing Placement
QAP	Qualified Allocation Plan
RAP	Rental Assistance Program
Rehab	Rehabilitation
REN	Rental
RFP	Request for Proposals
S+C	Shelter Plus Care
SFD	Single Family Development
SHP	Supportive Housing Program
STRMU	Short Term Rent, Mortgage and Utilities
T&TA	Training and Technical Assistance
TBRA	Tenant Based Rental Assistance
UPCS	Uniform Physical Condition Standards
VAWA	Violence Against Women Act
WAP	Weatherization Assistance Program
WBE	Women's Business Enterprise

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Table 2 – Number of Households Supported UPDATED

ATTACHMENT A: 2015 CDBG APPLICATIONS AWARDED

Applicant	Project Title	District	Allocation Amount
Gallup, City of	Street and Drainage	1	\$500,000.00
San Juan County	Senior Center Rehabilitation	1	\$493,000.00
Eagle Nest, Village of	Water System Improvements	2	\$500,000.00
Questa, Village of	Water System Improvements	2	\$500,000.00
Red River, Village of	Waste Water System Improvements	2	\$500,000.00
Rio Arriba County	Water System Improvements	2	\$500,000.00
Taos, Town of	Community Center Improvements	2	\$500,000.00
Estancia, Town of	Waste Water System Improvements	3	\$500,000.00
Torrance County	Comprehensive & Economic Dev. Plan	3	\$50,000.00
Grants, City of	Comprehensive Plan	3	\$50,000.00
Milan, Village of	Comprehensive Plan	3	\$50,000.00
Fort Sumner, Village of	Senior Center	4	\$500,000.00
Roy, Village of	Water System Improvements	4	\$500,000.00
Texico, City of	Waste Water System Improvements	4	\$500,000.00
Deming, City of	Street and Drainage	5	\$500,000.00
Hurley, Town of	Street and Drainage	5	\$499,772.00
Chaves County	Developmental Services Expansion	6	\$499,412.00
Loving, Village of	Waste Water System Improvements	6	\$500,000.00
Socorro County	Health Care Center	7	\$500,000.00
Truth or Consequences	Waste Water Collection System Improvements	7	\$500,000.00
Anthony Sanitation and Water District	Master Plan	7	\$50,000.00
Total			\$8,692,184.00

Attachment B

FAIR HOUSING IMPEDIMENTS AND SOLUTIONS

2nd year actions Accomplishments

Impediment	Suggested Action	Actions	Measurable Objectives	Accomplishment Count
1. Frequent denial of home purchase loans and predatory lending to American Indians and Hispanics because of credit history	Educate potential homebuyers through home purchase training	MFA will provide homebuyer education classes through HUD-certified providers via NM Attorney General Homeownership Preservation, Loss Mitigation and via eHome America online training.	No. of participants	1,290 households received housing counseling
2. Discriminatory terms, conditions and privileges related to rental housing statewide	Landlord/property management training	Staff will educate landlords and property managers about tenant's fair housing rights through training and counseling.	No. of activities, materials, participants	Worked with 20 owners on Affirmative Fair Housing Marketing Plan
3. Discriminatory refusal to rent based on race and/or disability statewide	Landlord/property management training	Staff will educate landlords and property managers about tenant's fair housing rights through training and counseling.	No. of activities, materials, participants	Worked with 20 owners on Affirmative Fair Housing Marketing Plan
4. Failure to make reasonable accommodation or modification statewide	Landlord/property management training	Provide training for landlords and property managers. Provide training for affordable housing developers. Enforce MFA's 2016 Design Standards, QAP, ADA and Section 504 through MFA's underwriting and compliance procedures.	2014 award communities – on site inspections by architect 4 times a year. 2015/2016 award communities – number of applications approved	2016 17 LIHTC applications 2015 approved 7 LIHTC awards 2014 approved 9 LIHTC awards, quarterly inspections since May 2014
5. Insufficient understanding of fair housing laws statewide	Distribution of fair housing materials	Hold outreach activities concerning fair housing in Fair Housing Month in April via advertising online. Distribution of fair housing flyers and brochures to MFA/DFA constituents and to the general public.	# of activities conducted; # of materials distributed	53 fair housing brochures distributed 5 FHEO contact referral
6. NIMBYism	Education and outreach	MFA/DFA's use of HUD funds will provide outreach for funded activities to minorities, women, and businesses owned by minorities and women, including real estate firms, construction firms, appraisal firms, managements firms, underwriters, accountants, and providers of legal services. Section 3 guidelines will also be followed and maintained.	Records of meetings, presentation and marketing materials	Information for MBE/WBE, Section 3, Section 504 provided on MFA website and DFA website

Attachment C: Public Notice Publication Certifications

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SANTA FE NEW MEXICAN

Founded 1849

NM MORTGAGE FINANCE AUTHORITY.
344 4TH ST SW
ALBUQUERQUE, NM 87102

ACCOUNT: 2041
AD NUMBER: 0000161391
LEGAL NO 80749 P.O. #:
1 TIME(S) 165.90
AFFIDAVIT 20.00
TAX 14.62
TOTAL 190.52

AFFIDAVIT OF PUBLICATION

STATE OF NEW MEXICO
COUNTY OF SANTA FE

I, W. Barnard, being first duly sworn declare and say that I am Legal Advertising Representative of THE SANTA FE NEW MEXICAN, a daily newspaper published in the English language, and having a general circulation in the Counties of Santa Fe, Rio Arriba, San Miguel, and Los Alamos, State of New Mexico and being a newspaper duly qualified to publish legal notices and advertisements under the provisions of Chapter 167 on Session Laws of 1937; that the Legal No 80749 a copy of which is hereto attached was published in said newspaper 1 day(s) between 03/11/2016 and 03/11/2016 and that the notice was published in the newspaper proper and not in any supplement; the first date of publication being on the 11th day of March, 2016 and that the undersigned has personal knowledge of the matter and things set forth in this affidavit.

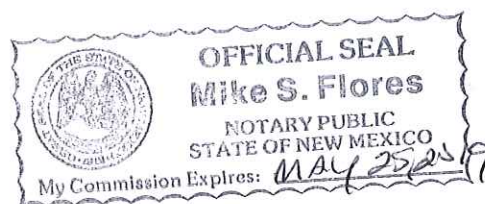
/s/


LEGAL ADVERTISEMENT REPRESENTATIVE

Subscribed and sworn to before me on this 11th day of March, 2016

Notary

Commission Expires: MAY 25 2019



LEGAL # 80749

PUBLIC NOTICE

THE New Mexico Mortgage Finance Authority is pleased to release the DRAFT State of New Mexico 2015 Consolidated Annual Performance and Evaluation Report (CAPER). The U.S. Department of Housing and Urban Development requires MFA and the Local Government Division of the Department of Finance and Administration to report on activities undertaken during the previous program year using the state's formula HOME, ESG, HOPWA and CDBG allocations. Citizens, interested agencies, and for-profit and non-profit organizations may review the CAPER and provide comments during a fifteen-day public comment period, which begins on March 15, 2016, and will end at 5:00 p.m. MST, Tuesday, March 29, 2016.

The draft CAPER will be posted at www.housingnm.org on March 15, 2016. The report pertains to the HOME, ESG, and HOPWA funds MFA administers. For CDBG performance reporting, please contact the Local Government Division (505-827-4950). If you are unable to download the 2015 Draft CAPER, please contact the Mortgage Finance Authority to request a copy (505-843-6880) or toll free 1-800-444-6880. If you are an individual with a disability who is in need of a reader, amplifier, qualified sign language interpreter, or any other form of auxiliary aid or service, please contact the person below.

Written comments and/or questions may be directed to Debbie Davis. Phone: (505) 843-6880 or toll free 1-800-444-6880 or Fax: (505) 243-3289 or e-mail: ddavis@housingnm.org. Mail: New Mexico Mortgage Finance Authority, 344 Fourth St. SW, Albuquerque, NM 87102

Aviso Público

La Nueva México Autoridad Financiamiento Hipotecario (MFA) se complace en publicar el proyecto estado de Nuevo México 2015 Rendimiento Anual consolidado y Informe de Evaluación (CAPER). El Departamento de Vivienda y Desarrollo Urbano de Estados Unidos requiere la MFA y la división del Gobierno Local del Departamento de finanzas y administración para informar sobre las actividades realizadas durante el año anterior del programa utilizando la fórmula del estado, las asignaciones de ESG, HOPWA y CDBG. Ciudadanos, los organismos interesados y organizaciones sin fines de lucro y con fines de lucro pueden revisar el Consolidado Anual Rendimiento e Informe de Evaluación y proporcionar comentarios durante un período de comentario público quince días, que comienza el 15 de marzo de 2016 y terminará a las 5:00 p.m. MST, martes, 29 de marzo de 2016.

El proyecto CAPER se publicará en www.housingnm.org en el 15 de marzo de 2016. El informe pertenece a la HOME, ESG y HOPWA que MFA administra para CDBG informes de rendimiento, póngase en contacto con la División del Local Gobierno (505-827-4950). Si usted es incapaz el proyecto 2014 CAPER a, póngase en contacto con la MFA para solicitar una copia (505-843-6880) o llame gratis al 1-800-444-6880. Si usted es un individuo

Continued...

con una discapacidad que necesita un lector, amplificador, intérprete de lenguaje de señas cualificado o cualquier otra forma de ayuda auxiliar o de servicio, póngase en contacto con la persona más abajo.

Escrito comentarios o preguntas pueden ser dirigidas a Debbie Davis. Teléfono: (505) 843-6880 o llame gratis 1-800-444-6880 o Fax: (505) 243-3289 o por correo electrónico: ddavis@housingnm.org. Correo: Nuevo México Autoridad Financiamiento Hipotecario (MFA), 344 Fourth ST SW, Albuquerque, NM 87102

Published in the Santa Fe New Mexican on Friday, March 11, 2016.

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Aviso legal

AVISO DE DETERMINACIÓN DE DEMANDA COLECTIVA, DESCRIPCIÓN DE LA DEMANDA COLECTIVA, TRAMITACIÓN DEL ACUERDO PROPUESTO Y AUDIENCIA SOBRE EL ACUERDO RESPECTO A UNA COBERTURA PARA CONDUCTORES SIN SEGURO/CON SEGURO LIMITADO CONFORME A LAS PÓLIZAS DE SEGURO PROGRESSIVE

Para: Todas las Personas (y sus herederos, albaceas, sucesores administradores y cesionarios) que entre el 18 de abril de 2002 y el 3 de febrero de 2012, inclusive, fueron titulares de una póliza Progressive de Nuevo México o estuvieron aseguradas con una póliza Progressive de Nuevo México que no haya ofrecido el monto máximo de cobertura para conductores sin seguro (Uninsured Motorist, “UM”) permitido por ley, y/o por la cual Progressive no haya obtenido una renuncia/rechazo válidos de cobertura de UM con límites equivalentes a los límites de cobertura de responsabilidad. A los efectos de este Acuerdo de Conciliación, una renuncia/rechazo no válido de una cobertura de UM es aquel que no ofrece una serie de opciones de cobertura y costos de las primas correspondientes para la cobertura de UM disponible hasta los límites de la cobertura de responsabilidad. Se excluyen de la Demanda Colectiva: todos los funcionarios y/o directores actuales o anteriores de Progressive, los “Mediadores” a efectos del proceso de Apelación de Evaluaciones establecido en el Acuerdo, el Abogado de la Demanda Colectiva y sus familiares residentes, y el abogado designado de Progressive y sus familiares residentes. Consulte el Aviso de Conciliación detallado para informarse sobre las exclusiones adicionales.

El litigio de demanda colectiva contra Progressive (la “Demanda Colectiva”) ha estado en trámite en el Tribunal del Segundo Distrito Judicial, Condado de Bernalillo, Nuevo México (el “Tribunal”). Las Partes de la Demanda Colectiva llegaron a un Acuerdo Propuesto. El Tribunal certificó en forma preliminar, solo a efectos del Acuerdo, la Demanda Colectiva descrita anteriormente, y autorizó la publicación del presente Aviso.

SE NOTIFICA que el Tribunal celebrará una audiencia el 3 de junio de 2016 a las 9:00 a. m. en el Tribunal de Distrito del Condado de Bernalillo, sito en 400 Lomas NW, Albuquerque, Nuevo México 87103, ante la Honorable Nancy J. Franchini a efectos de determinar: (1) si la Demanda Colectiva se debe certificar a efectos del Acuerdo; (2) si el Acuerdo Propuesto de este caso se debe aprobar como justo, razonable y adecuado; (3) si el caso debe denegarse con pérdida de derechos a un juicio nuevo y sin lugar a enmiendas conforme a los términos del Acuerdo Propuesto; (4) si los Miembros de la Demanda Colectiva quedarán vinculados por la Exención establecida en el Acuerdo Propuesto; (5) si se prohibirá en forma permanente a los Miembros de la Demanda Colectiva, entre otras cosas, iniciar, continuar, participar en (en calidad de Miembros de la Demanda Colectiva o de otro modo), o recibir cualquier beneficio de cualquier otro juicio, arbitraje o procedimiento y orden de tipo administrativo, regulatorio o de otro tipo en cualquier jurisdicción en función o en relación con los reclamos, hechos o circunstancias de la presente Demanda Colectiva y/o los Reclamos Exonerados (tal como se definen en el Aviso de Conciliación y el Acuerdo de Conciliación); y (6) si se aprueba la solicitud del abogado del Demandante de disponer los Honorarios y Costos de los Abogados.

Se enviará por correo un Aviso de Conciliación detallado a los Posibles Miembros de la Demanda Colectiva, si así lo solicitaran. Si usted es un posible miembro de la Demanda Colectiva descrita anteriormente, debe solicitar una copia del Aviso de Conciliación en forma inmediata, a través del siguiente número de teléfono gratuito: 1-877-465-4877 o a través del siguiente sitio web: www.lucerosettlement.com. El Aviso de Conciliación describe el Acuerdo Propuesto y los derechos de los Miembros de la Demanda Colectiva. El Acuerdo Propuesto y la audiencia programada para el Tribunal podrían afectar dichos derechos.

El Tribunal designó al siguiente abogado como Abogado de la Demanda Colectiva:

Geoffrey R. Romero
Estudio de abogados de Geoffrey R. Romero
4801 All Saints Rd. NW
Albuquerque, NM 87120

NO SE COMUNIQUE POR TELÉFONO CON EL TRIBUNAL NI CON EL SECRETARIO DEL TRIBUNAL

Debbie Davis

From: dd <ddavis@housingnm.org>
Sent: Thursday, March 31, 2016 10:36 AM
To: Debbie Davis
Subject: Albuquerque Legal Notice

The following legal notice was sent from dd.

Comments:

<http://legals.abqjournal.com/legals/show/361697>



Published in the Albuquerque Journal on Friday March 11, 2016

Public Notice The New Mexico Mortgage Finance Authority is pleased to release the DRAFT State of New Mexico 2015 Consolidated Annual Performance and Evaluation Report (CAPER). The U.S. Department of Housing and Urban Development requires MFA and the Local Government Division of the Department of Finance and Administration to report on activities undertaken during the previous program year using the state's formula HOME, ESG, HOPWA and CDBG allocations. Citizens, interested agencies, and for-profit and non-profit organizations may review the CAPER and provide comments during a fifteen-day public comment period, which begins on March 15, 2016, and will end at 5:00 p.m. MST, Tuesday, March 29, 2016. The draft CAPER will be posted at www.housingnm.org on March 15, 2016. The report pertains to the HOME, ESG, and HOPWA funds MFA administers. For CDBG performance reporting, please contact the Local Government Division (505-827-4950). If you are unable to download the 2015 Draft CAPER, please contact the Mortgage Finance Authority to request a copy (505-843-6880) or toll free 1-800-444-6880. If you are an individual with a disability who is in need of a reader, amplifier, qualified sign language interpreter, or any other form of auxiliary aid or service, please contact the person below. Written comments and/or questions may be directed to Debbie Davis. Phone: (505) 843-6880 or toll free 1-800-444-6880 or Fax: (505) 243-3289 or e-mail: ddavis@housingnm.org. Mail: New Mexico Mortgage Finance Authority, 344 Fourth St. SW, Albuquerque, NM 87102 Aviso Pblico La Nuevo Mxico Autoridad Financiamiento Hipotecario (MFA) e complace en publicar el proyecto estado de Nuevo Mxico 2015 Rendimiento Anual consolidado y Informe de Evaluacin (CAPER). El Departamento de Vivienda y Desarrollo Urbano de Estados Unidos requiere la MFA y la divisin del Gobierno Local del Departamento de finanzas y administracin para informar sobre las actividades realizadas durante el ao anterior del programa utilizando la frmula del estado, las asignaciones de ESG, HOPWA y CDBG. Ciudadanos, los organismos interesados y organizaciones sin fines de lucro y con fines de lucro pueden revisar el Consolidado Anual Rendimiento e Informe de Evaluacin y proporcionar comentarios durante un periodo de comentario pblico quince das, que comienza el 15 de marzo de 2016 y terminar a las 5:00 p.m. MST, martes, 29 de marzo de 2016. El proyecto CAPER se publicar en www.housingnm.org en el 15 de marzo de 2016. El informe pertenece a

la HOME, ESG y HOPWA que MFA administra para CDBG informes de rendimiento, pngase en contacto con la Divisin del Local Gobierno (505-827-4950). Si usted es incapaz el proyecto 2014 CAPER a, pngase en contacto con la MFA para solicitar una copia (505-843-6880) o llame gratis al 1-800-444-6880. Si usted es un individuo con una discapacidad que necesita un lector, amplificador, intrprete de lenguaje de seas cualificado o cualquier otra forma de ayuda auxiliar o de servicio, png ase en contacto con la persona ms abajo. Escrito comentarios o preguntas pueden ser dirigidas a Debbie Davis. Telfono: (505) 843-6880 o llame gratis 1-800-444-6880 o Fax: (505) 243-3289 o por correo electrnico: ddavis@housingnm.org. Correo: Nuevo Mxico Autoridad Financiamiento Hipotecario (MFA), 344 Fourth ST SW, Albuquerque, NM 87102 Journal; March 11, 2016

Attachment D: COMMUNITY DEVELOPMENT MONITORING 1/1/15 THROUGH 12/31/15

Agency	Location	Contract #	Arrival Date	Visit Type (Full, Unit, etc.)	MFA Program Manager
Bernalillo County Housing Department	Albuquerque	13-07-BCH-LIN-001	1/7/15	Desk	Debbie Davis
Sandoval County Shelter Plus Care	Bernalillo	14-02-COS-COC-001	1/8/15	Desk	Natalie Michelback
Rocky Mountain Youth Corps	Taos	New Mexico Energy\$mart	1/12/15	Programmatic & Technical	Gina Bell
Haven House, Inc.	Rio Rancho	14-02-HVH-EHA-001	1/15/15	Desk	Karen Anderson
S.A.F.E. House	Albuquerque	14-02-SFH-EHA-001	1/16/15	Full	Karen Anderson
Barrett Foundation	Albuquerque	14-02-BFF-COC-001	1/21/15	Desk	Natalie Michelback
Northern Pueblos Housing Authority	Pojaque	13-01-NPH-HOR-001	1/22/15	Desk	Laurie LindenDill
Crossroads for Women	Albuquerque	14-02-CRO-COC-001	1/28/15	Desk	Natalie Michelback
Esperanza Shelter	Santa Fe	14-02-ESP-COC-001	2/5/15	Full	Natalie Michelback
Supportive Housing Coalition	Albuquerque	14-02-SHC-COC-001	2/11/15	Full	Natalie Michelback
Mesilla Valley Community of Hope	Las Cruces	14-02-MVC-RAP-001	2/11/15	Desk	Laurie LindenDill
Santa Fe Civic Housing Authority	Santa Fe	14-02-SFH-COC-001	2/18/15	Full	Natalie Michelback
Bernalillo County Housing Department	Albuquerque	13-01-BCH-HOR-001	2/19/15	Desk	Laurie LindenDill
El Refugio, Inc.	Silver City	14-02-ERG-COC-001	2/25/15	Desk	Natalie Michelback
Center of Protective Environment, Inc.	Alamogordo	14-02-COP-EHA-001	2/27/15	Full	Karen Anderson
La Casa, Inc.	Las Cruces	14-02-LAC-COC-001	3/5/15	Desk	Natalie Michelback
The Life Link	Santa Fe	13-07-TLL-LIN-001	3/10/15	Desk	Debbie Davis
Ohkay Owingeh Housing Authority	Ohkay Owingeh	13-01-OHA-HOR-001	3/11/15	Desk	Laurie LindenDill
Abode, Inc	Las Cruces	14-02-ABO-COC-001	3/12/15	Full	Natalie Michelback
Mesilla Valley Community of Hope	Las Cruces	14-02-MVC-COC-001	3/13/15	Full	Natalie Michelback
San Felipe Pueblo Housing Authority	Algodones	13-01-SFP-HOR-001	3/18/15	Desk	Laurie LindenDill
Esperanza Shelter for Battered Families, Inc.	Santa Fe	14-02-ESP-EHA-001	3/19/15	Full	Karen Anderson
San Juan County Partnership	Farmington	14-02-SJC-RAP-001	3/19/15	Full and Facility	Laurie LindenDill
San Juan County Partnership	Farmington	14-02-SJC-COC-001	3/19/15	Full and Facility	Natalie Michelback
San Juan County Partnership	Farmington	14-02-SJC-RAP-001	3/20/15	Unit (1)	Laurie LindenDill
New Mexico AIDS Services	Farmington	14-03-NMA-HOP-001	3/20/15	Unit (1)	Nicole Sanchez
Santa Fe Community Housing Trust	Santa Fe	14-02-SFC-COC-001	3/25/15	Desk	Natalie Michelback
Town of Silver City	Silver City	13-01-TSC-HOR-001	3/25/15	Desk	Laurie LindenDill
Western Regional Housing Authority	Silver City	13-07-WRH-LIN-001	3/25/15	Desk	Debbie Davis
Central New Mexico Housing Corporation	Albuquerque	DOE, LIHEAP, NM GAS, PNM & XCEL	3/30/15	Programmatic & Technical	Gina Bell
St. Elizabeth Shelter	Santa Fe	14-02-SES-COC-001	4/1/15	Desk	Natalie Michelback
Lea County Housing, Inc.	Hobbs	13-01-LEA-HOR-001	4/1/15	Desk	Laurie LindenDill
Youth Shelters and Family Services, Inc.	Santa Fe	14-02-YSF-EHA-001	4/6/15	Full	Karen Anderson
St. Martin's Hospitality Center	Albuquerque	14-02-SMH-COC-001	4/8/15	Desk	Natalie Michelback
Valencia Shelter Services	Los Lunas	14-02-VSH-EHA-001	4/13/15	Full	Karen Anderson
Southwestern Regional Housing and CDC	Deming	13-01-SRH-HOR-001	4/16/15	Desk	Laurie LindenDill
Hartley House	Clovis	14-02-HAR-EHA-001	4/17/15	Full	Karen Anderson
Western Regional Housing Authority	Silver City	14-02-WST-RAP-001	4/22/15	Full	Laurie LindenDill
Therapeutic Living Services	Albuquerque	14-02-TLS-COC-001	4/22/15	Desk	Natalie Michelback

Agency	Location	Contract #	Arrival Date	Visit Type (Full, Unit, etc.)	MFA Program Manager
Battered Family Services	Gallup	14-02-BFS-EHA-001	4/23/15	Full	Karen Anderson
Community Area Resource Enterprise/CARE 66	Gallup	14-02-CAR-EHA-001	4/24/15	Full	Karen Anderson
White Sands Habitat for Humanity	Alamogordo	13-01-OCH-HOR-001	4/29/15	Desk	Laurie LindenDill
St. Elizabeth Shelter	Santa Fe	14-02-SES-EHA-001	5/7/15	Desk	Karen Anderson
St. Martin's Hospitality Center	Albuquerque	14-02-STM-RAP-001	5/8/15	Full	Laurie LindenDill
New Mexico AIDS Services	Albuquerque	14-03-NMA-HOP-002-ABQ	5/8/15	Units (5)	Nicole Sanchez
Central New Mexico Housing Corporation	Albuquerque	DOE, LIHEAP, NM GAS, PNM & XCEL	5/13/15	Technical	Troy Cucchiara
DreamTree Project	Taos	14-02-DTP-COC-001	5/13/15	Desk	Natalie Michelback
Community Against Violence	Taos	14-02-CAV-EHA-001	5/21/15	Full	Karen Anderson
DreamTree Project	Taos	14-02-DTP-EHA-001	5/22/15	Full	Karen Anderson
Community Against Violence	Taos	14-02-CAV-COC-001	5/27/15	Desk	Natalie Michelback
Southwest CARE Center	Roswell	14-03-SWC-HOP-003-ROS	6/3/15	Unit (1)	Nicole Sanchez
Samaritan House	Las Vegas	14-02-SMH-COC-001	6/3/15	Full	Natalie Michelback
Samaritan House	Las Vegas	14-02-SMH-RAP-001	6/3/15	Full	Laurie LindenDill
Assurance Home, Inc.	Roswell	14-02-ASH-EHA-001	6/4/15	Full	Karen Anderson
Roswell Refuge for Battered Adults	Roswell	14-02-RRB-EHA-001	6/5/15	Full	Karen Anderson
Mesilla Valley Community of Hope	Las Cruces	14-07-MVC-LIN-001	6/9/15	Full	Debbie Davis
Samaritan House	Las Vegas	14-02-SMH-EHA-001	6/10/15	Desk	Karen Anderson
Tierra del Sol Housing Corp.	Las Cruces	15-01-TDS-HOR-001	10/15/15	Desk	Laurie LindenDill
Abode, Inc.	Las Cruces	15-02-ABO-COC-001	10/15/15	Desk	Natalie Michelback
El Camino Real	Socorro	15-02-ECR-COC-001	10/20/15	Full	Natalie Michelback
New Mexico AIDS Services	Albuquerque	15-03-NMA-HOP-001	10/21/15	Full	Nicole Sanchez
Community Area Resouce Enterprise (CARE 66)	Gallup	15-02-CAR-EHA-001	10/22/15	Desk	Karen Anderson
Community Area Resouce Enterprise (CARE 66)	Gallup	15-02-CAR-COC-001	10/22/15	Desk	Natalie Michelback
Carlsbad Battered Families Shelter, Inc.	Carlsbad	15-02-CBF-EHA-001	10/28/15	Desk	Karen Anderson
Casa Milagro	Santa Fe	15-02-CML-COC-001	11/3/15	Full	Natalie Michelback
Southwestern Regional Housing & CDC	Las Cruces	15-06-SWR-MASTER CONTRACT	11/4/15	Full	Gina Bell
Barrett Foundation	Albuquerque	15-02-BFF-COC-001	11/4/15	Full	Natalie Michelback
Albuquerque Health Care for the Homeless	Albuquerque	15-02-AHC-COC-001	11/12/15	Full	Natalie Michelback
Center of Protective Environment (C.O.P.E.)	Alamogordo	15-02-COP-EHA-001	11/19/15	Desk	Karen Anderson
County of Sandoval Permanent Supportive	Bernalillo	15-02-COS-COC-001	11/19/15	Full	Natalie Michelback
Southwest C.A.R.E. Center	Santa Fe	15-03-SWC-HOP-001-SF	11/19/15	Full	Nicole Sanchez
Community Against Violence	Taos	15-02-CAV-EHA-001	12/2/15	Desk	Karen Anderson
DreamTree Project	Taos	15-02-DTP-EHA-001	12/2/15	Desk	Karen Anderson
Catholic Charities	Albuquerque	15-02-CCH-COC-001	12/2/15	Desk	Natalie Michelback
Family Crisis Center	Farmington	15-02-FCC-EHA-001	12/4/15	Desk	Karen Anderson
Central NM Housing Corporation	Albuquerque	15-06-CNMHC-MASTER CONTRACT	12/8/15	Programmatic & Technical	Gina Bell
Enlace Comunitario	Albuquerque	15-02-ENC-RAP-001	12/9/15	Desk	Laurie LindenDill
Youth Shelters & Family Services	Santa Fe	15-02-YSF-COC-001	12/9/15	Full	Natalie Michelback
Mesilla Valley Community of Hope	Las Cruces	15-07-MVC-LIN-001	12/17/15	Desk	Debbie Davis
Crossroads for Women	Albuquerque	15-02-CRO-COC-001	12/17/15	Full	Natalie Michelback

Attachment D: 2015 HOME Multifamily Monitoring

Date of 2015 On-Site Visit	Report Rating	Property Name	Number of HOME Units	Number of HOME units Monitored**	Summary of Issues - Physical Deficiencies*	Summary of Issues - Tenant Files/Compliance*	Date Issues Closed or Closure Pending
3/17	Above Average	Azotea Senior Apts	3	3	X	X	Closure Pending
11/21	Superior	Belen Crossings	3	3	N/A	N/A	12/18/2015
7/29	Satisfactory	Bella Vista	8	6	X	N/A	Closure Pending
10/23	Satisfactory	Bella Vista Town/HOMES	4	4	X	X	Closure Pending
11/18	Satisfactory	Broadway Apartments	4	2	X	X	Closure Pending
3/23	Above Average	Casa de Rio I & II	32	8	X	X	8/26/2015
2/5	Above Average	Casa Rufina	5	5	X	X	4/2/2015
2/5	Above Average	Casa Rufina II	2	2	X	N/A	4/2/2015
7/17	Satisfactory	Casamera	5	3	X	X	Closure Pending
5/13	Satisfactory	Chaco River I	5	5	X	X	10/28/2015
5/14	Satisfactory	Chaco River II	3	3	X	X	10/28/2015
7/13	Below Average	Chuska	3	2	X	X	Closure Pending
8/5-8/6	Above Average	Cimmaron I apartments	3	1	X	N/A	Closure Pending
7/14	Satisfactory	Cliffside III	4	2	X	X	10/30/2015
3/19	Below Average	Clovis Rentals	5	2	X	X	Closure Pending
3/18	Above Average	Cottonwood Commons	3	3	X	X	Closure Pending
8/28	Above Average	Desert Sun II	4	4	X	N/A	Closure Pending
3/17	Above Average	Echols Place	4	3	X	X	Closure Pending
5/27	Above Average	El Cerrito	3	3	X	X	Closure Pending
3/17	Above Average	Franklin Vista V	7	7	X	X	5/1/2015
3/18	Above Average	Franklin Vista VI	4	4	X	X	5/1/2015
3/18	Above Average	Franklin Vista VII	4	4	X	X	5/1/2015
8/26	Satisfactory	Gallinas Valley	3	3	X	X	Closure Pending
7/11	Below Average	Hidden Valley Village	4	2	X	X	Closure Pending
11/20	Above Average	Hilltop Apartments	3	3	N/A	N/A	12/18/2015
7/10	Above Average	Hotel Clovis	4	4	X	X	Closure Pending
7/22	Satisfactory	I-Sah-Din-Dii	3	3	X	X	Closure Pending
11/19	Above Average	La Pradera	4	4	X	N/A	Closure Pending
6/10	Above Average	La Terraza	4	3	X	X	Closure Pending

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Date of 2015 On-Site Visit	Report Rating	Property Name	Number of HOME Units	Number of HOME units Monitored**	Summary of Issues - Physical Deficiencies*	Summary of Issues - Tenant Files/Compliance*	Date Issues Closed or Closure Pending
7/23	Above Average	La Tierra	7	5	X	X	Closure Pending
9/8	Above Average	La Villa Elena	10	6	X	X	Closure Pending
7/23	Above Average	Ladera Apartment /HOMES	11	5	X	X	Closure Pending
5/13	Satisfactory	Ladera Village	7	5	X	X	Closure Pending
7/9	Above Average	Lolomas	5	4	X	X	Closure Pending
5/28	Satisfactory	Loma Parda	9	6	X	N/A	Closure Pending
10/13	Below Average	Magdalena Hall	7	4	X	X	Closure Pending
10/21	Satisfactory	Main Street Town/HOMES	4	4	X	X	Closure Pending
8/27	Above Average	Mariposa Village	15	4	X	X	Closure Pending
10/29	Satisfactory	Mesa del Norte	3	3	X	X	Closure Pending
5/13	Satisfactory	Mesa Verde	7	6	X	X	Closure Pending
6/9	Satisfactory	Mesa Village	7	4	X	X	Closure Pending
10/21	Satisfactory	Mesquite Village	5	3	X	X	Closure Pending
8/25	Above Average	Mt. View Estates	5	5	N/A	N/A	Closure Pending
9/22	Satisfactory	Mundo Ranch	7	5	X	X	Closure Pending
5/12	Satisfactory	Otero Village	7	2	X	N/A	Closure Pending
11/17	Above Average	Park Place (Casa Hermosa)	6	3	X	N/A	Closure Pending
10/20	Satisfactory	Parkside Village	3	3	X	X	Closure Pending
7/22	Satisfactory	Pinon Palmer Rental	13	5	X	X	Closure Pending
9/9	Above Average	Rio Abajo	4	4	X	X	Closure Pending
7/8	Satisfactory	Rio Pecos Estates	4	3	X	X	12/8/2015
5/12	Above Average	Roswell Summit	7	5	X	N/A	8/10/2015
3/17	Above Average	Ruth Visage	8	5	X	X	Closure Pending
10/14	Above Average	Sage Apartments	5	3	X	X	Closure Pending
9/10	Above Average	Santa Teresa II	6	6	X	X	Closure Pending
9/10	Above Average	Santa Teresa III	6	6	X	X	Closure Pending
10/22	Satisfactory	Sedona Village	10	5	X	X	Closure Pending
11/5	Satisfactory	Silver Cliffs	7	5	X	X	12/22/2015
9/11	Satisfactory	Socorro Village	10	5	X	N/A	Closure Pending

Attachment D: 2015 HOME Multifamily Monitoring

Date of 2015 On-Site Visit	Report Rating	Property Name	Number of HOME Units	Number of HOME units Monitored**	Summary of Issues - Physical Deficiencies*	Summary of Issues - Tenant Files/Compliance*	Date Issues Closed or Closure Pending
11/16	Satisfactory	Southview	6	5	X	X	2/8/2016
5/13	Satisfactory	Spicewood	4	4	X	X	2/10/2016
7/31	Above Average	Stagecoach Apartments	4	4	X	X	Closure Pending
10/16	Above Average	Sunset Hills	4	4	N/A	X	Closure Pending
10/15	Above Average	Sunset View Sr/TresSantos	8	8	X	X	2/10/2016
5/28	Superior	Taos Haus	2	2	N/A	N/A	6/26/2015
5/27	Satisfactory	Tierra Montosa	9	3	X	N/A	Closure Pending
7/30	Below Average	Tsigo Bugeh Village	4	4	X	X	Closure Pending
11/13	Satisfactory	Valle Del Sol	6	5	X	X	Closure Pending
3/26	Above Average	Valle Verde II	4	4	X	N/A	1/21/2016
11/23	Satisfactory	Villa Consuelo	55	11	X	X	1/15/2016
7/15	Below Average	Villa de Gallup	5	5	X	X	10/9/2015
7/15	Below Average	Villa de Gallup II	7	2	X	X	Closure Pending
9/23	Satisfactory	Villa Del Norte	30	6	X	X	Closure Pending
8/25	Satisfactory	Villa Las Vegas	10	5	X	X	Closure Pending
7/16	Satisfactory	Villa Mentmore	8	5	X	N/A	10/9/2015
9/8	Above Average	Villa San Jose	10	5	X	X	Closure Pending
7/21	Satisfactory	Villa Tularosa	19	12	X	X	Closure Pending
8/25	Satisfactory	Villas De San Ignacio	7	5	X	X	Closure Pending
9/11	Satisfactory	Vista Montano	8	5	X	X	Closure Pending
11/23	Satisfactory	White Sands II	2	2	X	X	1/21/2016
11/20	Above Average	Willow Bend Villas	4	4	X	N/A	Closure Pending
9/9	Above Average	Wilshire Gardens	6	6	X	X	Closure Pending

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*"X" means that there were deficiencies, either with physical or tenant files/compliance

**These units may have been vacant and Asset Management reviewed other program units in their place

Attachment E: HOME Program Income Uses 2015

PI Rcvd Date	Name/Subgrantee	IDIS #	Activity Type	Address	City	County	% Med	Hispanic? Y/N	Race	Amt. Rcvd.	10% Admin	90% Program
9/24/15	MFA Jan Admin	8761	ADM							9,539.46	9,539.46	
9/24/15	MFA Feb Admin	8761	ADM							9,402.50	9,402.50	
9/24/15	MFA Mar Admin	8761	ADM							11,826.59	11,826.59	
9/24/15	MFA Apr Admin	8761	ADM							10,757.94	10,757.94	
9/24/15	MFA May Admin	8761	ADM							29,309.78	29,309.78	
9/24/15	MFA June Admin	8761	ADM							17,539.23	17,539.23	
9/24/15	MFA July Admin	8761	ADM							6,246.23	6,246.23	
9/24/15	MFA Aug Admin	8761	ADM							9,184.61	9,184.61	
10/28/15	MFA Sept Admin	8761	ADM							7,952.23	7,952.23	
11/24/15	MFA Oct Admin	8761	ADM							8,182.50	8,182.50	
12/28/15	MFA Nov Admin	8761	ADM							58.93	58.93	
6/25/15	Payment\$aver	8707	DPA	3108 La Paz Lane	Santa Fe	Santa Fe	3	Y	11	6,075.00		6,075.00
6/5/15	Payment\$aver	8718	DPA	1327 Eucalyptus Dr.	Las Cruces	Dona Ana	3	Y	11	6,715.00		6,715.00
6/25/15	Payment\$aver	8726	DPA				3	Y	11	8,055.00		8,055.00
2/4/15	Northern Pueblos	8623	HOR	17 Shumaa	Santa Fe	Santa Fe	1	N	14	14,398.84		14,398.84
3/18/15	Ohkay Owingeh Housing Authority	8632	HOR	154 Lower Ranchitos Rd.	Ohkay Owingeh	Rio Arriba	3	N	14	25,927.18		25,927.18
4/15/15	Ohkay Owingeh Housing Authority	8632	HOR	154 Lower Ranchitos Rd.	Ohkay Owingeh	Rio Arriba				10,000.14		10,000.14
2/4/15	Southwestern Regional HCD	8636	HOR	1100 1/2 S. Santa Clara St.		Luna	3	Y	20	14,034.00		14,034.00
3/11/15	Lea County Housing Inc.	8637	HOR	208 W Skelly		Lea	1	Y	11	22,967.89		22,967.89
3/11/15	Ohkay Owingeh Housing Authority	8643	HOR	15 CR 129	Ohkay Owingeh	Rio Arriba	3	N	14	23,264.17		23,264.17
3/11/15	Ohkay Owingeh Housing Authority	8643	HOR	15 CR 129	Ohkay Owingeh	Rio Arriba				7,211.32		7,211.32
7/8/15	Town of Silver City	8645	HOR	713 N Corbin	Silver City	Grant	3	Y	11	20,400.64		20,400.64
7/8/15	Town of Silver City	8646	HOR	1307 N Silver St	Silver City	Grant	3	Y	11	13,566.23		13,566.23
7/8/15	Town of Silver City	8646	HOR							13,369.77		13,369.77
2/4/15	Northern Pueblos	8650	HOR	15 TSE Wang Loop		Santa Fe	1	N	14	16,129.33		16,129.33
4/1/15	Northern Pueblos	8650	HOR							26,466.38		26,466.38
4/1/15	White Sands HFH	8652	HOR	1105 E 8th St		Otero	2	Y	11	24,400.08		24,400.08
2/4/15	Southwestern Regional HCD	8653	HOR	3295 Country Club SE			3	Y	20	18,028.73		18,028.73
4/1/15	Southwestern Regional HCD	8653	HOR							28,292.59		28,292.59
4/21/15	Southwestern Regional HCD	8653	HOR			Luna				6,747.66		6,747.66
3/11/15	Native American Housing Consultants	8668	HOR	111 Dolores St.		San Miguel	1	Y	11	9,038.00		9,038.00
4/8/15	Native American Housing Consultants	8668	HOR							10,532.49		10,532.49
6/10/15	Native American Housing Consultants	8668	HOR							28,818.62		28,818.62
7/29/15	Bernalillo County Housing Department	8731	HOR	2048 Minnie Rd SW	Albuquerque	Bernalillo	2	Y	11	946.95		946.95
12/16/15	White Sands HFH	8783	HOR	61 3rd Street		Otero	1	N	11	27,360.51		27,360.51
12/16/15	White Sands HFH	8784	HOR	168 Galicia Rd.		Otero	1	N	11	682.74		682.74
11/4/15	Town of Silver City	8801	HOR	213 F Street		Grant	3	N	11	51,736.31		51,736.31
11/4/15	Town of Silver City	8802	HOR	1011 Bard St.		Grant	3	Y	11	21,906.18		21,906.18
7/29/15	NMMFA-LBP	8731	LEAD	2048 Minnie Rd SW	Albuquerque	Bernalillo				702.00		702.00
5/27/15	Andalusia Housing LLLP	8345	REN	Connelly/2nd Street	Clovis	Curry	Not completed, no data yet			51,824.45		51,824.45
5/27/15	Andalusia Housing LLLP	8345	REN							2,546.64		2,546.64
10/8/15	Kristin Park 2013 LLLP	8662	REN	2351 Moreland	Las Vegas	San Miguel	Not completed, no data yet			25,895.85		25,895.85
10/8/15	Kristin Park 2013 LLLP	8662	REN							59,662.08		59,662.08
3/18/15	CARE 66	8663	REN	201 E. Coal Ave.	Gallup	McKinley	Not completed, no data yet			19,478.13		19,478.13
9/23/15	CARE 66	8663	REN							25,000.00		25,000.00
12/9/15	Santa Fe Community Living	8670	REN	664 Alta Vista Street	Santa Fe	Santa Fe	Not completed, no data yet			20,000.00		20,000.00
8/19/15	Playa Escondida Housing, LLLP	8737	REN	E. Yeso & N. Jefferson	Hobbs	Lea	Not completed, no data yet			209,780.06		209,780.06
8/19/15	Playa Escondida Housing, LLLP	8737	REN							83,213.86		83,213.86
8/19/15	Playa Escondida Housing, LLLP	8737	REN							42,006.08		42,006.08

Attachment E: HOME Program Income Uses 2015

PI Rcvd Date	Name/Subgrantee	IDIS #	Activity Type	Address	City	County	% Med	Hispanic? Y/N	Race	Amt. Rcvd.	10% Admin	90% Program
5/13/15	Habitat for Humanity of NM	8727	SFD	2919 N. Montgomery	Hobbs	Lea	2	Y	20	14,999.00		14,999.00
5/13/15	Habitat for Humanity of NM	8728	SFD	2907 N. Montgomery	hobbs	Lea	3	Y	20	14,999.00		14,999.00
5/13/15	Habitat for Humanity of NM	8729	SFD	1205 Grand		Eddy	2	Y	20	14,999.00		14,999.00
6/17/15	Habitat for Humanity of NM	8734	SFD	912 26th Street	Alamogordo	Otero	2	N	11	14,999.00		14,999.00
6/17/15	Habitat for Humanity of NM	8735	SFD	504 Lamento del Coyote	Taos	Taos	3	Y	20	14,999.00		14,999.00
9/9/15	Santa Fe Habitat for Humanity, Inc.	8743	SFD	3104 La Paz Lane	Santa Fe	Santa Fe	2	Y	11	14,210.02		14,210.02
9/9/15	Santa Fe Habitat for Humanity, Inc.	8743	SFD							4.98		4.98
9/16/15	Habitat for Humanity of NM	8744	SFD	304 N. 5th Street		Eddy	2	Y	20	14,999.00		14,999.00
9/23/15	Santa Fe Habitat for Humanity, Inc.	8770	SFD	3106 La Paz Lane	Santa Fe	Santa Fe	2	Y	11	14,215.00		14,215.00
10/21/15	Santa Fe Habitat for Humanity, Inc.	8794	SFD	3110 La Paz Lane	Santa Fe	Santa Fe	2	Y	11	11,907.95		11,907.95

1,247,512.85

Owner Occupied Rehabilitation	HOR	16
Single Family Development	SFD	9
Lead Based Paint	LEAD	1
Down Payment Assistance	DPA	3
Rental	REN	5
Administration	ADM	1
		35

Household % of Med
1 – 0 to 30%
2 – 30+ to 50%
3 – 50+ to 60%
4 – 60+ to 80%

Household Race
11-White
12-Black or African American
13 – Asian
14 – American Indian or Alaska Native
15 – Native Hawaiian or Other Pacific Islander
16 – American Indian or Alaska Native & White
17 – Asian & White
18 – Black or African American & White
19 – American Indian or Alaska Native & Black or African American

ATTACHMENT F: *Monitoring Process*

MFA HOME, ESG and HOPWA Monitoring

MFA's Community Development staff conducts contract-based program compliance monitoring for HOME, ESG, HOPWA, NSP, and weatherization programs. Staff is highly skilled in monitoring all program requirements, including beneficiary income qualification, habitability standards, financial capability, adherence to program regulations, Davis/Bacon and environmental clearance procedures. The staff continues to increase skills by participating in program specific training provided by HUD, including passing scores in the Certified HOME Specialist - Regulations, Certified HOME Specialist - Administration and Certified HOME Specialist - Rental. MFA ensures that monitoring requirements are carried out in accordance with the HUD rules for HOME, ESG and HOPWA by using a database specifically designed for tracking monitoring visits. Risk assessments are conducted annually by the program managers, with monitoring schedules planned from the results of the assessments.

Program managers prepare and use risk assessments at the beginning of every program year, as a tool to develop their monitoring schedules for the next program year. These assessments help determine whether a sub-grantee is a high, moderate, or low-risk agency. If an agency is rated high risk, an on-site monitoring visit will be scheduled. If an agency is rated moderate risk, a desk top financial audit will be conducted; if an agency is rated low risk, monitoring will be waived for the program year. Exceptions are HOME and DOE funded agencies which have to be monitored annually. Other issues (sub-grantee organizational changes during year, etc.) that may surface and require an increase/decrease in monitoring levels will be documented in either the risk assessment file or the monitoring file and document(s).

MFA's Asset Management staff conducts long-term compliance monitoring for HOME-funded single family and multifamily rentals in accordance with the guidelines set out in 24 CFR Part 92, including income eligibility, certification and recertification, and habitability. The database assists in tracking the timeliness of those monitoring visits so that all monitoring frequency requirements are met. Monitoring visits are based on the total number of units on the property. For properties with one to five units, monitoring conducted every three years; six to 25 units, every two years; and 26 or more units, annually. MFA staff notifies the project manager and owner 30 days in advance of a scheduled monitoring visit, providing a listing of the items for the audit. When staff arrives at the project, they will monitor, at a minimum, five tenant files and will perform physical inspections on the same units. If there are less than five HOME units, then 100% of the HOME files and units will be inspected. Reports of the monitoring visit are sent to the manager and owner within 30 days of the monitoring, setting out all observations, concerns and findings, and providing information on how the results can be resolved.

The goal of the formal monitoring review is to ensure compliance with and provide technical assistance relating to federal regulations, state policies and program procedures. During the review, the following information is documented:

- Administrative policies and procedures
- Financial records/program income
- Beneficiary selection policy
- Marketing plan, procedures
- Lead based paint regulations/Health Department quarterly reports
- Beneficiary file reviews
- If required, UPCS Inspections

After each review, MFA provides letters to each sub-grantee. The reports take the form of findings, concerns and observations of each sub-grantee's operations. If necessary, remedial actions are part of the report, and must be addressed by the sub-grantee, in writing, to MFA within 30 days of the date of the letter. Remedies for the sub-grantee's nonperformance and noncompliance status include corrective and probationary action, suspension, and termination. Based on the review, MFA awards funding to entities that show successful capacity through adherence to federal regulations and MFA policies and procedures.

DFA CDBG Monitoring

All CDBG grantees are required to be monitored once a year for the life of the project; each CDBG project has two years to be completed. CDBG staff conducts both interim monitoring and close-out monitoring to ensure that all federal and state requirements are being followed. During 2015 DFA staff conducted 29 on-site monitoring reviews: 15 first annual, 6 interim, and 8 close-out visits.

DFA staff monitored grantees for compliance with the CDBG program requirements, conducting both desk and on site monitoring reviews. Desk and site reviews involve examining information and materials provided by grantees and reviewing progress alongside the project timeline. Staff use detailed monitoring checklists to determine if there are any concerns and/or findings that must be addressed by the grantee to ensure successful project completion. Staff review numerous processes such as environmental assessment, professional services and construction contracting, financial management and timely requests for payment. Compliance with federal requirements for Citizen Participation, Section 3, Minority Business Outreach, Fair Housing and Anti-displacement and Relocation, and Equal Employment Opportunity are insured. Quarterly progress reports signed by the grantee's local elected official provide an overall update on the project's year-to-date performance. On site reviews include a comprehensive monitoring of overall program administration, a review of the project files, and verification of work at the project site.

DFA staff provides five basic elements to assist in monitoring visits. The steps taken to conduct on site monitoring visits are:

1. Grantees are notified in writing the purpose of the visit, when the planned visit will happen, what time the visit will happen and what areas will be covered during the visit.
2. An entrance conference is usually scheduled with the chief elected official (of the grantee) and DFA to provide a clear understanding of the purpose of the monitoring.
3. All necessary documentation is reviewed using CDBG monitoring checklists (provided to grantees at implementation) in order to gather information to be included in the monitoring letter.
4. An exit conference is held at the end of the monitoring visit to discuss the results of the monitoring. Grantees are given 10 days to provide DFA with documentation that may not have been provided during the monitoring visit.
5. Within 45 days, DFA provides grantees with a monitoring letter of what was found during the review. Occasionally, monitoring will result in determining that certain activities or absence of certain activities will raise an issue of concern or violate program or statutory requirements for which a finding or concern is issued. A concern is defined as a deficiency in program performance not based on statutory, regulatory or other program requirements. A finding is defined as a deficiency in program performance based on statutory, regulatory or program requirements for which corrective actions are required.

Depending on the results of the monitoring, DFA takes appropriate actions when performance problems arise. There are three stages of intervention that DFA conducts depending on the seriousness of the problem.

1. Low-level intervention, DFA may implement all or some of the following items: identify problem areas, which may require corrective actions, plan a strategy with grantees that include technical assistance or training, or require more frequent monitoring.
2. Moderate-level intervention, DFA may implement all or some of the following items: restrict payment, disallow certain expenses or require repayment of funding, or require probationary status.
3. High-level intervention, DFA may implement all or some of the following items: temporarily suspend the grantee from participating in the CDBG program, request the community hire a grants administrator, terminate grantee for the current program year, or require legal action.

In order to ensure projects are completed according to all CDBG and NSP requirements, any findings and concerns identified during monitoring must be cleared by DFA in order for grantee to apply for funds in the future.

Attachment G: Additional ESG Subrecipients

Subrecipient or Contractor Name: Barrett Foundation, Inc.

City: Albuquerque

State: NM

Zip Code: 87110-5359

DUNS Number: 612415927

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 50851

Subrecipient or Contractor Name: DreamTree Project, Inc.

City: Taos

State: NM

Zip Code: 87571-5022

DUNS Number: 004827353

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 18500

Attachment H: HOPWA CAPER 2015



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER**) Measuring Performance Outcomes**

Revised 1/22/15

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide* for additional reference.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA)

Consolidated Annual Performance and Evaluation Report (CAPER)

Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number NMH13F999		Operating Year for this report From (mm/dd/yy) 01/01/2015 To (mm/dd/yy) 12/31/2015		
Grantee Name New Mexico Mortgage Finance Authority				
Business Address		344 Fourth Street SW		
City, County, State, Zip	Albuquerque	Bernalillo County	NM	87102
Employer Identification Number (EIN) or Tax Identification Number (TIN)	85-0252748			
DUN & Bradstreet Number (DUNs):	08-321-4742	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, provide CCR Number:		
Congressional District of Grantee's Business Address	NM1			
*Congressional District of Primary Service Area(s)	NM1 NM2 NM3			
*City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: Albuquerque, Santa Fe, Las Cruces, Roswell, Farmington		Counties: Bernalillo, Santa Fe, Doña Ana, Chaves, San Juan	
Organization's Website Address www.housingnm.org	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.			

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name New Mexico AIDS Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Lacey Nagar, Housing and Program Manager		
Email Address	LNagar@nmas.net		
Business Address	625 Truman St. NE		
City, County, State, Zip,	Albuquerque, Bernalillo County, NM 87110		
Phone Number (with area code)	505-938-7125		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	85-0335085	Fax Number (with area code) 505-938-7101	
DUN & Bradstreet Number (DUNs):	18218452		
Congressional District of Project Sponsor's Business Address	NM1		
Congressional District(s) of Primary Service Area(s)	NM1		
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: Albuquerque, Gallup, Farmington, Rio Rancho, Los Lunas	Counties: Bernalillo, McKinley, San Juan, Sandoval, Valencia	
Total HOPWA contract amount for this Organization for the operating year	\$336,437.62		
Organization's Website Address	www.nmas.net		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name Southwest CARE Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Eric Garrison, Director of Finance, Planning, and Analysis		
Email Address	egarrison@southwestcare.org		
Business Address	810 W San Mateo Road, Suite 202		
City, County, State, Zip,	Santa Fe, Santa Fe County, NM 87505		
Phone Number (with area code)	505-989-8200	505-216-0327	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	85-0397444	Fax Number (with area code) 505-216-1391	
DUN & Bradstreet Number (DUNs):	927493556		
Congressional District of Project Sponsor's Business Address	NM3		
Congressional District(s) of Primary Service Area(s)	NM2 and NM3		
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: Santa Fe, Española, Taos, Las Vegas, Los Alamos, Las Cruces, Roswell	Counties: Santa Fe, Rio Arriba, Taos, San Miguel, Los Alamos, Doña Ana, Chaves	
Total HOPWA contract amount for this Organization for the operating year	\$264,134.73		
Organization's Website Address	www.SouthwestCARE.org		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	N/A			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:			Counties:
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	N/A		Parent Company Name, if applicable	
Name <u>and</u> Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (include area code)			Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) <u>and</u> County(ies) of Primary Service Area	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The State of New Mexico HOPWA grant is a formula grant program based on a renewable funding source pursuant to the number of AIDS diagnoses, as reported to the Center for Disease Control (CDC), by the State of New Mexico. There are two (2) HOPWA formula grants: (1) Balance of State and (2) City of Albuquerque.

Since 1997, MFA has been administering the HOPWA program statewide. Beginning in fiscal year 2011, the City of Albuquerque reported enough HIV/AIDS cases to qualify for their own, separate HOPWA entitlement grant from HUD. MFA has been administering the City of Albuquerque grant since 2011.

MFA supports HUD's primary goals for the HOPWA program including housing stability, reduce the risk of homelessness and increased access to HIV treatment and other healthcare. New Mexico HOPWA Project Sponsors provide the following HOPWA activities:

- Tenant Based Rental Assistance (TBRA)
- Short Term Rental Mortgage Utility Assistance (STRMU)
- Permanent Housing Placement (PHP)
- Supportive Services
 - Case management
 - Health and medical services, as approved
- Administrative expenses
 - 3% for the Grantee (MFA)
 - 7% for Project Sponsors

In 2015, MFA exercised a contract renewal option from the 2014 request for proposal (RFP) to renew the two HOPWA project sponsors. New Mexico AIDS Services (NMAAS) and Southwest CARE Center (SCC) were awarded HOPWA funding. New Mexico AIDS Services provides HOPWA assistance to the Albuquerque Metropolitan area, Farmington and parts of rural areas in northwest New Mexico. Southwest CARE Center provides HOPWA assistance primarily in Santa Fe, northern New Mexico, Roswell and Las Cruces.

The success of New Mexico's HOPWA program is contributed to the hard work and commitment of the program managers at New Mexico AIDS Services and Southwest CARE Center. HOPWA program contacts: Lacey Nagar, Housing and Program Manager, New Mexico AIDS Services, LNagar@nmas.net or 505-938-7125
Eric Garrison, Director of Finance, Planning, and Analysis, Southwest CARE Center, egarrison@southwestcare.org or 505-989-8200.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

During the calendar year 2015, MFA and our project sponsors served a total of 311 individuals which consists of 230 HOPWA eligible clients and 81 beneficiaries. Overall, we maintained 95% housing stability for HOPWA clients.

The primary accomplishment for the New Mexico HOPWA program is the significant increase in housing stability by placing more HOPWA clients and their families on TBRA assistance. New Mexico AIDS Services transitioned a few additional TBRA clients. Southwest CARE Center began transitioning TBRA clients in the Santa Fe area. Over the past couple of years, New Mexico project sponsors have significantly increased housing stability.

The primary challenge to providing HOPWA funds statewide is finding quality affordable housing. Rural areas are particularly affected by this challenge where the Fair Market Rents are too low for the market rate rents in the area. Detail on these challenges are outlined in the Barriers and Trends Overview Section, on the following page.

In the State of New Mexico Consolidated Plan for 2011-2015, MFA identifies a goal to expand housing opportunities and access for special needs populations including persons with HIV/AIDS. MFA met this goal by providing HOPWA funding to two non-profit organizations to administer housing and related services to persons with HIV/AIDS. These agencies work throughout the state to house HOPWA eligible clients and stabilize their housing situation.

HOPWA funds were distributed throughout New Mexico. Housing assistance comprises 86% of HOPWA funds expended which include TBRA, STRMU and Permanent Housing Placement activities. Supportive services, primarily case management, comprised of 5% of HOPWA funds expended. The remaining funds were used for administrative expenses which consists 9% of total expenditures (2% for MFA and 7% for program sponsors).

In 2015, New Mexico AIDS Services (NMAS) assisted 90 clients with HOPWA. NMAS transitioned most of its clients to HOPWA TBRA funding since there were many households seeking HOPWA assistance more than once a year. NMAS faces several challenges in housing clients. One of the most common barriers is finding affordable housing. NMAS works diligently with landlords in order to provide access to affordable housing to as many clients as possible.

In 2015, Southwest CARE Center (SCC) assisted 140 clients with HOPWA. SCC provides the most clients with STRMU and PHP which has been able to serve clients on an emergency basis to keep clients in their homes and prevent eviction. In 2016, SCC plans to start enrolling more clients onto TBRA to provide long term stability. SCC's top challenges are finding appropriate housing that is affordable and meets Fair Market Rent (FMR). In addition, Housing Choice Voucher programs have long waitlist, up to three years. Some rural parts of New Mexico do not have any vouchers available at all. HMIS data entry is also challenging.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The project sponsors met the goals established in coordination with MFA. In 2015, both agencies maintained the number of clients receiving TBRA to stabilize housing for many clients. When a client is on TBRA, they meet with their case managers are able to provide the necessary resources and support to assist the client with maintaining stable housing which improves health outcomes, as well.

NMAS's current rate of homelessness is less than 1%. In 2012, NMAS evaluated the HOPWA program and set a goal to provide more intensive case management to clients. Beginning in 2013, NMAS restructured the budget in order to allow for more persons to be on TBRA through the HOPWA program. NMAS significantly increased the number of clients receiving TBRA assistance. In 2015, the majority of HOPWA funds were spent on TBRA which enables clients to maintain safe and stable housing. NMAS case managers work with other agency case workers to provide more support to clients regarding housing, medical needs, and other basic needs. This allows NMAS to provide the necessary resources to assist the

client in continuing to have stable housing and improved health. NMAS found that the majority of clients who received intensive case management improved on their budgeting skills which allowed them to pay their rent and utilities on time which in turn, helped them to maintain stable housing. Case managers also work closely with clients to help them improve their other basic needs, including, affording utilities, transportation, food and others.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

NMAS' primary goal is to ensure all emergency housing needs are met. If HOPWA funds are not appropriate for a client's specific need, NMAS is able to provide assistance through the City of Albuquerque's Transitional Housing Program. NMAS case managers also provide referrals to various community housing resources, as needed. NMAS' Housing and Program Manager also attends a monthly meeting put on by the New Mexico Coalition to End Homelessness. This allows for networking and sharing of information between NMAS and other housing agencies.

SCC coordinates funds with the housing programs provided by The Housing Trust for the Shelter Plus Care program and the New Mexico Department of Health emergency housing funds. This prevents duplication of services and allows more clients to be served.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

NMAS has not had any ongoing issues with technical assistance. Nicole Sanchez, MFA program manager and Ben Ofama, HMIS program manager have been incredibly helpful when issues arise.

SCC – HMIS data entry training covers the main points. However, it isn't comprehensive enough to the HOPWA program. There needs to be some follow up training that addresses the issues that may come up after the initial training. For example, HMIS entry is entirely different for those in homeless shelters vs the HOPWA program which deals with actual housing assistance. The HMIS system is meant to be for all housing programs in the US but is very generic and does not cover the nuances of a specific program like HOPWA.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Housing Affordability/Rent Determination / Fair Market Rents

There are several parts of the state including Farmington, Gallup and Roswell, where project sponsors have difficulty finding units that meet the HOPWA TBRA unit requirements such as, Fair Market Rent, Housing Quality Standards and Rent Reasonableness. There are several areas in the state where market rents are higher than the FMR standard. In turn, the HOPWA funds in these areas are primarily used for emergency assistance rather than contributing to long-term housing stability. MFA has the authority to grant FMR exceptions to allow for rental assistance 10% higher than the FMR amount for up to 20% of the units assisted. While this exception is helpful, it does not solve the low FMR issue in rural areas.

NMAS works diligently to form relationships with landlords in those areas in order to access the most affordable, safe and stable housing.

Credit History / Rental History / Criminal History

Many NMAS clients have been evicted for non-payment of rent, owe money to creditors, have a poor credit history, or have these things in combination with a criminal history. This further limits the rental properties available to this population. NMAS continues to work with clients on budgeting in order to minimize this issue. NMAS also works with landlords to better understand what can be done to get clients approved into a new unit or to keep a client in their existing home. NMAS has developed relationships with several landlords who are willing to be more lenient on these issues.

Geographical / Rural Access

New Mexico is a rural state with few housing service providers in rural areas. There are very few housing opportunities, especially for those clients who are living on a reservation.

Reporting Requirements

Project sponsors encounter barriers with the reporting requirements for the HOPWA program.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input checked="" type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

NMAS reports their clients have difficulty finding employment. Many NMAS clients have been on disability for many years and look into part time employment for a little extra income to afford rent and other necessary items. There is a lack of jobs available in the community in general and especially for those with special needs. Many clients are also lacking education and training which would allow them to find gainful employment. NMAS refers many clients to organizations such as Goodwill and DVR that help to train and find employment for individuals with work limitations.

Since Southwest CARE Center is a comprehensive center of care for HIV+ persons, the clients are in close contact with their case manager who participates in a multi-disciplinary team that problem solves the client's individual needs and concerns.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The HOPWA CAPER is available to the public for review.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or

changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	127
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	65
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	62
• Assistance with rental costs	26
• Assistance with mortgage payments	12
• Assistance with utility costs.	24
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	0

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
X	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$5,500	Emergency Housing Utilities	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City of Albuquerque	\$27,510	Emergency, Transitional Housing	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	\$33,010		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	76	76	0	0	\$314,065.41	\$314,065.41
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	0	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	0	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	150	150	0	0	\$190,773.02	\$190,773.02
5.	Permanent Housing Placement Services	20	20	0	0	\$22,424.84	\$22,424.84
6.	Adjustments for duplication (subtract)	16	16	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	230	230	0	0	\$527,263.27	\$527,263.27
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	0	0
9.	Stewardship Units subject to 3 or 10 year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 8 & 9)	0	0	0	0	0	0
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	94	94			\$31,646.67	\$31,646.67
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0				
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	94	94			\$31,646.67	\$31,646.67
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	0	0			0	0
15.	Total Housing Information Services	0	0			0	0

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$11,551.13	\$11,551.13
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$41,662.41	\$41,662.41
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)	0	0	0	0	\$53,213.54	\$53,213.54
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$612,123.48	\$612,123.48

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	90	\$29,364.94
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	4	\$2,281.73
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	94	
16.	Adjustment for Duplication (subtract)	0	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	94	\$31,646.67

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	150	\$190,773.02
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	9	\$8,962.75
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	5	\$6,414.26
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	74	\$122,307.38
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	27	\$23,464.75
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	35	\$29,623.88
g.	Direct program delivery costs (e.g., program operations staff time)		

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	76	67	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	1	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	3	
			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/Unknown	3	
			9 Death	2	Life Event
Permanent Supportive Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	0	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/Unknown	0	
			9 Death	0	Life Event

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing	0	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	0	

			6 Institution	0	
			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/unknown	0	
			9 Death	0	Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months			0		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
150	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	123	Stable/Permanent Housing (PH)
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)	12	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	11	
	Institution (e.g. residential and long-term care)	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	Temporarily Stable, with Reduced Risk of Homelessness
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	0	
	Temporary/Non-Permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	2	
	Emergency Shelter/street	2	Unstable Arrangements
	Jail/Prison	0	
	Disconnected	0	

	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			55
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			41

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	246
b. Case Management	90
c. Adjustment for duplication (subtraction)	106
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	230
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	224	n/a	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	230	n/a	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	230	n/a	Access to Health Care
4. Accessed and maintained medical insurance/assistance	230	n/a	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	192	n/a	Sources of Income

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children's Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran's Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran's Disability Payment • Retirement Income from Social Security • Worker's Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	32	n/a

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	71	0	3	2
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance	71	0	3	2
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	147	2	2	0
Total HOPWA Housing Subsidy Assistance	218	2	5	2

Background on HOPWA Housing Stability Codes Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	230

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	67
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	5
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	17
4.	Transitional housing for homeless persons	0
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	22
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	2
9.	Hospital (non-psychiatric facility)	1
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	76
13.	House you own	29
14.	Staying or living in someone else's (family and friends) room, apartment, or house	30
15.	Hotel or motel paid for without emergency shelter voucher	3
16.	Other	0
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	230

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	2	4

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	230
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	75
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	6
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	311

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	14	4	0	0	18
3.	31 to 50 years	73	30	1	0	104
4.	51 years and Older	93	15	0	0	108
5.	Subtotal (Sum of Rows 1-4)	180	49	1	0	230
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	23	18	0	0	41
7.	18 to 30 years	11	5	0	0	16
8.	31 to 50 years	11	1	0	0	12
9.	51 years and Older	4	8	0	0	12
10.	Subtotal (Sum of Rows 6-9)	49	32	0	0	81
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	229	81	1	0	311

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	14	3	8	0
2.	Asian	0	0	0	0
3.	Black/African American	11	1	9	1
4.	Native Hawaiian/Other Pacific Islander	2	0	1	0
5.	White	197	109	63	49
6.	American Indian/Alaskan Native & White	4	1	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	1	0	0	0
9.	American Indian/Alaskan Native & Black/African American	1	0	0	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	230	114	81	50
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	165
2.	31-50% of area median income (very low)	41
3.	51-80% of area median income (low)	24
4.	Total (Sum of Rows 1-3)	230

Part 7: Summary Overview of Grant Activities**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

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2. Capital Development**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- ☐ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a.	Single room occupancy dwelling						
b.	Community residence						
c.	Project-based rental assistance units or leased units						
d.	Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		