

Consolidated Annual Performance and Evaluation Report 2015

Including the

HOME Investment Partnership Program

Emergency Solutions Grant

Housing Opportunities for Persons with AIDS and

Community Development Block Grant

Federal FY 2015

January 1, 2015 – December 31, 2015

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State Certifications

2015 ESG eCart submission

NOTE: this document was originally a download from the eCon Planning Suite in IDIS. All sections in purple are direct downloads.

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)
This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The Consolidated Annual Performance and Evaluation Report (CAPER) is submitted in response to HUD's request for summaries of performance for the past year of the four formula grants, Community Development Block Grants (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons With AIDS (HOPWA), against the goals set out in the strategic plan portion of the Consolidated Plan and the 2015 Action Plan. The objectives of the Action Plan include providing decent affordable housing through financing rental new construction and acquisition/rehabilitation; direct financing assistance to eligible homebuyers and development of special needs housing and services for people experiencing homelessness and persons living with HIV/AIDS. The 2015 Action Plan goals also include providing funding for infrastructure and public facilities. The highest needs across the state established in the Consolidated Plan and the 2015 Action Plan were for affordable rentals, owner-occupied rehabilitation and improvement of infrastructure, especially water-related infrastructure.

During 2015, the New Mexico Mortgage Finance Authority (MFA) disbursed HOME funds to nine separate multifamily developments across the state, with one rental completion (61 units total with 4 HOME units and 12 special needs units). The developments are under construction at various levels, but they accomplished both rental new construction and acquisition/rehabilitation goals and provide additional special needs units (605 total units with 30 HOME units and 56 special needs units).

HOME funding for owner-occupied rehabilitation was provided for 32 households, with a majority of that funding disbursed for homes on tribal lands. This is below the 2015 Action plan goal which will be adjusted to more accurately reflect the production that can be supported with the funds available.

MFA also disbursed HOME funds for down payment assistance to 14 first-time homebuyers as well as 33 for construction of new homes. This represents a total of 47 homebuyers, more than the stated goal for 2015. With non-HOME funds (MFA General Fund and MFA's "to be announced" program) MFA also provided down payment assistance for an additional 1,011 homebuyers, as well as grants to 302 homebuyers. Non-HOME first mortgage funding was provided for 1,448 homebuyers.

Serving the homeless and special needs populations by expansion of housing for targeted populations and increasing services through overnight shelter and homelessness prevention were

the focus of the Emergency Housing Assistance Program (EHAP), Continuum of Care match (CoC) and Rental Assistance Program (RAP) programs in 2015. MFA funded 47 agencies (includes EHAP, RAP and CoC agencies without duplicates) with a total of \$2.25 million using a combination of ESG and state homeless funding. Projected production goals for persons served with EHAP funding are shown as zero in this report. The projections for persons assisted with overnight shelter were based solely on data held within MFA's online reporting system and will be revised with the full implementation of the HMIS reporting system. Actual production for ESG will be finalized upon resolution by HUD HQ of the comparable database vs. Violence Against Women Act (VAWA) concerns. MFA is working diligently with HUD (HQ & local), New Mexico Coalition to End Homelessness (NMCEH) and the NM Coalition to End Domestic Violence to resolve issues and reporting requirements. Upon resolution, MFA will provide total productions numbers for ESG.

The 2015 goal for the HOPWA program encompassed providing funding for four housing units and housing operations funding to 965 households. Those goals were projections based on data from the five years prior to the 2015 Consolidated Plan/Action Plan 2015, which included data collected prior to the implementation of HMIS. With the implementation of HMIS for HOPWA, reporting of duplicated clients has been eliminated and the reporting more accurately reflect unduplicated clients. For 2015, a total of 230 HOPWA-eligible clients were assisted with HOPWA funding for tenant-based rental assistance (TBRA), short-term rent, mortgage and utilities (STRMU) and permanent housing placement (PHP). HOPWA funding was also used to provide supportive services. New Mexico AIDS Services will complete rehabilitation of four housing units, with HOPWA funds in 2016.

The strategic focus of the CDBG program for non-entitlement communities' is to "enhance the quality of New Mexico's community development activities by improving the quality of New Mexico's infrastructure." The Department of Finance and Administration (DFA) was successful in meeting this strategic goal by awarding funds to 21 qualified CDBG applications during the annual review period. The majority of the applications were for infrastructure projects, with additional funding for planning grants. The total awarded was \$8,692,184.00. (See **Attachment A: 2015 CDBG Applications Funded**).

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan (5 YEARS)	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Encourage the development of special needs housing	Non-homeless special needs	HOME: \$450,000	Housing for homeless added	Household housing unit	50	12	24.00%	10	12	120.00%
Enhance infrastructure, public facilities, housing	Non-housing community development	CDBG: \$8,682,164	Public facility or infrastructure activities for low/moderate income beneficiaries	Individuals assisted	240,000	29,939	12.47%	48,000	29,939	62.37%
Enhance infrastructure, public facilities, housing	Non-housing community development	CDBG: \$0	Jobs created/retained	Jobs	5	0	0.00%	1	0	0.00%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan (5 YEARS)	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Expand housing for special needs populations	Non-homeless special needs	HOME: \$570,875	Rental units constructed	Household housing unit	25	0	0.00%	5	0	0.00%
Expand housing for special needs populations	Non-homeless special needs	ESG: \$101,735	Tenant-based rental assistance / rapid re-housing	Households assisted	500	TBD	0.00%	100	TBD	0.00%
Finance multifamily rental acquisition and rehab	Affordable housing	HOME: \$1,487,000	Rental units rehabilitated	Household housing unit	50	4	8.00%	10	4	40.00%
Finance multifamily rental new construction	Affordable housing	HOME: \$2,057,875	Rental units constructed	Household housing unit	50	0	0.00%	10	0	0.00%
Fund services for persons living with HIV/AIDS	Non-homeless special needs	HOPWA: \$0	Housing for People with HIV/AIDS added	Household housing unit	20	0	0.00%	4	0	0.00%
Fund services for persons living with HIV/AIDS	Non-homeless special needs	HOPWA: \$612,123	HIV/AIDS housing operations	Household housing unit	1,000	230	23.00%	200	230	115.00%
Increase living environments for homeless persons	Homeless	ESG: \$0	Overnight/emergency shelter/transitional housing beds added	Beds	50	TBD	0.00%	10	TBD	0.00%

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan (5 YEARS)	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Increase services for people experiencing homelessness	Homeless	ESG: \$670,766	Homeless person overnight shelter	Persons assisted	32,500	TBD	0.00%	6,500	TBD	0.00%
Increase services for people experiencing homelessness	Homeless	ESG: \$70,227	Homelessness prevention	Persons assisted	2,400	TBD	0.00%	480	TBD	0.00%
Provide financial assistance to first-time homebuyer	Affordable housing	HOME: \$374,484	Homeowner housing added	Household housing unit	5	33	660.00%	1	33	3300.00%
Provide financial assistance to first-time homebuyer	Affordable housing	HOME: \$96,923	Direct financial assistance to homebuyers	Households assisted	20	14	70.00%	4	14	350.00%
Provide resources for owner-occupied rehab	Affordable housing	HOME: \$1,677,124	Homeowner housing rehabilitated	Household housing unit	200	32	16.00%	40	32	80.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Based upon information reported in the Consolidated Plan, the highest needs were affordable rentals for low- to moderate-income households, development of housing for the elderly and frail elderly, persons with disabilities, HIV/AIDS, chronic substance abuse, victims of domestic violence and providing services and housing for people experiencing homelessness. Rehabilitation of owner-occupied housing was highly ranked as a need, as was infrastructure, public facility and public service improvements.

The overall goal of each program is to provide safe, decent and affordable housing and community development to low- and very low-income New Mexicans. Details can be found in the statistics; Table 1. The programs are distributing funds and completing projects in a timely manner. Problems that may arise are dealt with swiftly. Programs are constantly re-evaluated to make sure they are run in the most efficient manner possible to serve the greatest number of New Mexicans with the most serious needs. All programs work with other entities (including citizens, local housing providers, units of local government and the private sector) to maximize accessibility.

The information transferred into Tables 1 and 2, reported as Table 1 in the CAPER, were drawn from goals set during the Consolidated Plan/Action Plan process. Comparing those estimates made 1-2 years ago, MFA wishes to update the goals to more closely reflect the production capacity based on funding available. Therefore, the data in Table 1 has been updated as follows: (please see Attachment 1 to the 2015 CAPER in IDIS section entitled Table 1 Update)

- **Encourage the development of special needs housing – housing for homeless added:** The actual program year number of 12 reflects the special needs set-aside number for the HOME rental project that completed in 2015. That project had 61 total units which include a special needs set-aside for 12 units and 4 HOME units. Some of the HOME units could also serve special needs.
- **Enhance homeowner new construction – Direct financial assistance to homebuyers:** This row was deleted in its entirety, as the activity was duplicated with “Provide financial assistance to first-time homebuyer- Direct financial assistance to homebuyers” further down in the listing of goals.
- **Expand housing for special needs populations – Tenant-based rental assistance/rapid re-housing:** The title of the indicator does not accurately describe the rental assistance being provided, but there is no other selection that would work. The goal needs to be updated from 925 for five years to 500 for five years to more accurately reflect the numbers of households currently assisted with ESG rental assistance.

- **Finance multifamily rental new construction - rental units constructed:** The actual number of HOME units constructed and completed in 2015 was zero. We do expect future production in that activity that will enable to meet the 5 year goal of 50.
- **Fund services for persons living with HIV/AIDS – HIV/AIDS housing operations:** 5 year estimates were made based upon data provided before conversion to HMIS. We have changed the 4825 to 1000 for five years, with the projected one year goal of 200. The 2015 actual number is 230.
- **Increase living environments for homeless persons – Overnight/emergency shelter/transitional housing beds added:** The goal has been increased to 50 new beds added to reflect the potential for new participants. One new agency was added in the 2015-2016 program year; *The Interfaith Community Shelter*, with 19 beds. The report indicates “TBD” until after we receive all of the eCart data.
- **Increase services for people experiencing homelessness – homeless person overnight shelter:** The new five year goal (32,500) more accurately reflects current production, based on data from the HMIS system. The report indicates “TBD” until after we receive all of the eCart data.
- **Increase services for people experiencing homelessness – homelessness prevention:** The goal has been reduced to more accurately reflect the production as measured by HMIS. The five year goal is 2400, with the one year goal of 480 persons served with rental assistance type of funding. The report indicates “TBD” until after we receive all of the eCart data.
- **Provide financial assistance to first-time homebuyer – direct financial assistance to homebuyers:** We are adjusting the goal downward as the original goal was based on past production with an active down payment assistance program (DPA). The HOME DPA program is currently not being funded. We are evaluating the feasibility of the program and may activate it in the future. The five-year goal was changed to 20 which will more accurately reflect future production.
- **Provide resources for owner-occupied rehab – household housing unit:** We have decreased the goal to 200, with 40 units for the one year goal, to more accurately reflect the production supported by the fund available.

CDBG: DFA’s objectives in the distribution of housing and community development resources are to create suitable living environments and to create economic opportunities within the state. The highest priorities for the CDBG program translated into three primary goals: (1) Fund improvements to CDBG non-entitlement area water/wastewater systems and streets, (2) Fund infrastructure improvements in designated colonias areas and (3) Encourage planning that includes the entities’ comprehensive plan, asset management, preliminary engineering reports and any other studies. CDBG goals and objectives of both the strategic and action plan were specifically met:

- Twelve of the 17 applications awarded were for projects that would improve water/wastewater systems or improve street and drainage for a total of \$5,999,772

- Colonias area projects were awarded for water/wastewater systems improvement and public facilities construction for a total of \$1,500,000
- Four planning grants were awarded for a total of \$200,000

ESG: Using federal resources, MFA assists the greatest number of people experiencing homelessness through ESG. ESG assistance includes operating and supportive service costs for emergency shelter facilities, rental assistance, housing relocation and stabilization services for homeless persons and those at imminent risk of homelessness that need to be re-housed.

HOME: The largest dollar amount of HOME funding addresses one of the priority needs, rehabilitation of existing homes. The majority of units completed are outside of the Albuquerque area, with a large number being rehabilitated on tribal lands. The goals have been adjusted to more accurately reflect the production supported with available funds. We anticipate meeting the 5-year goal. HOME funding was leveraged with Low Income Housing Tax Credit (LIHTC) for acquisition and rehabilitation or for new construction of affordable multifamily rental housing.

HOPWA: HOPWA funding was used primarily to address the continuing need for rental assistance for clients diagnosed with HIV/AIDS. Additionally, HOPWA was used to provide supportive services for clients.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	HOPWA	ESG
White	19,943	129	197	TBD
Black or African American	384	1	11	TBD
Asian	89	0	0	TBD
American Indian or American Native	2,214	42	18	TBD
Native Hawaiian or Other Pacific Islander	7,309	19	4	TBD
Total	29,939	191	230	0
Hispanic	19,364	116	114	TBD
Not Hispanic	10,575	75	116	TBD

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The numbers reported above in the "Native Hawaiian or Other Pacific Islander" category are actually the numbers for "Other Multi-Racial" collected by both MFA and DFA. CDBG data is not collected in the Integrated Disbursement and Information System (IDIS) in the form of families/households, so the information for CDBG above is for beneficiaries or individuals.

ESG production information is not provided in this CAPER. The eCart information provided is for those entities that do not specifically provide services for victims of domestic violence. Until the comparable database issue is resolved and we receive direction from HUD, that portion of the data will not be reported via eCart.

HOPWA data is extracted from HMIS and reports from the subgrantees.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	Public-federal	\$10,203,340	\$6,337,462.93
HOME	Public-federal	\$5,740,296	\$4,689,891
ESG	Public-federal	\$2,554,684	\$1,002,783
HOPWA	Public-federal	\$638,671	\$612,123

Table 3 – Resources Made Available

Narrative

CDBG funding is the total amount allocated to the state of New Mexico for FY 2015. HOME funding includes the 2015 allocation, program income and carryover. ESG funding includes the 2015 allocation and funding allocated by the New Mexico State Legislature for providing homeless services. HOPWA funding includes the 2015 allocation and prior year carryover.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Colonias neighborhood	10	17.66	Colonias area projects were awarded a total of \$1,500,000

Table 4 – Identify the geographic distribution and location of investments

Narrative

A total of \$1.5 million in CDBG funding was awarded to colonias for water/wastewater systems improvement and public facilities construction. The awards for CDBG were made statewide for nonentitlement areas outside the jurisdictions of Albuquerque, Farmington, Las Cruces, Rio Rancho and Santa Fe. By Planning Districts, awards for 2015. Community Infrastructure Projects: 1 - \$993,000; 2 - \$2,500,000; 3 - \$500,000; 4 - \$993,000; 5 - \$999,772; 6 - \$999,412; 7 - \$1,000,000. Planning Grants in Districts 3 and 7 - \$200,000. A total of \$8,492,184 was awarded.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction were used to address the needs identified in the plan.

All HOME matching requirements were met with cash or donated materials and labor. We currently have \$8,098,905 in excess match available. New Mexico has qualified for match reductions for many years, specifically 100 percent in 2013, 50 percent in 2014 and 100 percent in 2015. For a state to qualify under the personal income growth rate criterion, the state's rate must be less than 75 percent of the average national personal income growth rate during the most recent four quarters.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	7,466,045
2. Match contributed during current Federal fiscal year	632,860
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	8,098,905
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	8,098,905

Table 5 – Fiscal Year Summary - HOME Match Report

All CDBG infrastructure projects funded by DFA require a minimum cash match by the respective county or municipality. For Rural projects the cash match requirement is 5% of the CDBG grant award. For projects in Non-rural areas, the cash match requirement is 10% of the CDBG grant award. The definition of “Rural” is a county with a population of less than 25,000 and an incorporated municipality with a population of less than 3,000. “Non-rural” means a county or an incorporated municipality that does not meet the definition of rural. The 2015 CDBG total cash match was \$599,230.00.

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
100128	03/03/2015	24,722	0	0	0	0	0	24,722
100863	12/02/2014	22,774	0	0	0	0	0	22,774
100948	10/29/2014	26,921	0	0	0	0	0	26,921
100981	11/21/2014	36,932	0	0	0	0	0	36,932
101791	03/11/2015	20,662	0	0	0	0	0	20,662
8660	11/18/2014	69,802	0	0	0	0	0	69,802
8672	12/30/2014	14,999	0	0	0	0	0	14,999
8674	12/30/2014	14,999	0	0	0	0	0	14,999
8710	02/25/2015	88,183	0	0	0	0	0	88,183
8714	03/04/2015	57,895	0	0	0	0	0	57,895
8717	04/01/2015	83,274	0	0	0	0	0	83,274
8727	05/13/2015	14,999	0	0	0	0	0	14,999
8728	05/13/2015	14,999	0	0	0	0	0	14,999
8729	05/13/2015	14,999	0	0	0	0	0	14,999
8730	05/27/2015	14,999	0	0	0	0	0	14,999
8734	06/17/2015	14,999	0	0	0	0	0	14,999
8735	06/17/2015	14,999	0	0	0	0	0	14,999
8743	09/16/2015	50,000	0	0	0	16,704	0	66,704
8744	09/16/2015	14,999	0	0	0	0	0	14,999

Table 6 – Match Contribution for the Federal Fiscal Year

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$59,132.10	\$1,310,040.25	\$1,306,644.95	0.00	\$62,527.40

Table 7 – Program Income

HOME MBE/WBE report

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Number						
Dollar Amount						
Number	25	1	0	0	3	21
Dollar Amount	\$3,242,175.36	\$4,350.00	0.00	0.00	\$950,281.45	\$2,287,543.91
	Total	Women Business Enterprises	Male			
Contracts						
Number						
Dollar Amount						
Sub-Contracts						
Number	25	2	23			
Dollar Amount	\$3,242,175.36	\$161,625	\$3,080,550.36			

Table 8 – Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0					
Dollar Amount	0					

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
		Number		Cost		
Parcels Acquired		1		2,447,590		
Businesses Displaced		0				
Nonprofit Organizations Displaced		0				
Households Temporarily Relocated, not Displaced		55		730,667		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0					
Cost	0					

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Update	Actual
Number of homeless households to be provided affordable housing units	364	4	4
Number of non-homeless households to be provided affordable housing units	365	80	113
Number of special-needs households to be provided affordable housing units	2051	30	34
Total	2780	114	151

Table 11 – Number of Households UPDATED

	One-Year Goal	Update	Actual
Number of households supported through rental assistance	239	0	108
Number of households supported through the production of new units	3	5	33
Number of households supported through the rehab of existing units	80	40	36
Number of households supported through the acquisition of existing units	0	9	14
Total	322	54	191

Table 12 – Number of Households Supported UPDATED

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The numbers in the **“One-Year Goal”** in Tables 11 and 12 were based on five-year estimates for ESG, HOPWA and HOME made at the time the 2015 Consolidated Plan/Action Plan was drafted and submitted. Advice from HUD’s TA contractor showed that these tables should actually reflect only HOME goals. Accordingly, the numbers in the **“One-Year Goal”** column were revised as shown in the column titled **“Update”** in Table 11 and Table 12 above. See UPDATE TABLES in Attachment 1 to this CAPER.

The updated numbers for Table 11 are based on the actual production for 2015. Rental projects applying for LIHTC get more points if they agree to a “special needs” set aside. The definition of a “households with special needs” from the QAP includes households/individuals who are homeless, but includes other households in need of supportive services, tenancy supports, and housing and has a substantial, long term disability. Since we could not split the homeless households from the special-needs households, we used the actual number of 4 HOME units completed for the updated special needs goal.

Non-homeless households to be provided affordable housing units updated goal is based on projected households to produced, including new down payment assistance projected, rental acquisition/rehab, rental new construction and owner occupied rehab numbers. That updated goal is 80.

The updated goal for special needs is based on the actual number of “special needs” units, as discussed above, that we project to complete in the upcoming year. That updated goal is 30.

Using updated goal numbers, MFA met the goals set for Table 12 with the exception of the rehab goal which we anticipate achieving in aggregate. The updated one-year goal for rental assistance was set at zero because no funding was allocated to that activity. The households reported as actual (108) were RAP (Rental Assistance Program) households reported as completed in 2015. Completions are not processed into IDIS until they are submitted by the subgrantee with all required documentation.

The updated goal for the production of new units is based on the potential for new single family development activities. The 33 units reported in actual were single family development homes reported as completed in 2015.

The updated goal for the rehab of existing units was based on actual production in the last two years with much less HOME funding than was available before. The 36 households reported as actual are the 32 owner-occupied rehab completions in 2015 plus the 4 HOME rental units completed in 2015 in an acquisition/rehabilitation project.

Discuss how these outcomes will impact future annual action plans.

MFA plans to continue with goals as updated. In 2016 MFA will be evaluating new single family development activity and potentially reinstating HOME-funded down payment assistance. Additionally, while MFA is aware of the National Housing Trust Fund, we are prohibited from including that funding source in our future action plans until allocations are actually made and finalized.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	2,000	121
Low-income	152	53
Moderate-income	152	17
Total	2,304	191

Table 13 – Number of Persons Served

Narrative Information

CDBG numbers of persons served by income was taken from IDIS PR28 state CDBG Program Activity Summary Report for Program Year 2015. The relatively low number of persons served in the CDBG program is explained by reporting limitations in IDIS. At this time, only projects with one type of National Objective (LMC) will allow the data to be aggregated into income categories of extremely low-income, low-income, and moderate income.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The New Mexico Coalition to End Homelessness (NMCEH) launched a statewide coordinated assessment project in June 2014 and has assessed over 2,000 homeless people so far. The assessments are based on the nationally recognized VI/SPDAT assessment tool which helps to determine each homeless person's vulnerabilities, relative need for housing and the type of housing that would be most appropriate. The assessments are done at Continuum of Care (CoC) and ESG-funded agencies and at several outreach sites. In 2016 NMCEH will be expanding the number of outreach sites to include coverage in rural areas.

Addressing the emergency shelter and transitional housing needs of homeless persons

New Mexico's Balance of state Continuum of Care region has a system of shelters and transitional housing in the larger communities in the state. In recent years several of the transitional housing projects have converted to rapid re-housing projects to more efficiently meet the needs of homeless people transitioning out of homelessness.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education or youth needs.

The state of New Mexico Human Services Department has discharge planning policies in place for people exiting mental health facilities, health care facilities and foster care. In New Mexico there is also a network of providers who administer the RAP, partially funded with ESG and legislative appropriations. It provides short term rental assistance for homeless people and those at risk of homelessness. RAP is administered centrally by MFA.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units and preventing individuals and families who were recently homeless from becoming homeless again.

The New Mexico Coalition to End Homelessness is participating in the Zero: 2016 initiative to end veteran homelessness and chronic homelessness by the end of 2016. To reach these goals the Coalition has created lists of homeless veterans and chronically homeless people in New Mexico within the HMIS database using coordinated assessment to provide each homeless person with a housing needs assessment. The system has worked quite well for locating, assessing and housing homeless veterans, most of who are now housed. New homeless veterans can usually be housed now in a matter of a few weeks. The Coalition is working to use the same system to locate and house all of the chronically homeless people. We are also using coordinated assessment to help place families and youth in appropriate housing.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

There are a total of 36 Public Housing Authorities (PHAs) in New Mexico, 30 of which are located outside of metropolitan areas and within the jurisdiction of the Consolidated Plan. However, neither DFA nor MFA operate public housing. We do not have jurisdiction over them and do not directly plan resident initiatives. Efforts to collaborate more extensively with PHAs are underway through the state's three Regional Housing Authorities (RHAs). The Regional Housing Act has given MFA oversight responsibilities of the RHA's.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

DFA and MFA do not operate public housing. We do not have jurisdiction over them and do not directly plan resident initiatives.

Actions taken to provide assistance to troubled PHAs

In 2009, MFA was mandated by the state legislature to restructure and to begin providing oversight for the state's RHAs. In addition to providing oversight, MFA provides critical technical assistance to enhance the organizational and financial capacity of RHAs. This assistance helps the RHAs to preserve low income housing and to keep federal housing dollars in the rural communities where it is desperately needed. In 2015, MFA provided training and technical assistance for consolidations and/or transfers of the Taos County PHA, Cimarron PHA and Grants PHA to the Northern Regional Housing Authority, as well as the Lovington PHA to the Eastern Regional Housing Authority.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

DFA recognizes that the community development needs far exceed the available resources to address those needs. DFA actively participates in conferences and workshops sponsored and attended by other federal and state agencies and affiliates organizations who also have funding for colonias, infrastructure and economic development projects. This cross-agency collaboration informs and educates all involved and can be of great benefit to our municipalities. By leveraging multiple funding streams, New Mexico's local government areas can plan and successfully complete large infrastructure projects.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

MFA continues to set aside a percentage of the state's formula HOME allocation for eligible lead-based paint assessment and remediation activities. In 2015, MFA paid \$11,647.71 toward those activities. These additional funds for lead hazard remediation and abatement have encouraged additional businesses and organizations to obtain appropriate training in order to provide remediation services. Additionally, MFA continues to train its partners throughout the state in the Lead-Safe Housing Rule. MFA encourages sub-grantees and professionals statewide to receive formal training and any applicable lead-based paint certifications in an effort to improve the efficiency of the rehabilitation services delivery system.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Having safe and affordable housing is the foundation for reducing poverty. Reducing housing costs gives families more money to spend on necessities like food and medicine as well as providing the security and stability needed to achieve economic wellbeing. Overall, it is an improvement in the quality of life. As outlined in the Consolidated Plan, MFA has allocated the resources below to try to reach those families living below the poverty line:

1. Funding for first-time homebuyers
2. Pre- and post-purchase housing counseling
3. Housing Choice Vouchers (provided by PHAs) utilized for homeownership
4. Down payment and closing cost assistance
5. NM EnergySmart Program (Weatherization) serving households with income below 200 percent of poverty
6. Priority points in the LIHTC Qualified Allocation Plan (QAP) for supportive services for households with income below 30 percent of Area Median Income (AMI)
7. Collaborative work with other agencies whose goals and objectives support New Mexicans living below the poverty line, such as the New Mexico Coalition to End Homelessness and the Behavioral Health Collaborative.
8. Rehabilitation of owner occupied homes.

9. Development of affordable rental units.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

MFA is the administering agency for HOME, HOPWA and ESG programs. MFA provides training and technical assistance (T&TA), makes funding available through a Request for Proposal process and manages IDIS for those programs. MFA allocates general funds to provide T&TA and publishes a statewide housing directory which includes all housing providers in the state. MFA provides financial support to the New Mexico Coalition to End Homelessness to administer the HMIS data management system. Both MFA and DFA collaborate in the preparation of the Consolidated Plan/Action Plan/CAPER process.

DFA is the administering agency for CDBG, the Neighborhood Stabilization Program (NSP) and legislative capital outlay projects. Some of the capital outlay projects, if appropriate, provide leveraged funds to CDBG projects. DFA provides training and technical assistance, makes CDBG funding available through an application process, provides monitoring and programmatic oversight and manages IDIS for CDBG and Disaster Recovery Grant Reporting DRGR system for NSP data systems. DFA adopted changes to 2.110.2 NMAC, the New Mexico Administrative Code that provides regulatory guidance for the CDBG program. The updates and modifications were made to further clarify terms, conditions and processes so that more municipalities will participate and be successful in the application and implementation of CDBG projects. The review and update process is also planned for 2016.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

There are numerous institutional links in this system. Institutional activities that are maintained within DFA allow for the timely sharing of fiscal and planning data related to counties and municipalities. Bureaus sharing data include the Budget and Finance and Special Services Bureaus. Private, nonprofit and public organizations are involved in the planning and implementation of projects that benefit the non-entitlement regions of the state of New Mexico. Nonprofit partners include New Mexico's seven Councils of Government, which provide input and services that assist to link with municipalities, villages and towns.

In addition to publishing the Housing Services Directory, MFA conducts a Biannual Housing Summit which provides training, information sharing and networking for housing professionals across the full continuum of housing. The next Housing Summit is scheduled in September of 2016. MFA is a member of the Behavioral Health Collaborative, and serves as a member of the housing leadership group. We participate in the HUD H2 initiative and the Pay for Success Program. MFA serves on the Colonias Infrastructure Board and is a member of the city of Albuquerque Affordable Housing Committee.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

MFA and DFA have incorporated the 2015 Analysis of Impediments in agency operations and are striving to address impediments identified in the analysis. MFA has expended \$15,181.42

from its General Fund in 2015 for Fair Housing. The largest number of actions occurred in the housing counseling area, as shown in ***Attachment B: Fair Housing Impediments Tasks Accomplished.***

DFA and MFA have no Fair Housing enforcement capacity. The New Mexico Human Rights Bureau (<http://www.dws.State.nm.us/HR-FAQ.html>) was created under the New Mexico Department of Workforce Solutions to enforce the Human Rights Act. The Human Rights Act was enacted in 1969 to ensure that all New Mexicans are protected from discrimination in employment, housing, credit and public accommodation. The Bureau does not provide any information regarding the Fair Housing Act or discrimination in housing. Information on Fair Housing is located on the web page for the New Mexico Commission for Deaf and Hard of Hearing (<http://www.cdhh.State.nm.us/FHA.aspx>). References on that page are directly to HUD Fair Housing and Economic Opportunity.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

MFA's Community Development staff conducts contract-based program compliance monitoring for HOME, ESG, HOPWA and weatherization programs. Program managers prepare and use risk assessments at the beginning of every program year as a tool to develop their monitoring schedules for the various programs. MFA's Asset Management staff conducts long-term compliance monitoring for HOME-funded single family and multifamily rentals in accordance with the guidelines set out in 24 CFR Part 92, including income eligibility, certification and recertification and habitability.

All CDBG grantees are required to be monitored once a year for the life of the project and each CDBG project has two years to be completed. To ensure that all federal and state requirements are being followed, DFA staff conducts both interim monitoring and close-out monitoring using both desk reviews and on-site monitoring reviews.

See **Attachment F: Monitoring Process** for a full explanation of the processes used by both MFA and DFA.

Citizen Participation Plan 91.105(d); 91.115(d)

For CDBG, DFA follows a detailed citizen participation plan that provides for and encourages citizen participation. This integral process emphasizes participation by persons of low- or moderate-income, particularly residents of predominantly low- and moderate-income neighborhoods, slum or blighted areas and areas in which DFA proposes to use CDBG funds. The plan provides citizens with the following: reasonable and timely access to local meetings, an opportunity to review proposed activities and program performance, timely written answers to grievances and written complaints and a description of how the needs of non-English speaking residents will be met in the case of public hearings where a significant number of non-English speaking residents can be reasonably expected to participate. DFA also requires all grantees seeking CDBG funds to develop and adopt by resolution a Citizen Participation Plan.

MFA conducts a minimum of two public hearings to obtain citizens' views and to respond to proposals and questions. The hearings will take place at different stages of the consolidated planning process. At least one hearing will occur prior to development of the Draft Plan and is intended to solicit public input regarding distinct issues, thereby aiding policy formation. At least one hearing will occur after the Draft Plan has been released for public review, allowing interested parties an opportunity to review the strategies and how they were developed, designed and presented. Information about the time, location and subject of each hearing will be provided to citizens at least 14 calendar days in advance through adopted public notice and outreach procedures. This notification will be disseminated to local governments and other interested parties. Public notification of the hearings will be published in statewide newspapers

of general circulation in hearing location cities or towns and on the MFA and DFA websites. MFA and DFA staff may also attend other meetings and conventions in New Mexico throughout the year, thereby providing an opportunity for additional public information on the Action Plan.

Every effort will be made to ensure that public hearings are inclusive. Hearings will be held at convenient times and locations and in places where people most affected by proposed activities can attend. The MFA and DFA will utilize hearing facilities that are accessible to persons with mobility impairments. If written notice is given at least seven days before a hearing date, the MFA will provide appropriate materials, equipment and interpreting services to facilitate the participation of non-English speaking persons and persons with visual and/or hearing impairments. Interpreters will be provided at public hearings where a significant number of non-English speaking residents can be reasonably expected to participate. All public hearings and public meetings associated with the consolidated and action plan planning process will conform to applicable New Mexico open meetings laws. However, the MFA may, at its discretion, actively solicit input on housing and community development issues during the course of the year with regional forums, town hall meetings and other venues, as they may present themselves.

Title II of the Americans with Disabilities Act and Section 504 of the Rehabilitation Act prohibits discrimination on the basis of disability in the programs of a public agency. Persons who need the information contained in this publication in an alternate format may call Debbie Davis at MFA, 505-843-6880 or in-state toll free at 800-444-6880. Si necesita ayuda en español para entender este documento, puede solicitarla ayuda sin costo adicional, llame al número 800-444-6880.

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

MFA and DFA invite public comments on all planning and reporting activities. To view the specific public comment periods and requirements, please visit MFA at <http://www.housingnm.org/resources/caper> or Local Government Division at http://www.nmdfa.state.nm.us/NM_Performance_Evaluation_Report_1.aspx. For the 2015 CAPER, the public comment period ran from March 15, 2016 through March 29, 2015. A draft of the 2015 CAPER was made available on MFA and DFA's web pages on March 15, 2016. Printed copies of the CAPER are available at MFA's offices at 344 4th St. SW, Albuquerque, New Mexico, 87102 or at the offices of the DFA Community Development Bureau, Bataan Memorial Building, Room 202, Santa Fe, NM 87501, upon request.

Public notices, in English and Spanish, announcing the public comment period for the CAPER (CDBG, HOME, ESG and HOPWA) were placed in the Albuquerque, Santa Fe and *El Semanario* newspapers. Copies of those notices are included as **Attachment C: Public Notice Publication Certifications**. No comments were received during the comment period..

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There were no changes in program objectives in 2015, nor will there be for 2016.

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

N/A

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

MFA's multifamily portfolio encompasses HOME, LIHTC, Risk Sharing, bond funded and Project Based Section 8 properties. In most instances, the monitoring visits are not just for HOME units, but for all funding sources. This is the reason many projects are noted as "Closure Pending;" the reports remain open until all issues are addressed. See **Attachment D: 2015 HOME Multifamily Monitoring and Community Development Monitoring 2015** for results of on-site inspections.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

In accordance with the applicable statutes and regulations governing the Consolidated Plan, MFA certifies that it does and will affirmatively further Fair Housing. Our commitment and multiple efforts to affirmatively further Fair Housing are being actively tracked as was demonstrated in **Attachment B: Fair Housing Impediments Tasks Accomplished**. MFA's Asset Management department reviewed and approved 20 affirmative Fair Housing marketing plans in 2015. Fair Housing brochures printed in English and Spanish were distributed by both MFA and DFA at various events and housing counseling was provided.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.

See **Attachment E: 2015 HOME Program Income Uses**, for a listing of projects that used program income, including the owner/tenant characteristics and the location of each.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

MFA's most competitive program is the 9% LIHTC rental program, which has one annual competitive round. It typically allocates credits to fund four to six projects annually throughout the state. These are a combination of new construction and acquisition/rehab. MFA provides subordinate long-term, low-interest rate loans (HOME and New Mexico Housing Trust Fund) to many of these projects. The average number of units created or rehabbed through these projects is about 300, over 85 percent of which are for low-income families (i.e. 60 percent AMI or below). MFA also administers the 4% LIHTC program on an open schedule. MFA provides short-term (2 year) construction loans to many of these same projects from the following funds: HOME, New Mexico Housing Trust Fund, Primero Loan Fund and Preservation Revolving Loan Fund (PRLF). MFA also offers state tax credits as well as long term loans from the 542(c) Risk Sharing loan program.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

The table below reports the one-year goals for the number of households provided housing through HOPWA for short-term rent, mortgage and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance payments	150	150
Tenant-based rental assistance	76	76
Units provided in transitional housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Total	226	226

Table 14 – HOPWA Number of Households Served

Narrative

HOPWA service providers reported an increase in the number of HOPWA TBRA clients in the past couple of years. HOPWA STRMU is primarily used in rural areas where it is difficult to find quality affordable housing that meets HUD's standards required for HOPWA TBRA, including Fair Market Rent (FMR), Rent Reasonableness and Housing Quality Standards. In many rural areas, the market rent is well above the FMR which makes it difficult to transition these clients to HOPWA TBRA. HOPWA service providers continue to prioritize housing stability for HOPWA eligible clients and will make every effort to increase the number of clients receiving HOPWA TBRA.

The number of households served in Table 14 does not include the 11 Permanent Housing Placement Services less the 7 adjustments for duplication (a reduction of 4, so $230 - 4 = 226$).

See Attachment H: 2015 HOPWA CAPER. The HOPWA CAPER has been submitted to HUD HOPWA HQ and sent via email to the local HUD office in Albuquerque. It was reviewed by the Cloudburst Group and approved.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps* For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NEW MEXICO
Organizational DUNS Number	808561567
EIN/TIN Number	850252748
Identify the Field Office	ALBUQUERQUE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	Ms.
First Name	Jackie
Middle Name	
Last Name	Garrity
Suffix	
Title	Program Manager

ESG Contact Address

Street Address 1	344 Fourth St. SW
Street Address 2	
City	Albuquerque
State	NM
ZIP Code	87102
Phone Number	5058436880
Extension	
Fax Number	
Email Address	jgarrity@housingnm.org

ESG Secondary Contact

Prefix	Ms.
First Name	Natalie
Last Name	Michelback
Suffix	
Title	Program Manager
Phone Number	5058436880
Extension	
Email Address	nmichelback@housingnm.org

2. Reporting Period—All Recipients Complete

Program Year Start Date 01/01/2015
Program Year End Date 12/31/2015

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: LA CASA, INC
City: Las Cruces
State: NM
Zip Code: 88004, 2463
DUNS Number: 184816833
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 66772

Subrecipient or Contractor Name: THE ROSWELL REFUGE
City: Roswell
State: NM
Zip Code: 88202, 0184
DUNS Number: 963243639
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 26498

Subrecipient or Contractor Name: HAVEN HOUSE
City: Rio Rancho
State: NM
Zip Code: 87174, 0611
DUNS Number: 128906877
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 34823

Subrecipient or Contractor Name: GRAMMY'S HOUSE
City: Artesia
State: NM
Zip Code: 88211, 0654
DUNS Number: 024712072
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20059

Subrecipient or Contractor Name: CRISIS CENTER OF NORTHERN NM
City: Espanola
State: NM
Zip Code: 87532, 2911

DUNS Number: 962865424

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 24041

Subrecipient or Contractor Name: COMMUNITY AGAINST VIOLENCE (CAV)

City: Taos

State: NM

Zip Code: 87571, 0169

DUNS Number: 940672629

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 31004

Subrecipient or Contractor Name: ST. ELIZABETH SHELTER

City: Santa Fe

State: NM

Zip Code: 87505, 3040

DUNS Number: 038507351

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 66809

Subrecipient or Contractor Name: YOUTH SHELTERS & FAMILY SERVICES

City: Santa Fe

State: NM

Zip Code: 87592, 8279

DUNS Number: 795320761

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 22116

Subrecipient or Contractor Name: Community Area Resource Enterprise

City: Gallup

State: NM

Zip Code: 87305, 4298

DUNS Number: 199444154

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 19171

Subrecipient or Contractor Name: New Mexico Coalition to End Homelessness

City: Santa Fe

State: NM

Zip Code: 87504, 0865
DUNS Number: 151290272
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 61000

Subrecipient or Contractor Name: El Refugio
City: Silver City
State: NM
Zip Code: 88061, 5284
DUNS Number: 106612260
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 31035

Subrecipient or Contractor Name: Carlsbad Battered Families Shelter
City: Carlsbad
State: NM
Zip Code: 88221, 2396
DUNS Number: 963267372
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 24227

Subrecipient or Contractor Name: Family Crisis Center
City: Farmington
State: NM
Zip Code: 87401, 6904
DUNS Number: 182379107
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 47137

Subrecipient or Contractor Name: People Assisting the Homeless
City: Farmington
State: NM
Zip Code: 87401, 5961
DUNS Number: 120580753
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 51675

Subrecipient or Contractor Name: Hartley House
City: Clovis

State: NM
Zip Code: 88102, 1732
DUNS Number: 165090697
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 24195

Subrecipient or Contractor Name: Battered Families Services
City: Gallup
State: NM
Zip Code: 87301, 6105
DUNS Number: 556546570
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19348

Subrecipient or Contractor Name: Interfaith Community Shelter
City: Santa Fe
State: NM
Zip Code: 87502, 2653
DUNS Number: 037575262
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 53553

Subrecipient or Contractor Name: New Day Youth and Family Services (A New Day)
City: Albuquerque
State: NM
Zip Code: 87110, 6749
DUNS Number: 021242524
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 32251

Subrecipient or Contractor Name: Option, Inc.
City: Hobbs
State: NM
Zip Code: 88240, 8427
DUNS Number: 061437281
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 24543

Subrecipient or Contractor Name: Valencia Shelter Services

City: Los Lunas
State: NM
Zip Code: 87031, 9277
DUNS Number: 611988007
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 28043

Subrecipient or Contractor Name: S.A.F.E. House
City: Albuquerque
State: NM
Zip Code: 87125, 0363
DUNS Number: 602115305
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 73108

Subrecipient or Contractor Name: Youth Development, Inc.
City: Albuquerque
State: NM
Zip Code: 87105, 2036
DUNS Number:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 28836

Subrecipient or Contractor Name: Center of Protective Environment
City: Alamogordo
State: NM
Zip Code: 88310, 5307
DUNS Number:
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 35753

Please See Attachment G: Additional ESG Subrecipients

This section replaced by eCart – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units – Rehabbed	0
Number of New Units – Conversion	0
Total Number of bed - nights available	
Total Number of bed - nights provided	
Capacity Utilization	

Table 15 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

This CAPER is submitted without eCart data from the domestic violence providers who receive ESG funding. The issues with reporting, VAWA and the comparable database have not yet been resolved. The eCart data that is part of this CAPER is for all other ESG providers.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	\$193,845	\$40,111	\$36,571
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$26,379	\$1,882	\$10,803
Expenditures for Housing Relocation & Stabilization Services - Services	\$29,911	\$3,271	\$22,853
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	
Subtotal Homelessness Prevention	\$250,135	\$45,264	\$70,227

Table 16 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	\$320,618	\$104,820	\$46,800
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	\$67,150	\$17,049	\$24,564
Expenditures for Housing Relocation & Stabilization Services - Services	\$60,099	\$18,874	\$30,370
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	\$447,867	\$140,743	\$101,734

Table 17 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services	\$66,185	\$82,015	\$98,787
Operations	\$813,956	\$319,316	\$571,979
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	\$880,141	\$401,331	\$670,766

Table 18 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Street Outreach	0	0	0
HMIS	\$152,710	\$89,111	\$108,744
Administration	\$134,156	\$62,707	\$51,311

Table 19 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2013	2014	2015
	\$1,865,009	\$739,156	\$1,002,782

Table 20 - Total ESG Funds Expended

11f. Match Source

	2013	2014	2015
Other Non-ESG HUD Funds	\$343,396	\$42,609	\$19,229
Other Federal Funds	\$117,607	\$25,886	\$195,482
State Government	\$296,529	\$369,195	\$356,232
Local Government	\$461,435	\$352,316	\$421,647
Private Funds	\$176,578	\$68,244	\$398,649
Other	\$574,094	\$395,611	\$403,451
Fees	\$9,850	0	0
Program Income	\$3,595	0	0
Total Match Amount	\$1,983,084	\$1,253,861	\$1,794,690

Table 21 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2013	2014	2015
	\$3,848,093	\$1,993,017	\$2,797,472

Table 22 - Total Amount of Funds Expended on ESG Activities