



Consolidated Annual Performance and Evaluation Report 2016

New Mexico Mortgage Finance Authority and
Department of Finance and Administration
Local Government Division



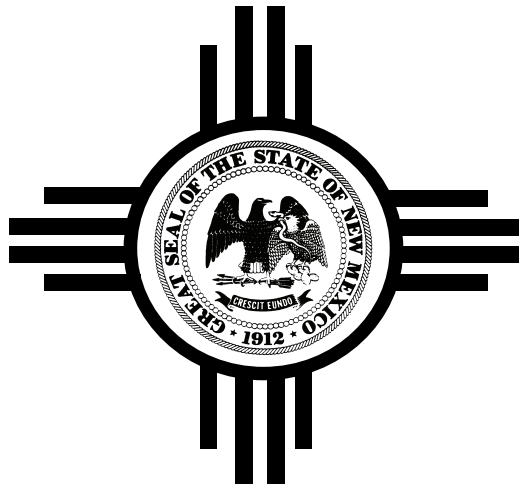
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Table of Contents

CR-05 - Goals and Outcomes	1
CR-10 - Racial and Ethnic composition of families assisted	8
CR-15 - Resources and Investments 91.520(a)	9
CR-20 - Affordable Housing 91.520(b)	13
CR-25 - Homeless and Other Special Needs	15
CR-30 - Public Housing 91.220(h); 91.320(j)	17
CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j).....	18
CR-40 - Monitoring 91.220 and 91.230.....	21
CR-45 - CDBG 91.520(c)	23
CR-50 - HOME 91.520(d).....	24
CR-55 - HOPWA 91.520(e)	26
CR-60 - ESG 91.520(g) (ESG Recipients only)	27
CR-65 - Persons Assisted	36
CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes.....	39
CR-75 – Expenditures	40

ATTACHMENTS TO CAPER

Acronyms for CAPER

Attachment A – 2016 CDBG Applications Funded

Attachment B – ESG Match Source 2016

Attachment C – Fair Housing Impediments Chart Y2-accomplished 2016

Attachment D – HOME LBP expended

Attachment E – Asset Management HOME inspections 2016

Attachment F – HOME Program Income Uses 2016

Attachment G – Monitoring Process

Attachment H – Public Notice Publication Certifications

Attachment I – HOPWA CAPER

Attachment J – 2016 eCart

Attachment K – Section 3 Report from SPEARS

CR-05 - Goals and Outcomes

REVIEWERS: This document contains a downloaded template. Information contained in the template cannot, for the most part, be changed. All of the template information is presented in BLUE for your reference.

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The Consolidated Annual Performance and Evaluation Report (CAPER) is submitted in response to HUD's request for performance summaries regarding the five formula grants; Community Development Block Grants (CDBG), HOME Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG), Housing Opportunities for Persons With AIDS (HOPWA) and the National Housing Trust Fund (NHTF). The CAPER measures progress made in the last year towards the goals outlined in the strategic plan, the Consolidated Plan, the 2016 Plan and the Substantial Amendment to the 2016 Action Plan (2016 Plan).

The objectives of the Action Plan include:

- Providing decent affordable housing through financing rental new construction and acquisition/rehabilitation,
- Direct financing assistance to eligible homebuyers, and
- Development of special needs housing and services for people experiencing homelessness and persons living with HIV/AIDS.

The 2016 Plan goals also included funding for infrastructure and public facilities. The highest needs identified state-wide, as established in the Consolidated Plan and the 2016 Substantial Amendment to the Action Plan, were for affordable rentals, owner-occupied rehabilitation and improvement of infrastructure, especially water-related infrastructure.

HOME Highlights:

During 2016, the New Mexico Mortgage Finance Authority (MFA) disbursed HOME funds to 11 separate multifamily developments across the state. Six were completed and five remain under construction. These developments helped MFA exceed both rental new construction and acquisition/rehabilitation goals in addition to providing additional special needs units. HOME funding for owner-occupied rehabilitation was provided, with a majority of that funding disbursed for homes on tribal lands, well above the 2016 Plan goal. MFA also disbursed HOME funds for down payment assistance to one first-time homebuyer as well as two homebuyers for construction of new homes. This represents a total of three homebuyers, more than the stated goal for 2016.

- Expand housing for special needs populations, indicated by *rental units constructed*: Actual completion (43 new units) exceeded the 2016 goal (six new rental units) by 616.67 percent.
- Finance multifamily rental new construction, indicated by *rental units constructed*: Actual construction (16 new HOME rental units) exceeded the 2016 goal (10) by 60 percent.
- Finance multifamily rental acquisition and rehab, indicated by *rental units rehabilitated*: Actual number of rehabbed rental units (19) exceeded the 2016 goal (10) by 90 percent.

ESG Highlights:

Serving the homeless and special needs populations by expansion of housing for targeted populations and increasing services through overnight shelter and homelessness prevention were the focus of the Emergency Housing Assistance Program (EHAP), Continuum of Care Match (CoC) and Rental Assistance Program (RAP) programs in 2016. MFA funded 50 agencies (includes EHAP, RAP and CoC agencies without duplicates) with a total of \$3.6 million using a combination of ESG and State Homeless funding.

- The component of EHAP/RAP tenant-based rental assistance/rapid rehousing goal was set at 100 households for 2016. We exceeded with 294 households or 294 percent complete.

HOPWA Highlights:

The 2016 goal for the HOPWA program encompassed providing funding for housing operations to 200 households. For 2016, a total of 187 HOPWA-eligible households were assisted (93.5 percent of goal) with HOPWA funding for tenant-based rental assistance (TBRA), short-term rent, mortgage and utilities (STRMU) and permanent housing placement (PHP) or to provide supportive services to client households.

CDBG Highlights:

The strategic focus of the CDBG program for non-entitlement communities is to enhance the quality of New Mexico's community development activities by improving the quality of New Mexico's infrastructure. The Community Development Council (CDC) as part of the Department of Finance and Administration (DFA) was successful in meeting this strategic goal by awarding funds to 18 qualified CDBG applications during the 2016 review period. The majority of the applications were for infrastructure projects, with additional funding set aside for planning, economic development, emergencies, and housing. The 2016 goal for CDBG was for public facility or infrastructure activities for low- and moderate- income households. According to HUD's Integrated Disbursement and Information System (IDIS) the program year 2016 totals for public facilities and improvements were 200,189 persons and 22 jobs, with 9,108 persons for general administration planning. The open count for persons was 80,710 and the completed count, including jobs, was 119,316. There were three applications funded for projects in the colonias for a total of \$1,475,776 exceeding the actual ten percent goal.

NHTF Highlights:

MFA is in the process of drafting a Notice of Funding Availability (NOFA) for our National Housing Trust Fund Program. This process will include holding a focus group meeting and gathering information from developers, management agents, social service providers and advocates. The NOFA will then be presented to the MFA Board of Directors for approval. MFA expects to post the approved NOFA by June 30, 2017.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Table 1 Update The bullets below correspond with Part 1, Table 1 highlighting changes to goal numbers in the 2016 Action Plan.

- **Encourage the development of special needs housing. Indicator: housing for homeless added:** The goal for 2016 was five rental units. The actual number completed for 2016 is 5 rental units for 100 percent of goal completion.
- **Expand housing for special needs populations. Indicator: tenant-based rental assistance/rapid re-housing:** The goal for 2016 was 100. The actual number completed for 2016 is 294 for 294 percent of goal completion.
- **Expand housing for special needs populations. Indicator: rental units constructed:** The 2016 goal was six rental units. The actual number of special needs rental new construction units completed for 2016 is 43 for 716.67 percent of goal completion.
- **Finance multifamily rental new construction. Indicator: rental units constructed:** The goal for 2016 was 10. The actual number of rental units constructed for 2016 is 16 for 160 percent of goal completion.
- **Fund services for persons living with HIV/AIDS. Indicator: HIV/AIDS housing operations:** The goal for 2016 was 200 households. The actual number for 2016 was 187 for a 93.5 percent of goal completion.
- **Increase living environments for homeless persons. Indicator: overnight/emergency shelter/transitional housing beds added:** The goal for 2016 was 20 new beds. Five new agencies were added in the 2016-2017 program year: Assurance Home (5), Heading Home (56), Healing House (16), Help End Abuse for Life (28), and Samaritan House (10). The actual number of beds added was 115 for 575 percent of goal completion.
- **Increase services for people experiencing homelessness. Indicator: homeless person overnight shelter:** The goal for 2016 was 5,096 persons assisted. The actual number of persons assisted was 6,415 for 125.88 percent of goal completion.
- **Increase services for people experiencing homelessness. Indicator: homelessness prevention:** The goal for 2016 was 480 persons served with rental assistance. The actual number was 363 for 75.63 percent of goal completion.
- **Provide financial assistance to eligible homebuyer. Indicator: direct financial assistance to homebuyers:** The goal for 2016 was four households assisted with down payment assistance. For 2016 actual production was one for 25 percent goal completion.
- **Provide resources for owner-occupied rehab. Indicator: homeowner housing rehabilitated:** The goal for 2016 was 40 homes. The 2016 actual completed owner-occupied rehabilitation homes were 43 for 107.5 percent of goal completion.

NOTE: MFA plans to amend the Consolidated Plan goals before the 2018 Action Plan work begins.

The information presented in the print version of the 2016 CAPER has been updated to reflect changes to the goals set out in the 2015 Consolidated Plan and the 2016 Action Plan. However, those goals are linked into this document and are not updatable in this online document. Those differences can be found in CR-20 Affordable Housing, tables 11 and 12, and in the CR-55 HOPWA screen, Table 14.

Goal	Category	Source / Amount total expended 2016	Indicator	Unit of Measure	Expected – Strategic Plan - 5 Year Goal	Actual – Strategic Plan - 2 year Actual	Percent Complete	Expected – Program Year This year goal	Actual – Program Year This year actual	Percent Complete – Current Year
Encourage the development of special needs housing	Non-Homeless Special Needs	HOME: \$90,000	Housing for Homeless added	Household Housing Unit	50	17	34.00%	5	5	100.00%
Expand housing for special needs populations	Non-Homeless Special Needs	ESG: \$186,820	Tenant-based rental assistance/Rapid Rehousing	Households Assisted	500	498	99.60%	100	294	294.00%
Expand housing for special needs populations	Non-Homeless Special Needs	HOME: \$892,750 Housing Trust Fund: \$0	Rental units constructed	Household Housing Unit	25	59	236.00%	6	43	716.67%
Finance multifamily rental new construction	Affordable Housing	HOME: \$566,000 Housing Trust Fund: \$0	Rental units constructed	Household Housing Unit	50	16	32.00%	10	16	160.00%
Fund services for and persons living with HIV/AIDS	Non-Homeless Special Needs	HOPWA: \$505,114	HIV/AIDS Housing Operations	Household Housing Unit	1,000	417	41.70%	200	187	93.50%
Increase living environments for homeless persons	Homeless	ESG: \$153,192	Overnight/ Emergency Shelter/ Transitional Housing Beds added	Beds	50	260	520.00%	20	115	575.00%
Increase services people experiencing homelessness	Homeless	ESG: \$379,312	Homeless Person Overnight Shelter	Persons Assisted	43,375	12,633	29.13%	5,096	6,415	125.88%
Increase services people experiencing homelessness	8650	ESG: \$196,514	Homelessness Prevention	Persons Assisted	4,600	707	15.37%	480	363	75.63%
Provide Financial Assistance to Eligible Homebuyer	Affordable Housing	HOME: \$0	Direct Financial Assistance to Homebuyers (DPA)	Households Assisted	20	15	75.00%	4	1	25.00%

Goal	Category	Source / Amount total expended 2016	Indicator	Unit of Measure	Expected – Strategic Plan - 5 Year Goal	Actual – Strategic Plan 2 Year Actual	Percent Complete	Expected – Program Year This year goal	Actual – Program Year This year actual	Percent Complete – Current Year
Provide Resources for Owner-Occupied Rehab	Affordable Housing	HOME: \$2,735,347.73	Homeowner Housing Rehabilitated	Household Housing Unit	200	75	37.50%	40	43	107.50%
Enhance homeowner new construction	Affordable Housing	HOME: \$14,999	Homeowner Housing Added (SFD)	Household Housing Unit	5	35	700.00%	1	2	200.00%
Enhance infrastructure, public facilities, housing	Non-Housing Community Development	CDBG: \$8,653,169	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	43,000	17,200	40.00%	8,600	6,069	70.57%
Enhance infrastructure, public facilities, housing	Non-Housing Community Development	CDBG: \$666,798	Jobs created/retained	Jobs	25	143	572.00%	5	22	121.00%
Finance Multifamily Rental Acquisition and Rehab	Affordable Housing	HOME: \$153,786 Housing Trust Fund: \$0	Rental units rehabilitated	Household Housing Unit	50	23	46.00%	10	19	190.00%
Fund services for and persons living with HIV/AIDS	Non-Homeless Special Needs	HOPWA: \$0	Housing for People with HIV/AIDS added	Household Housing Unit	20	4	20.00%	0	0	0.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Based upon information reported in the Consolidated Plan, the highest needs were affordable rentals for low- to moderate-income households, development of housing for the elderly and frail elderly, persons with disabilities, HIV/AIDS, chronic substance abuse, victims of domestic violence and providing services and housing for people experiencing homelessness. Rehabilitation of owner-occupied housing was highly ranked as a need, as was infrastructure, public facility and public service improvements.

The overall goal of each formula grant program is to provide safe, decent and affordable housing and community development to low- and very low-income New Mexicans. Details can be found in the statistics in Table 1 (page 4 and page 5). The formula grant programs are distributing funds and completing projects in a timely manner. The programs are constantly re-evaluated to make sure they are run in the most efficient manner possible to serve the greatest number of New Mexicans with the most serious needs. All of the formula grant programs work in collaboration with partners statewide, including citizens, local housing providers, units of local government and the private sector to maximize program accessibility. The information presented in Table 1 was drawn from goals set during the Consolidated Plan/Action Plan process that have since been updated to more closely reflect the production capacity.

CDBG: DFA's objectives in the distribution of housing and community development resources are to create suitable living environments and to create economic opportunities within the state. The highest priorities for the CDBG program translated into three primary goals: (1) Fund improvements to CDBG non-entitlement area water/wastewater systems and streets, (2) Fund infrastructure improvements in designated colonias areas and (3) Encourage planning that includes the entities' comprehensive plan, asset management, preliminary engineering reports and any other studies. CDBG goals and objectives for both the strategic and action plan were met:

- Eight of the 18 applications awarded were for projects that would improve water/wastewater systems or improve street and drainage for a total of \$6,573,514, and
- Colonias area projects were awarded for a total of \$1,475,776.

ESG: MFA assists the greatest number of people experiencing homelessness through our EHAP program, funded with ESG and state homeless funding. ESG assistance includes operating and supportive service costs for emergency shelter facilities, rental assistance, housing relocation and stabilization services for homeless persons and those at imminent risk of homelessness that need to be re-housed.

HOME: The largest dollar amount of HOME funding addresses one of the priority needs, owner-occupied rehabilitation of homes. The majority of units are in colonias or on tribal lands.

HOPWA: HOPWA funding was used primarily to address the continuing need for assistance with short term rental, mortgage and utilities, for rental assistance, and services to secure permanent housing for clients diagnosed with HIV/AIDS. Additionally, HOPWA was used to provide supportive services for clients.

NHTF: MFA is in the process of drafting a Notice of Funding Availability (NOFA) for our National Housing Trust Fund Program. This process will include holding a focus group and gathering information from developers, management agents, social service providers and advocates. The NOFA will then be presented to the MFA Board of Directors for approval. MFA expects to post the approved NOFA by June 30, 2017.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted). 91.520(a)

	CDBG	HOME	HOPWA	ESG
White	5,507	31	202	4,674
Black or African American	41	1	10	427
Asian	58	0	0	12
American Indian or American Native	304	23	23	1,212
Native Hawaiian or Other Pacific Islander	1	12	0	32
American Indian/American Native & White	0	0	1	162
Black African American & White	0	0	4	11
Other Multi-Racial	158	0	0	512
Total	6,069	67	240	7,042
Hispanic	3,072	33	124	3,275
Not Hispanic	2,997	34	116	3,767

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

Table 2 above has been modified to include additional definitions of race that were collected during 2016 by MFA and DFA. CDBG data is for beneficiaries or individuals shown on the *PR-23 CDBG Summary of Accomplishments for Program Year 2016* and *PR-28 State CDBG Program Activity Summary Report for Program Year 2016*.

**The projections for persons assisted with overnight shelter were based solely on data held within MFA's online reporting system and will be revised with the full implementation of the HMIS or comparable database reporting system. MFA is working diligently with HUD Headquarters and our local HUD office, the New Mexico Coalition to End Homelessness (NMCEH), the New Mexico Coalition Against Domestic Violence (NMCADV) and the domestic violence (DV) service providers to resolve issues and reporting requirements for the CAPER. For 2016, eCart information will be provided in this report for the providers who are not domestic violence service providers, along with four domestic violence service providers in a pilot working group. Information for the domestic violence service providers not participating in the pilot project will be reported in the CR-65 screen.*

HOPWA data is extracted from HMIS.

CR-15 - Resources and Investments 91.520(a)**Identify the resources made available**

Source of Funds	Source	2016 Allocations Resources Made Available	2016 expended Amount Expended During Program Year
CDBG	Public – federal	12,245,069	8,653,169
HOME	Public – federal	6,367,725	4,228,969
HOPWA	Public – federal	626,159	629,294
ESG	Public – federal	1,105,350	1,669,651
Housing Trust Fund	Public – federal	3,000,000	0
LIHTC	Public – federal	4,796,160	4,738,039
Other	Mortgage bond and other financing	126,000,000	328,300,000
Other	State Legislative Allocation for homeless	1,265,700	1,234,834
Grand Total		155,406,163	349,483,956

Table 3 – Resources Made Available**Narrative**

CDBG funding includes the total amount allocated to The State of New Mexico for FY 2016 plus reverted funds from the prior year. HOME funding includes the 2016 allocation, program income and carryover. ESG funding is the total for MFA's EHAP and RAP activities. The state legislative allocation line is updated to reflect the actual allocation and carryover, and reports expenditures for MFA's EHAP, RAP and COC activities. HOPWA funding includes the 2016 allocation and prior year carryover. The mortgage bond and other financing data includes not only mortgages funded by tax exempt bonds, but also mortgages sold into the secondary market. This category represents all mortgage and down payment assistance production for calendar year 2016.

CDBG: Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
COLONIAS NEIGHBORHOOD (CDBG)	10%	16%	Colonias projects were awarded CDBG of \$1,475,776
Statewide	90%	84%	The state does not prioritize any funds geographically. Funds are available statewide.

Table 4 – Identify the geographic distribution and location of investments**Narrative**

A total of \$1.475 million in CDBG funding was awarded to colonias for water/wastewater systems improvement, which is 16 percent of the total awarded, thus exceeding the 10 percent set-aside. Infrastructure construction grant awards were made by the Community Development Council (CDC) for 2016 for a total of \$8,963,644, and a total of \$3,281,425 was reserved for planning, economic development, emergency, and housing grants.

HOME, ESG, HOPWA, CDBG and NHTF do not prioritize any funding geographically.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

HOME: HOME funds are typically leveraged with Low Income Housing Tax Credit equity, conventional financing, New Mexico Housing Trust Fund, NAHASDA and National Housing Trust funds. All HOME matching requirements were met with cash or donated materials and labor. We currently have \$8,098,905 in excess match available. New Mexico has qualified for match reductions for many years, 100 percent in 2013, 50 percent in 2014, 100 percent in 2015, and 100 percent in 2016. For a state to qualify under the personal income growth rate criterion, the state's rate must be less than 75 percent of the average national personal income growth rate during the most recent four quarters. That percentage for 2016 is four percent nationally; New Mexico's average percentage of income growth is 2.28 percent.

ESG: ESG match sources included cash from other federal funds, state government, local government, private sources, fundraising events, and charitable organizations included in "other" along with in-kind donations such as rent for office space, case management services, and volunteer services. ESG Match data for 2016 is \$1,234,272.42 with details contained in **Attachment B: ESG Match Source 2016**.

CDBG: All CDBG infrastructure projects funded by DFA require a minimum cash match by the respective county or municipality. For rural projects the cash match requirement is five percent of the CDBG grant award. For projects in non-rural areas, the cash match requirement is ten percent of the CDBG grant award. The definition of "rural" is a county with a population of less than 25,000 and an incorporated municipality with a population of less than 3,000. "Non-rural" means a county or an incorporated municipality that does not meet the definition of rural. The 2016 CDBG total cash match was \$769,865.

HOPWA: HOPWA funds are sometimes leveraged with housing assistance through the Ryan White funds, or by City of Albuquerque emergency and transitional housing funds. More information on those sources can be found in **Attachment I: HOPWA CAPER**.

NHTF: As indicated above, NHTF funds can be leveraged with HOME and other rental financing sources. There is no match requirement for NHTF.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	8,098,905
2. Match contributed during current Federal fiscal year	177,281
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	8,276,186
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	8,276,186

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
104102	01/13/16	28,374.00	0	0	0	0	0	28,374.00
8794	10/21/15	50,000.00	8,000.00	0	0	8,704.00	0	66,704.00
8805	11/04/15	50,000.00	8,000.00	0	0	9,204.00	0	67,204.00
8828	01/13/16	7,000.00	0	0	0	7,999.00	0	14,999.00

Table 6 – Match Contribution for the Federal Fiscal Year

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
62,527.40	967,009.31	948,302.21	0.00	81,324.50

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Number	16	0	0	0	6	10
Dollar Amount	29,451,334	0	0	0	2,500,500	26,950,834
Sub-Contracts						
Number	59	1	0	0	17	41
Dollar Amount	19,897,869	23,500	0	0	6,458,576	13,415,793
	Total	Women Business Enterprises	Male			
Contracts						
Number	15	3	12			
Dollar Amount	17,043,655	918,045	16,125,610			
Sub-Contracts						
Number	59	7	52			
Dollar Amount	19,897,869	1,212,665	18,685,204			

Table 8 – Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
		Number	Cost			
Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of homeless households to be provided affordable housing units	5	10
Number of non-homeless households to be provided affordable housing units	61	69
Number of special-needs households to be provided affordable housing units	11	16
Total	77	95

Table 11 – Number of Households

The goal numbers for **Table 11** are taken from the 2016 Plan. The “actual” numbers for Table 11 are based on the HOME completions for 2016.

- **Homeless households to be provided affordable housing units** goal was based on projected units to be produced. That goal was five. Five households were actually served.
- **Non-homeless households to be provided affordable housing units** goal was based on projected households to be produced, including new down payment assistance projected, rental acquisition/rehab, rental new construction and owner occupied rehab numbers. That goal was 61. 69 were actually served.
- **Special-needs households to be provided affordable housing units** was based on the actual number of “special needs” units projected to be completed in 2016. That goal was 11. 16 were actually served.

	One-Year Goal	Actual
Number of households supported through rental assistance	0	0
Number of households supported through the production of new units	1	19
Number of households supported through the rehab of existing units	50	69
Number of households supported through the acquisition of existing units	10	27
Total	61	115

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

For **Table 12** the one-year goals were taken from the 2016 Plan. The actual numbers are HOME program production numbers from 2016.

- No goals or actual numbers were entered for the **number of households supported through rental assistance** as that program was discontinued before 2015.
- **Production of new units** goal was one. The actual is a combination of **all** rental new construction units funded and/or completed (16) plus the three single-family units completed (2 + 1).

- **Rehab of existing units** goal was 50. The actual funded is a combination of the all 26 rental units rehabilitated plus the 43 completed owner occupied rehabilitation units.
- **Acquisition of existing units** goal was ten. The actual funded is a combination of one down payment assistance unit completed and 26 rental acquisition/rehabilitation units were funded.

Discuss how these outcomes will impact future annual action plans.

MFA will evaluate production and adjust goals accordingly. MFA is evaluating new single family development activity and the potential of reinstating HOME-funded down payment assistance. Additionally, the National Housing Trust Fund should be producing units in 2017, with the NOFA publication in late June.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	649	49
Low-income	956	16
Moderate-income	1792	2
Total	3,397	67

Table 13 – Number of Persons Served

Narrative Information

Originally in the 2015-2019 Consolidated Plan we estimated that 1,650 households would be served in activities where income eligibility is required over the course of the five year plan. We have since updated those numbers to actual production as below table indicates.

Income classifications	Goal for 2016	5 year Goal
Extremely low 1-30%	675	3,375
Low-income 31-50%	970	4,850
Moderate income 51-80%	1,750	8,750
Total	3,395	16,975

For 2016, 3,395 households were estimated. Actual numbers reflect a total of 3,464 households between HOME and CDBG.

CDBG numbers of persons served by income was taken from IDIS PR28 state CDBG Program Activity Summary Report for Program Year 2016. When census data is used for national benefit qualification, IDIS does not have a screen to populate beneficiary race, ethnicity or LMA income specifics.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The New Mexico Coalition to End Homelessness (NMCEH) launched a statewide coordinated assessment project in June 2014 and has assessed over 5,000 homeless people so far. The assessments are based on the nationally recognized Vulnerability Index - Service Prioritization Decision Assistance Tool (VI/SPDAT) assessment tool which helps to determine each homeless person's vulnerabilities, relative need for housing and the type of housing that would be most appropriate. The assessments are done at Continuum of Care (CoC) and ESG-funded agencies, health centers, veteran programs and at several outreach sites. In 2017 NMCEH plans to improve coverage in rural areas by providing staff support from the coordinated assessment project.

Addressing the emergency shelter and transitional housing needs of homeless persons

The New Mexico Coalition to End Homelessness (NMCEH) launched a statewide coordinated assessment project in June 2014 and has assessed over 5,000 homeless people so far. The assessments are based on the nationally recognized Vulnerability Index - Service Prioritization Decision Assistance Tool (VI/SPDAT) assessment tool which helps to determine each homeless person's vulnerabilities, relative need for housing and the type of housing that would be most appropriate. The assessments are done at Continuum of Care (CoC) and ESG-funded agencies, health centers, veteran programs and at several outreach sites. In 2017 NMCEH plans to improve coverage in rural areas by providing staff support from the coordinated assessment project.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The State of New Mexico Human Services Department has discharge planning policies in place for people exiting mental health facilities, health care facilities and foster care. In New Mexico there is also a network of providers who administer the rental assistance program (RAP), partially funded with ESG and legislative appropriations. It provides short term rental assistance for homeless people and those at risk of homelessness. RAP is administered centrally by MFA.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The New Mexico Coalition to End Homelessness is participating in the Built for Zero initiative to end veteran homelessness and chronic homelessness. To reach these goals the Coalition has created lists of homeless veterans and chronically homeless people in New Mexico within the HMIS database using coordinated assessment to provide each person, identifying as homeless, with a housing needs assessment. The system has worked quite well for assessing and housing homeless veterans, most of whom are now housed. Presently, veterans whom identify as homeless can usually become housed within a few weeks. The Coalition is now working to maximize that system in locating and housing individuals of chronic homelessness. Additionally, the coordinated assessment is central to placing families and youth in appropriate housing. Coupled with assessing vulnerability, data collected from the coordinated assessment shows how long people are waiting for housing. We continue to work collaboratively in addressing barriers which often prevent people from accessing housing more quickly.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

There are a total of 32 Public Housing Authorities (PHAs) in New Mexico, 27 of which are located outside of metropolitan areas and within the jurisdiction of the Consolidated Plan; of the 32 there are three Regional Housing Authorities (RHAs). However, neither DFA nor MFA operate public housing. We do not have jurisdiction over them and do not directly plan resident initiatives. Efforts to collaborate more extensively with PHAs are underway through the state's three RHAs. The Regional Housing Act has given MFA limited oversight responsibilities of the RHAs.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

DFA and MFA do not operate public housing. Neither DFA nor MFA has jurisdiction over public housing and do not directly plan resident initiatives.

Actions taken to provide assistance to troubled PHAs

In 2009, MFA was mandated by the state legislature to restructure and to provide oversight for the state's RHAs. In addition to oversight, MFA provides critical technical assistance to enhance the organizational and financial capacity of RHAs. This assistance helps the RHAs to preserve low-income housing and to keep federal housing dollars in the rural communities where it is desperately needed. In 2016, MFA provided training and technical assistance for consolidations and/or transfers of the Cimarron PHA to the Northern Regional Housing Authority, as well as the Lovington PHA to the Eastern Regional Housing Authority; Eastern Regional Housing Authority has consolidated with three (3) PHAs (Lovington, Eunice and Vaughn) to date.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Neither MFA nor DFA have any control over or the power to improve the effects public policies that serve as barriers to affordable housing, other than that afforded to MFA under the Affordable Housing Act.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

In 2004, the New Mexico State Legislature created the Affordable Housing Act to allow local governments to donate resources to create and maintain affordable housing in their communities. MFA manages oversight over the Act, affordable housing donations and affordable housing plans. The Affordable Housing Act allows communities to donate or finance land, construction, costs of infrastructure, or make contributions towards acquisition, development, financing, operating and managing affordable housing. The Affordable Housing Act is pivotal in addressing housing needs of cost-burdened households while also advancing structural rehabilitation and preservation initiatives. MFA provides comprehensive technical training and assistance on an ongoing basis to Affordable Housing projects as needed, and collaborates with communities and local governments in fostering affordable housing, as well as economic development, in New Mexico communities statewide.

DFA recognizes that the community development needs far exceed the available resources to address those needs. DFA actively participates in conferences and workshops sponsored and attended by other federal and state agencies and affiliates who also have funding for colonias, infrastructure and economic development projects. This cross-agency collaboration informs and educates all involved and can be of great benefit to our municipalities. By leveraging multiple funding streams, New Mexico's local government areas can plan and successfully complete large infrastructure projects.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

MFA continues to set aside a percentage of the state's formula HOME allocation for eligible lead-based paint assessment and remediation activities. In 2016, MFA paid \$31,630.10 toward those activities. **See Attachment D: HOME – Lead Based Paint Activity Summary Report.** These additional funds for lead hazard remediation and abatement have encouraged additional businesses and organizations to obtain appropriate training in order to provide remediation services. Additionally, MFA continues to train its partners throughout the state in the Lead-Safe Housing Rule. MFA encourages service providers and professionals statewide to receive formal training and any applicable lead-based paint certifications in an effort to improve the efficiency of the rehabilitation services delivery system.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Having safe and affordable housing is the foundation for reducing poverty. Reducing housing costs gives families more money to spend on necessities like food and medicine as well as providing the security and stability needed to achieve economic wellbeing. Overall, it is an improvement in the quality of life. As outlined in the Consolidated Plan, MFA has allocated the resources below to try to reach those families living below the poverty line:

1. Funding for first-time homebuyers,
2. Pre- and post-purchase housing counseling,
3. Down payment and closing cost assistance,
4. NM EnergySmart Program (Weatherization) serving households with income below 200 percent of poverty,
5. Priority points in the LIHTC Qualified Allocation Plan (QAP) for supportive services for households with income below 30 percent of Area Median Income (AMI),
6. Collaborative work with other agencies whose goals and objectives support New Mexicans living below the poverty line, such as the New Mexico Coalition to End Homelessness and the Behavioral Health Collaborative,
7. Rehabilitation of owner occupied homes, and
8. Development of affordable rental units.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

MFA is the administering agency for HOME, HOPWA, ESG and NHTF programs. MFA provides training and technical assistance (T&TA) makes funding available through a Request for Proposal and/or NOFA process and manages IDIS for those programs. MFA allocates general funds to provide T&TA and publishes a statewide housing directory which includes all housing providers in the state. MFA provides financial support to the New Mexico Coalition to End Homelessness to administer the HMIS data management system. Both MFA and DFA collaborate in the Consolidated Plan/Action Plan/CAPER process.

DFA is the administering agency for CDBG, the Neighborhood Stabilization Program (NSP) and legislative capital outlay projects. Some of the capital outlay projects, if appropriate, provide leveraged funds to CDBG projects. DFA provides training and technical assistance, makes CDBG funding available through an application process, provides monitoring and programmatic oversight and manages HUD data systems (IDIS for CDBG and Disaster Recovery Grant Reporting [DRGR] system for NSP). DFA adopted changes to 2.110.2 NMAC, the New Mexico Administrative Code that provides regulatory guidance for the CDBG program. The updates and modifications were made to further clarify terms, conditions and processes so that more municipalities will participate and be successful in the application and implementation of CDBG projects. The review and update process is also planned for 2017.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

There are numerous institutional links in this system. Institutional activities that are maintained within DFA allow for the timely sharing of fiscal and planning data related to counties and municipalities. Bureaus sharing data include the Budget and Finance and Special Services Bureaus. Private, nonprofit and public organizations are involved in the planning and implementation of projects that benefit the non-entitlement regions of New Mexico. Nonprofit partners include New Mexico's seven Councils of Government, who provide input and services that assist municipalities, villages and towns.

In addition to publishing the Housing Services Directory, MFA conducted a Biennial Housing Summit which provided training, information sharing and networking for housing professionals across the full continuum of housing. There were approximately 400 attendees at the 2016 Housing Summit. The next Housing Summit is scheduled in September of 2018.

During the summer of 2016, members of MFA's Community Development, Housing Development and Homeownership staff conducted five regional meetings (Las Vegas, Farmington, Roswell, Las Cruces and Albuquerque). The meetings were held to learn about housing needs, discuss capacity building and help connect the dots of existing housing resources.

MFA is a member of the Behavioral Health Collaborative, and serves as a member of the Housing Leadership Group. MFA also participated in the HUD H2 initiative to assist communities with the systems changes needed to enhance integration of subsidized housing and health care services in order to maximize care coverage and increase access to comprehensive health care for people experiencing homelessness, as well as low-income persons living with HIV/AIDS. The Pay for Success Program (PFS) is an opportunity to test the effectiveness of using a PFS financing model to fund permanent supportive housing (PSH) using a Housing First approach for people experiencing homelessness with frequent contact with the criminal justice, homeless services, and health care systems. MFA participated in that program as well.

MFA serves on the Colonias Infrastructure Board and is a member of the City of Albuquerque Affordable Housing Committee.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

MFA and DFA have incorporated the amended and updated 2015 Analysis of Impediments in agency operations and are striving to address impediments identified in the analysis. MFA has expended \$11,029.56 from its General Fund in 2016 for Fair Housing related salary/benefits/taxes and program expenses. The largest number of actions occurred in the housing counseling area, as shown in **Attachment C: Fair Housing Impediments Tasks Accomplished**.

DFA and MFA have no Fair Housing enforcement capacity. The New Mexico Human Rights Bureau (<http://www.dws.State.nm.us/HR-FAQ.html>) was created under the New Mexico Department of Workforce Solutions to enforce the Human Rights Act. The Human Rights Act was enacted in 1969 to ensure that all New Mexicans are protected from discrimination in employment, housing, credit and public accommodation. The Bureau does not provide any information regarding the Fair Housing Act or discrimination in housing. Information on Fair Housing is located on the web page for the New Mexico Commission for Deaf and Hard of Hearing (<http://www.cdhh.State.nm.us/FHA.aspx>). References on that page link directly to the HUD Fair Housing and Economic Opportunity website.

In 2016 MFA provided technical assistance to New Mexico Legal Aid as they applied for a Fair Housing Education and Outreach Initiative grant from HUD.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

MFA's Community Development staff conducts contract-based program compliance monitoring for HOME, ESG, HOPWA and NHTF programs. MFA program managers prepare and use risk assessments at the beginning of every program year as a tool to develop their monitoring schedules for the various programs. MFA's Asset Management Department staff conducts long-term compliance monitoring for HOME-funded single family and multifamily rentals in accordance with the guidelines set out in 24 CFR Part 92, including income eligibility, certification and recertification and habitability.

All CDBG service providers are required to be monitored once a year for the life of the project and each CDBG project has two years to be completed. To ensure that all federal and state requirements are being followed, DFA staff conducts both interim monitoring and close-out monitoring using both desk reviews and on-site monitoring reviews.

See **Attachment G: Monitoring Process** for a full explanation of the processes used by both MFA and DFA.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

MFA and DFA invite public comments on all planning and reporting activities. To view the specific public comment periods and requirements, please visit MFA at <http://www.housingnm.org/resources/caper> or the Local Government Division http://www.nmdfa.state.nm.us/NM_Performance_Evaluation_Report_1.aspx.

For the 2016 CAPER, the public comment period ran from March 1, 2017 through March 16, 2017. A draft of the 2016 CAPER was made available on MFA and DFA's web pages on March 1, 2017. The CAPER will remain available on those web pages for a minimum of five years. Printed copies of the CAPER were available at the MFA office (344 Fourth St. SW Albuquerque, New Mexico 87102) or at the offices of the DFA Community Development Bureau (Bataan Memorial Building, Room 202, Santa Fe, New Mexico 87501) upon request. Public notices, in English and Spanish, announcing the public comment period for the CAPER (CDBG, HOME, ESG, HOPWA and NHTF) and the public hearing on Friday, March 10, 2017 at 10:30 am, office of MFA at 344 4th Street SW, Albuquerque, NM 87102, were placed in the Albuquerque and Santa Fe newspapers. Copies of those notices are included as **Attachment H: Public Notice Publication Certifications**.

Comments were received during the public hearing. Those comments, and MFA's responses, are reflected here.

- **Linda Simon, Albuquerque Health Care for the Homeless, Housing Coordinator** commented that MFA's funding of CoC operations makes a big difference to her clients by enabling them to stay in housing once they get housing. She stated that this is just a part of the larger housing/services continuum in New Mexico and commended MFA for their efforts. **MFA's response:** MFA sincerely thanked Ms. Simon for participating in the CAPER hearing and for her kind words regarding MFA.
- **Peter Crespin, UNM, Medical Case Manager** submitted the following commentary/questions:

- Do HOPWA numbers reflect the differences between STRMU and TBRA, do numbers reflect how many referrals are successful/not? **MFA's response:** Yes, the numbers for STRMU and TBRA are contained in the HOPWA CAPER. That document is an attachment to the 2016 CAPER and was not published with the draft 2016 CAPER.
- How does CAPER reporting convey housing needs/housing opportunities for the LGBTQ population? **MFA'S Response:** No, the CAPER does not reflect the housing needs or opportunities for the Lesbian, Gay, Bisexual, Transgender, Queer and/or Questioning (LBGTQ) population. Our Consolidated Plan for 2015-2019 does not contain any data for the LBGTQ population subset, but we will make sure to include in our next Consolidated Plan.
- **Melvin Morfin, Crossroads for Women, Program Coordinator** submitted the following commentary and/or questions:
 - Do CAPER numbers reflect how many housing referrals resulted from the coordinated assessment (VI/SPDAT)? **MFA'S Response:** No, the CAPER does not reflect the referrals that result from the VI/SPDAT. MFA will make every effort to discover how we might address that issue in our next Consolidated Plan.
 - How does CAPER reporting convey housing needs/housing opportunities for people with criminal backgrounds/felonies? **MFA'S Response:** The CAPER does not reflect the housing needs or opportunities for any one particular subset of the population, it reports on what MFA and DFA have accomplished for 2016. However, MFA will make every effort to discover how we might address that issue in our next Consolidated Plan.

For CDBG, DFA follows a detailed citizen participation plan that provides for and encourages citizen participation. This integral process emphasizes participation by persons of low- or moderate-income, particularly residents of predominantly low- and moderate-income neighborhoods, slum or blighted areas and areas in which DFA proposes to use CDBG funds. The plan provides citizens with the following: reasonable and timely access to local meetings, an opportunity to review proposed activities and program performance, timely written answers to grievances and written complaints and a description of how the needs of non-English speaking residents will be met in the case of public hearings where a significant number of non-English speaking residents can be reasonably expected to participate. DFA also requires all grantees seeking CDBG funds to develop and adopt by resolution a Citizen Participation Plan.

MFA conducts one public hearing to obtain citizens' views on the data being presented and to respond to questions. Information about the time, location and subject of each hearing will be provided to citizens at least 14 calendar days in advance through adopted public notice and outreach procedures. This notification will be disseminated to local governments and other interested parties via e-mail.

The public hearing conforms to applicable New Mexico open meetings laws. However, MFA and DFA may, at their discretion, actively solicit input on housing and community development issues during the course of the year with regional forums, town hall meetings and other venues, as they may present themselves. Title II of the Americans with Disabilities Act and Section 504 of the Rehabilitation Act prohibits discrimination on the basis of disability in the programs of a public agency. Persons that needed the information contained in the CAPER in an alternate format could call MFA's Programs and Initiatives Manager, 505-843-6880 or in-state toll free at 800-444-6880.

Si necesita ayuda en español para entender este documento, puede solicitarla ayuda sin costo adicional, llame al numero 800-444-6880.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There were no changes in program objectives in 2016, nor will there be for 2017.

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

This jurisdiction does not have any open Brownfields Economic Development Initiative (BEDI) grants.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

MFA's multifamily portfolio encompasses HOME, LIHTC, Risk Sharing, bond funded, Resolution Trust Corporation (RTC) and Project Based Section 8 properties. In most instances, the monitoring visits are not just for HOME units, but for all funding sources used at a particular property. See **Attachment E: Asset Management HOME Inspections 2016** for results of on-site inspections for affordable rental units.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

In accordance with the applicable statutes and regulations pertaining to the CAPER, MFA certifies that it does and will affirmatively further fair housing. Our commitment and multiple efforts to affirmatively further fair housing are being actively tracked as was demonstrated in **Attachment C: Fair Housing Impediments Tasks Accomplished 2016**. The 2016 activities are:

- Housing counseling was provided to 2,757 homebuyers,
- Worked with 11 owners on Affirmative Fair Housing Marketing Plans (AFHMP) and MFA's Asset Management department reviewed and approved four Affirmative Fair Housing Marketing Plans in 2016,
- Approved five LIHTC awards in 2016 and seven LIHTC awards in 2015, and facilitated quarterly inspections which began May 2015,
- Fair Housing brochures printed in English and Spanish were distributed by both MFA and DFA at various events,
- Three contacts were referred by MFA to FHEO,
- Provided two different versions of the *Contract and Subcontract Activity* 2516 form to HUD, and
- Section 504 Certifications are included in 101 Community Development Department contracts.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

See **Attachment F: 2016 HOME Program Income Uses**, for a listing of projects that used program income, including the owner/tenant characteristics and the location of each. Program income was used as follows:

ACTIVITY	# of projects	\$ expended
Administration	14	\$84,481.51
Owner Occupied Rehabilitation	32	\$641,847.35
Lead Based Paint test/remediate	3	\$18,041.15
Rental projects completed in 2016	3	\$152,066.00
Rental projects underway in 2016	2	\$51,866.20
Total	54	\$948,302.21

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

MFA's most competitive program is the nine percent LIHTC rental program, which has one annual competitive round. It typically allocates credits to fund four to six projects annually throughout the state. These are a combination of new construction and acquisition/rehab projects. MFA provides subordinate long-term, low-interest rate loans (HOME and New Mexico Housing Trust Fund) to many of these projects. The average number of units created or rehabbed through these projects is about 300, over 85 percent of which are for low-income families (i.e. 60 percent AMI or below). MFA also administers the four percent LIHTC program on an open schedule. MFA provides short-term (two year) construction loans to many of these same projects from the following funds: HOME, New Mexico Housing Trust Fund, Primero Loan Fund and Preservation Revolving Loan Fund (PRLF). MFA also offers state tax credits as well as long term loans from the 542(c) Risk Sharing loan program.

CR-55 - HOPWA 91.520(e)**Identify the number of individuals assisted and the types of assistance provided**

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance payments	132	83
Tenant-based rental assistance	89	83
Units provided in transitional housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Total	221	166

Table 14 – HOPWA Number of Households Served

Narrative

The number of households served in Table 14 does not include the 21 households receiving Permanent Housing Placement Services, for a total of 187 households served.

HOPWA STRMU and TBRA is used statewide to provide housing assistance for eligible clients. HOPWA service providers continue to prioritize housing stability and will make every effort to increase the number of clients receiving HOPWA TBRA, depending upon funding.

See Attachment H: 2016 HOPWA CAPER. The HOPWA CAPER was reviewed by the Cloudburst Group and approved by them before submission of this CAPER. The HOPWA CAPER has been submitted to HUD HOPWA Headquarters and was sent via email to the local HUD Office in Albuquerque.

CR-60 - ESG 91.520(g) (ESG Recipients only)**ESG Supplement to the CAPER in *e-snaps*
For Paperwork Reduction Act****1. Recipient Information—All Recipients Complete****Basic Grant Information**

Recipient Name	NEW MEXICO
Organizational DUNS Number	808561567
EIN/TIN Number	850252748
Identify the Field Office	ALBUQUERQUE
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	Ms.
First Name	Jackie
Middle Name	
Last Name	Garrity
Suffix	0
Title	Program Manager

ESG Contact Address

Street Address 1	344 Fourth Street SW
Street Address 2	0
City	Albuquerque
State	NM
ZIP Code	-
Phone Number	5058436880
Extension	0
Fax Number	0
Email Address	jgarrity@housingnm.org

ESG Secondary Contact

Prefix	Ms.
First Name	Natalie
Last Name	Michelback
Suffix	0
Title	Program Manager
Phone Number	5057672277
Extension	0
Email Address	nmichelback@housingnm.org

2. Reporting Period—All Recipients Complete

Program Year Start Date	01/01/2016
Program Year End Date	12/31/2016

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name

City

State

Zip Code

DUNS Number

Is subrecipient a victim services provider

Subrecipient Organization Type

ESG Subgrant or Contract Award Amount

Subrecipient or Contractor Name: LA CASA, INC

City: Las Cruces

State: NM

Zip Code: 88004, 2463

DUNS Number: 184816833

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 89964.75

Subrecipient or Contractor Name: WESTERN REGIONAL HOUSING AUTHORITY

City: Silver City

State: NM

Zip Code: 88062, 3015

DUNS Number: 116253865

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 53939.07

Subrecipient or Contractor Name: THE LIFE LINK

City: Santa Fe

State: NM

Zip Code: 87502, 6094

DUNS Number: 610653909

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 85858.05

Subrecipient or Contractor Name: THE ROSWELL REFUGE

City: Roswell

State: NM

Zip Code: 88202, 0184

DUNS Number: 963243639

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 41402.83

Subrecipient or Contractor Name: CENTER OF PROTECTIVE ENVIRONMENT (COPE)
City: Alamogordo
State: NM
Zip Code: 88310, 5307
DUNS Number: 182581579
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 14731.01

Subrecipient or Contractor Name: ST. ELIZABETH SHELTER
City: Santa Fe
State: NM
Zip Code: 87505, 3040
DUNS Number: 038507351
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 45512.45

Subrecipient or Contractor Name: Community Area Resource Enterprise
City: Gallup
State: NM
Zip Code: 87305, 4298
DUNS Number: 199444154
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 8670.61

Subrecipient or Contractor Name: New Mexico Coalition to End Homelessness
City: Santa Fe
State: NM
Zip Code: 87504, 0865
DUNS Number: 151290272
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 149310

Subrecipient or Contractor Name: El Refugio
City: Silver City
State: NM
Zip Code: 88061, 5284
DUNS Number: 106612260
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 36962.69

Subrecipient or Contractor Name: Carlsbad Battered Families Shelter
City: Carlsbad
State: NM
Zip Code: 88221, 2396
DUNS Number: 963267372
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 12000.29

Subrecipient or Contractor Name: Family Crisis Center
City: Farmington
State: NM
Zip Code: 87401, 6904
DUNS Number: 182379107
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 40711.38

Subrecipient or Contractor Name: People Assisting the Homeless
City: Farmington
State: NM
Zip Code: 87401, 5961
DUNS Number: 120580753
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 50087.89

Subrecipient or Contractor Name: Hartley House
City: Clovis
State: NM
Zip Code: 88102, 1732
DUNS Number: 165090697
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 28954.07

Subrecipient or Contractor Name: Battered Families Services
City: Gallup
State: NM
Zip Code: 87301, 6105
DUNS Number: 556546570
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20966.12

Subrecipient or Contractor Name: Interfaith Community Shelter
City: Santa Fe
State: NM
Zip Code: 87502, 2653
DUNS Number: 037575262
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 108329.22

Subrecipient or Contractor Name: New Day Youth and Family Services (A New Day)
City: Albuquerque
State: NM
Zip Code: 87110, 6749
DUNS Number: 021242524
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 53660.02

Subrecipient or Contractor Name: Option, Inc.
City: Hobbs
State: NM
Zip Code: 88240, 8427
DUNS Number: 061437281
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 23114.82

Subrecipient or Contractor Name: Enlace Comunitario
City: Albuquerque
State: NM
Zip Code: 87198, 8919
DUNS Number: 801555603
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 77217.17

Subrecipient or Contractor Name: CLNkids
City: Albuquerque
State: NM
Zip Code: 87102, 4658
DUNS Number: 613246313
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 24454.75

Subrecipient or Contractor Name: San Juan County Partnership
City: Farmington
State: NM
Zip Code: 87402, 8820
DUNS Number: 805200433
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 61723.07

Subrecipient or Contractor Name: Samaritan House, Inc.
City: Las Vegas
State: NM
Zip Code: 87701, 1687
DUNS Number: 182570606
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 42871.22

Subrecipient or Contractor Name: St. Martin's Hospitality Center
City: Albuquerque
State: NM
Zip Code: 87125, 7258
DUNS Number: 182589663
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 81872.18

Subrecipient or Contractor Name: Catholic Charities
City: Albuquerque
State: NM
Zip Code: 87107, 1965
DUNS Number: 147263594
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 87233.81

Subrecipient or Contractor Name: Valencia Shelter Services
City: Los Lunas
State: NM
Zip Code: 87031, 9277
DUNS Number: 611988007
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 59596.92

Subrecipient or Contractor Name: Heading Home
City: Albuquerque
State: NM
Zip Code: 87107, 2122
DUNS Number: 789188872
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 69883.61

Subrecipient or Contractor Name: Community Against Violence
City: Taos
State: NM
Zip Code: 87571, 0169
DUNS Number: 940672629
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 31803.98

Subrecipient or Contractor Name: Haven House
City: Rio Rancho
State: NM
Zip Code: 87174, 0611
DUNS Number: 128906877
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 45008.17

Subrecipient or Contractor Name: Youth Shelters & Family Services
City: Santa Fe
State: NM
Zip Code: 87592,
DUNS Number: 795320761
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 40385.43

Subrecipient or Contractor Name: Assurance Home
City: Roswell
State: NM
Zip Code: 88201, 7532
DUNS Number: 182362855
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 18437.67

Subrecipient or Contractor Name: The Healing House
City: Deming
State: NM
Zip Code: 88031, 1223
DUNS Number: 095487208
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 16462.12

Subrecipient or Contractor Name: Crisis Center of Northern NM
City: Espanola
State: NM
Zip Code: 87532, 2911
DUNS Number: 962865424
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 38039.15

Subrecipient or Contractor Name: Southwestern Regional Housing & CDC
City: Deming
State: NM
Zip Code: 88030, 3747
DUNS Number: 145793332
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 37422.48

Subrecipient or Contractor Name: Mesilla Valley Community of Hope
City: Las Cruces
State: NM
Zip Code: 88005, 2739
DUNS Number: 040944659
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 85564.84

Subrecipient or Contractor Name: Help End Abuse for Life (HEAL)
City: Ruidoso Downs
State: NM
Zip Code: 88346, 9153
DUNS Number: 611081386
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 28362.79

Subrecipient or Contractor Name: Youth Development, Inc.
City: Albuquerque
State: NM
Zip Code: 87105, 2036
DUNS Number: 002286946
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 50928.94

Subrecipient or Contractor Name: Dream Tree Project, Inc.
City: Taos
State: NM
Zip Code: 87571, 1677
DUNS Number: 004827353
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 18372.65

Subrecipient or Contractor Name: New Mexico Mortgage Finance Authority
City: Albuquerque
State: NM
Zip Code: 87102, 3206
DUNS Number: 083214742
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 153987.45

Subrecipient or Contractor Name: GRAMMY'S HOUSE
City: Artesia
State: NM
Zip Code: 88211, 0654
DUNS Number: 024712072
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 27392.41

Subrecipient or Contractor Name: S.A.F.E. HOUSE
City: Albuquerque
State: NM
Zip Code: 87125, 0363
DUNS Number: 602115305
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 84311.30

CR-65 - Persons Assisted

4. Persons Served*

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	DV ONLY Total	ALL Total
Adults	30	180
Children	28	183
Don't Know/Refused/Other	0	0
Missing Information	0	0
Total	58	363

Table 15 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	DV ONLY Total	ALL Total
Adults	9	142
Children	15	152
Don't Know/Refused/Other	0	0
Missing Information	0	0
Total	24	294

Table 16 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	DV ONLY Total	ALL Total
Adults	1,956	4,406
Children	1,489	2,105
Don't Know/Refused/Other	0	0
Missing Information	0	0
Total	3,445	6,511

Table 17 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 18 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	DV Total	ALL Total
Adults	1,995	4,728
Children	1,532	2,440
Don't Know/Refused/Other	0	0
Missing Information	0	0
Total	3,527	7,168

Table 19 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	DV Total	ALL Total
Male	360	1,693
Female	1,635	3,035
Transgender	0	0
Don't Know/Refused/Other	0	0
Missing Information	1,532	2,440
Total	3,527	7,168

Table 20 - Gender Information

6. Age—Complete for All Activities

	DV Total	ALL Total
Under 18	1,532	471
18-24	0	0
25 and over	0	0
Don't Know/Refused/Other	0	0
Missing Information	1,995	6,697
Total	3,527	7,168

Table 21 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	DV ONLY					ALL PROVIDERS		
	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters		Total	Total Persons Served – Prevention	Total Persons Served – RRH
Veterans	34	5	7	22		208	5	7
Victims of Domestic Violence	2,857	75	109	2,673		4,598	75	109
Elderly	21	3	2	16		195	3	2
HIV/AIDS	1	0	0	1		33	0	0
Chronically Homeless	113	11	23	79		430	11	23
Persons with Disabilities:								
Severely Mentally Ill	159	15	22	122		905	15	22
Chronic Substance Abuse	111	0	6	105		683	0	6
Other Disability	181	31	22	128		1,127	31	22
Total (unduplicated if possible)	3,477	140	191	3,146		8,179	140	191

Table 22 – Special Population Served

The projections for persons assisted with overnight shelter were based solely on data held within MFA's online reporting system and will be revised with the full implementation of the HMIS or comparable database reporting system. MFA is working diligently with HUD Headquarters and our local HUD office, the New Mexico Coalition to End Homelessness (NMCEH), the NM Coalition Against Domestic Violence (NMCADV) and the domestic violence (DV) service providers to resolve issues and reporting requirements for the CAPER. For 2016, eCart information will be provided in this report for the ESG providers who are not domestic violence service providers, along with four domestic violence service providers in a pilot working group. Information for the domestic violence service providers not participating in the pilot project will be reported in the CR-65 screen. **Attachment J is the 2016 eCart file.*

TEXT ADDED: The information reported above in **5. Gender** indicates 1,532 in "Missing Information" because the database does not collect the gender of the children. In **6. Age**, the number for any persons over the age of eighteen, or 1,995, appears in "Missing Information" because the age parameters in the database do not match the CAPER.

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units – Rehabbed	0
Number of New Units – Conversion	0
Total Number of bed - nights available	298,965
Total Number of bed - nights provided	187,441
Capacity Utilization	62.7%

Table 15 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

This CAPER is submitted without eCart data from the domestic violence service providers who receive ESG funding. Limitations with reporting per the Violence Against Women Act (VAWA) and inconsistencies with comparable databases have not yet been resolved. The eCart data that is part of this CAPER reflects information for all other ESG providers.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	40,111	36,571	207,758
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	1,882	10,803	87,911
Expenditures for Housing Relocation & Stabilization Services - Services	3,271	22,853	30,309
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	45,264	70,227	325,978

Table 16 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	104,820	46,800	178,784
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	17,049	24,564	58,058
Expenditures for Housing Relocation & Stabilization Services - Services	18,874	30,370	83,493
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	140,743	101,734	320,336

Table 17 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	82,015	98,787	102,169
Operations	319,316	571,979	947,774
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	401,331	670,766	1,049,943

Table 18 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	0
HMIS	89,111	108,744	254,973
Administration	62,707	51,311	153,987

Table 19 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
	739,156	1,002,782	2,105,217

Table 20 - Total ESG Funds Expended

11f. Match Source

	2014	2015	2016
Other Non-ESG HUD Funds	42,609	19,229	89,035
Other Federal Funds	25,886	195,482	81,332
State Government	369,195	356,232	178,879
Local Government	352,316	421,647	270,248
Private Funds	68,244	398,649	294,342
Other	395,611	403,451	319,272
Fees	0	0	0
Program Income	0	0	1,164
Total Match Amount	1,253,861	1,794,690	1,234,272

Table 21 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2014	2015	2016
	1,993,017	2,797,472	3,339,489

Table 22 - Total Amount of Funds Expended on ESG Activities

List of Acronyms for CAPER 2016	
Admin	Administrative
ADSN	Areas of demonstrated statistical need
AG	Attorney General
AMI	Area Median Income
ARRA	American Recovery and Reinvestment Act
BoS	Balance of State
CAPER	Consolidated Annual Performance and Evaluation Report
CDBG	Community Development Block Grants
CFL	Compact fluorescent light bulb
CFR	Code of Federal Regulations
CHDO	Community Housing Development Organization
COC	Continuum of Care
COP	CHDO Operating Funds
DFA	Department of Finance and Administration
DOE	Department of Energy
DPA	Down Payment Assistance
DRGR	Disaster Recovery Grant Reporting
EHAP	Emergency Housing Assistance Program
ESG	Emergency Solutions Grant
FHEO	Fair Housing and Economic Opportunity
HMA	Health Management Alliance (HMA)
HMIS	Homeless Management Information System
HOME	HOME Investment Partnership
HOPWA	Housing Opportunities for Persons with AIDS
HOR	Homeowner Rehab
HTF	Housing Trust Fund
HUD	US Department of Housing and Urban Development
IDIS	Integrated Disbursement and Information System
IRS	Internal Revenue Service
LGBTQ	Lesbian, Gay, Bisexual, Transgender, Queer and/or Questioning
LIHEAP	Low Income Home Energy Assistance Program
LIHTC	Low Income Housing Tax Credits
MBE	Minority Business Enterprise
MFA	New Mexico Mortgage Finance Authority
MRB	Mortgage Revenue Bonds
MSA	Metropolitan Statistical Area
NAHRO	National Association of Housing and Redevelopment Officials
NHTF	National Housing Trust Fund
NMCEH	New Mexico Coalition to End Homelessness
NSP	Neighborhood Stabilization Program
PFS	Pay for Success Program
PHA	Public Housing Authority
PHP	Permanent Housing Placement
PSH	Permanent Supportive Housing
QAP	Qualified Allocation Plan
RAP	Rental Assistance Program
Rehab	Rehabilitation
REN	Rental
RFP	Request for Proposals
RTC	Resolution Trust Corporation
S+C	Shelter Plus Care
SFD	Single Family Development
SHP	Supportive Housing Program
STRMU	Short Term Rent, Mortgage and Utilities
T&TA	Training and Technical Assistance
TBRA	Tenant Based Rental Assistance
UPCS	Uniform Physical Condition Standards
VAWA	Violence Against Women Act
VI/SPDAT	Vulnerability Index - Service Prioritization Decision Assistance Tool
WAP	Weatherization Assistance Program
WBE	Women's Business Enterprise

Attachment A - 2016 CDBG Applications Funded



Appropriation from HUD	\$10,477,460.00
Reversions and Unobligated \$\$ from prior year	\$2,181,932.80
Administration	(\$309,549.20)
Technical Assistance	(\$104,774.60)
Total Available for Grants	\$12,245,069.00
Planning	\$500,000.00
Economic Development	\$1,281,425.00
Emergency	\$500,000.00
Housing	\$1,000,000.00
Subtotal of Reserves for Grant Categories	\$3,281,425.00
Total Available for Construction Grants	\$8,963,644.00

Applicant	Project Title	Amount Requested	CDC Allocation
Mora County (Watrous)	community center	500,000.00	
Town of Taos	community center	1,000,000.00	\$ 1,000,000.00
Catron County	Emergency Management/Fair Building improvements	400,130.00	\$ 400,130.00
Anthony	flood control facility	500,000.00	\$ 500,000.00
Clayton	Housing	347,162.00	\$ 347,162.00
Otero County	LMC abused children	500,000.00	\$ 500,000.00
Wagon Mound	LMC senior center	500,000.00	
Belen	road improvements	500,000.00	\$ 500,000.00
Sandoval County	Sandoval County fairgrounds	490,000.00	\$ 490,000.00
Bayard	Street and drainage	500,000.00	\$ 500,000.00
City of Socorro	Street and drainage	500,000.00	
Clovis	street and drainage	500,000.00	\$ 500,000.00
Hobbs	street and drainage	500,000.00	\$ 500,000.00
Lordsburg	street and drainage	500,000.00	
Lovington	Street and drainage	497,949.00	\$ 497,949.00
Sunland Park	Street and drainage	500,000.00	\$ 500,000.00
Portales	wastewater lift station rehab	473,758.00	\$ 473,758.00
Eddy County	wastewater system	496,369.00	\$ 496,369.00
Silver City	water distribution system	475,776.00	\$ 475,776.00
Maxwell	water system	500,000.00	
Melrose	water system	500,000.00	\$ 500,000.00
Mosquero	water system	282,500.00	\$ 282,500.00
Vaughn	water system improvements	500,000.00	\$ 500,000.00
Total		11,463,644.00	\$ 8,963,644.00

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Attachment B

ESG Match Sources 2016

Row Labels	Sum of Match Amount
CDBG	12,115.00
City of Albuquerque	84,296.22
City of Hobbs	22,662.00
City of Las Cruces Health and Human Services funds	3,837.00
City of Las Vegas	5,140.64
City of Santa Fe	157.16
Department of Health	5,649.00
Donated building rent, City of Alamogordo	79,750.00
Donated building rent, City of Las Cruces	74,404.96
Donations: cash or in-kind	292,924.92
Foundations	30,686.48
Fundraising	9,371.06
HUD-Permanent Housing	76,920.46
NM Children Youth and Families Department (CYFD)	173,229.53
Program fees (client rent)	1,164.36
San Juan United Way	90,297.74
Staff salaries	16,976.00
SWHAP Program	60,636.03
United Way of Central NM	65,817.91
United Way of Northern NM	43,878.82
United Way SNM	3,025.00
Veterans Affair (VA)	8,130.98
VOCA	73,201.15
Grand Total	1,234,272.42

Other Non-ESG HUD Funds	89,035.46
Other Federal Funds	81,332.13
State Government	178,878.53
Local Government	270,247.98
Private Funds	294,341.98
Other	319,271.98
Fees	-
Program Income	1,164.36
Total Match Amount	1,234,272.42

ATTACHMENT C

FAIR HOUSING IMPEDIMENTS AND SOLUTIONS – 2016 ACCOMPLISHMENTS

2nd year actions taken

Impediment	Suggested Action	Actions	Measurable Objectives	Accomplishment Count
1. Frequent denial of home purchase loans and predatory lending to American Indians and Hispanics because of credit history	Educate potential homebuyers through home purchase training	MFA will provide homebuyer education classes through HUD-certified providers via AG Homeownership Preservation, Loss Mitigation and via eHome America online training.	No. of participants	2,757 households received housing counseling from eHome America, GAHP, Homewise, Money Management, Navajo Partnership for Housing, SW Neighborhood Housing, SW Regional Housing & CDC, the Housing Trust and Tierra del So.
2. Discriminatory terms, conditions and privileges related to rental housing statewide; refusal to rent based on race and/or disability statewide	Landlord/property management training	Staff will educate landlords and property managers about tenant's fair housing rights through training and counseling.	No. of activities, materials, participants	Worked with 11 owners on Affirmative Fair Housing Marketing Plans Approved 4 AFHMPs Provided technical assistance to NM Legal Aid in their FHIP application in 2016.
3. Failure to make reasonable accommodation or modification statewide	Landlord/property management training	Provide training for landlords and property managers. Provide training for affordable housing developers. Enforce MFA's 2016 Design Standards, QAP, ADA and Section 504 through MFA's underwriting and compliance procedures.	2015, 2016 communities – on site inspections by architect 4 times a year. 2016/2017 communities – # of applications approved	2016 - approved 5 LIHTC awards 2015 approved 7 LIHTC awards, quarterly inspections since May 2015
4. Insufficient understanding of fair housing laws statewide	Distribution of fair housing materials	Hold outreach activities concerning fair housing in Fair Housing Month in April via advertising online. Distribution of fair housing flyers and brochures to MFA/DFA constituents and to the general public.	# of activities conducted; # of materials distributed	500 Spanish versions of fair housing brochure (1 box) distributed and re-ordered. 3 FHEO contact referrals
5. NIMBYism	Education and outreach	MFA/DFA's use of HUD funds will provide outreach for funded activities to minorities, women, and businesses owned by minorities and women, including real estate firms, construction firms, appraisal firms, managements firms, underwriters, accountants, and providers of legal services. Section 3 guidelines will also be followed and maintained.	Records of meetings, presentation and marketing materials	Provided 2 different versions of the 2516 form to HUD office. Section 504 Certification required with 101 CDD contracts in 2016. Section 3 report filed in SPEARS system.

Attachment D: HOME - Lead Based Paint Activity Summary Report

Home - Lead Based Paint Activity Summary Report

Reporting Range: 1/1/2016 - 12/31/2016

setup	completion	idis #	address	city	county	expended
10/3/14	5/18/16	8647	818 N. Bennet St.	Silver City	Grant	694.74
10/8/15	7/6/16	8777	307 N. 3rd Street	Loving	Eddy	590.00
10/8/15	5/12/16	8778	505 S. Copper Street	Deming	Luna	495.88
10/8/15	3/31/16	8781	3345 Comanche Trail	Deming	Luna	688.55
10/9/15	2/23/16	8783	61 3rd Street	Boles Acres	Otero	593.00
10/9/15	3/24/16	8784	168 Galicia Rd.	Tularosa	Otero	482.72
10/9/15	3/24/16	8785	21 Battersby	Alamogordo	Otero	480.00
10/22/15	4/8/16	8795	1 Meadowlark Ct	San Felipe	Sandoval	600.00
10/22/15	4/18/16	8796	29 Evergreen Rd	San Felipe	Sandoval	675.00
10/22/15	3/24/16	8797	9 Seven Star Loop	San Felipe	Sandoval	675.00
10/22/15	3/8/16	8803	302 E. Broadway	Silver City	Grant	619.53
10/22/15	4/21/16	8804	2120 S. Slate St.	Deming	Luna	688.55
11/18/15	4/8/16	8814	359 Popay Ave.	Ohkay Owingeh	Rio Arriba	550.00
11/18/15	8/17/16	8815	423 Popay Ave.	Ohkay Owingeh	Rio Arriba	550.00
5/21/15	2/9/16	8731	2048 Minnie Rd. SW	Albuquerque	Bernalillo	702.00
10/8/15	8/29/16	8779	128 E. Railway	Lordsburg	Hidalgo	15,949.16
5/3/16	10/12/16	8845	09 Chicale Park	Santa Ana Pueblo	Sandoval	480.00
5/10/16	11/21/16	8854	925 Lindberg #18	Alamogordo	Otero	480.00
12/3/15	5/27/16	8817	920 Ranchitos NW	Albuquerque	Bernalillo	1,888.71
3/2/16	8/23/16	8833	1100 Paradise	Alamogordo	Otero	480.00
3/2/16	7/8/16	8834	149 Tulie Gate Road	Tularosa	Otero	480.00
4/28/16	11/21/16	8844	2448 Mares Rd. SW	Albuquerque	Bernalillo	696.72
5/4/16	11/21/16	8851	20 Lorenzo Rd.	San Felipe Pueblo	Sandoval	675.00
5/4/16	11/21/16	8852	22 Saint Phillips Rd.	San Felipe Pueblo	Sandoval	675.00
5/4/16	11/21/16	8853	141 Black Mesa Road	San Felipe Pueblo	Sandoval	675.00
11/22/16	1/4/2016	8859	67, TP 800	Santa Fe	Santa Fe	65.54
			TOTAL LEAD BASED PAINT EXPENDITURES			31,630.10

ATTACHMENT E: Asset Management HOME Inspections 2016

Property:	<u>Andalusia</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>3/16/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 1/3 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>1: 1 blocked egress</u>		
AFHMP:	<u>Pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/3 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Avalon Apts (Broadway)</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>10/13/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Satisfactory: 1/4 units had an item noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>1/4 had items noted</u>		
Review Closed:	<u>Closed: 11/30/2016</u>		

Property:	<u>Azotea Senior Housing</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>8/30/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>REAC: 97b</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/3 files has items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Battered Families Services</u>	Total Home Units:	<u>6</u>
Inspection Date:	<u>10/19/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Below Average: 5/5 units had items noted; 2 common area items noted</u>		
No. of EH&S Deficiencies:	<u>2: 1-blocked egress; 1-inoperable smoke detector</u>		
AFHMP:	<u>Submitted: review in process</u>	Tenant Selection Plan in place:	<u></u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Physical finding, including EH&S, corrections received; file and AFHMP pending</u>		

Property:	<u>Belen Crossing</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>7/26/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Satisfactory: 3/3 units had items noted; 1 noted common area item noted</u>		
No. of EH&S Deficiencies:	<u>5: 4 expired fire extinguishers; 1 blocked egress</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/3 files had items noted</u>		
Review Closed:	<u>Closed: 12/27/2016</u>		

Property:	<u>Bella Vista</u>	Total Home Units:	<u>8</u>
Inspection Date:	<u>9/29/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Satisfactory: 0/4 units had items noted; 1 common area item noted</u>		
No. of EH&S Deficiencies:	<u>1: 1 blocked egress</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/4 files had items noted</u>		
Review Closed:	<u>Closed: 11/10/2016</u>		

Property:	<u>Bella Vista Townhomes</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>11/15/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Satisfactory: 3/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/4 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Caballo Peak Apartments</u>	Total Home Units:	<u>9</u>
Inspection Date:	<u>10/26/2016</u>	Home Units Inspected:	<u>6</u>
Physical Review Rating:	<u>Satisfactory: 1/6 inspected; common area repairs in process throughout</u>		
No. of EH&S Deficiencies:	<u>1: 1 expired fire extinguisher</u>		
AFHMP:	<u>Not submitted</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>6/6 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Casa Cerrillos (La Madrugada)</u>	Total Home Units:	<u>9</u>
Inspection Date:	<u>4/26/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 5/5 files had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, not approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Closed: 07/15/2016</u>		

Property:	<u>Casa Rufina</u>	Total Home Units:	<u>5</u>
Inspection Date:	<u>4/21/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Satisfactory: 2/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, not approved</u>	Tenant Selection Plan in place:	<u>No</u>
Files:	<u>4/4 files had items noted</u>		
Review Closed:	<u>Closed: 8/4/2016</u>		

Property:	<u>Casamera</u>	Total Home Units:	<u>5</u>
Inspection Date:	<u>10/19/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 3/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>2: 1 utility closed used as storage; 1 blocked egress</u>		
AFHMP:	<u>Not submitted</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>2/5 files had items noted</u>		
Review Closed:	<u>Closed: 12/15/2016</u>		

Property:	<u>Chaco River II</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>4/19/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Satisfactory: 1/2 units had items noted; 2 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>1/3 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Chuska</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>6/15/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Below Average: 3/3 units had items noted; 1 common area item noted</u>		
No. of EH&S Deficiencies:	<u>2: 1 smoke detector inoperable; 1 smoke detector inoperable</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/3 files had items noted</u>		
Review Closed:	<u>Closed: 01/09/2017</u>		

Property:	<u>Cimmaron Apts</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>6/7/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 3/3 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/3 files had items noted</u>		
Review Closed:	<u>Closed: 09/14/2016</u>		

Property:	<u>Cielo De Oro</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>9/15/2016</u>	Home Units Inspected:	<u>2</u>
Physical Review Rating:	<u>Above Average: 0/2 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>2/2 files had items noted; plan of action to bring 3rd file in compliance</u>		
Review Closed:	<u>Closed: 10/31/2016</u>		

Property:	<u>Cliffside III</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>10/18/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Above Average: 3/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0 files had items noted</u>		
Review Closed:	<u>Closed: 12/19/2016</u>		

Property:	<u>Clovis Rental Properties</u>	Total Home Units:	<u>5</u>
Inspection Date:	<u>11/15/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 5/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>4: 2 inoperable smoke detector; 1 blocked egress; 1 exposed wires</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Cottonwood Commons (Alamogordo)</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>9/13/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 0/3 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>1/3 files had items noted</u>		
Review Closed:	<u>Closed: 12/28/2016</u>		

Property:	<u>Desert Sun Apartments II</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>10/6/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 1/3 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0 files had items noted</u>		
Review Closed:	<u>Closed: 12/10/2016</u>		

Property:	<u>Drexel House</u>	Total Home Units:	<u>2</u>
Inspection Date:	<u>4/20/2016</u>	Home Units Inspected:	<u>2</u>
Physical Review Rating:	<u>Satisfactory: 2/2 had items noted; 3 common area items noted</u>		
No. of EH&S Deficiencies:	<u>5: 2 missing smoke detectors; 2 expired fire extinguishers; 1 exposed wire</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>No</u>
Files:	<u>2/2 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>El Cerrito</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>9/28/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 1/3 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/3 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Franklin Vista V</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>6/8/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 2/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>2/5 files had items noted</u>		
Review Closed:	<u>Closed: 09/02/2016</u>		

Property:	<u>Gallinas Valley</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>10/4/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 2/6 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/3 files had items noted</u>		
Review Closed:	<u>Closed: 11/14/2016</u>		

Property:	<u>Hidden Valley Village</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>4/22/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Satisfactory: 4/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/4 files had items noted</u>		
Review Closed:	<u>Closed: 11/4/2016</u>		

Property:	<u>Hilltop</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>9/7/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 0 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/3 files had items noted</u>		
Review Closed:	<u>Closed: 09/27/2016</u>		

Property:	<u>Homeward Bound</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>4/26/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 3/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Not submitted</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/5 files had items noted</u>		
Review Closed:	<u>Closed: 07/25/2016</u>		

Property:	<u>Hotel Clovis Lofts</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>3/17/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Satisfactory: 3/3 units had items noted; 1 common area item noted</u>		
No. of EH&S Deficiencies:	<u>3: 3 blocked egress</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/3 files had items noted</u>		
Review Closed:	<u>Closed: 05/16/2016</u>		

Property:	<u>l'Sah'Din'Dii</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>9/13/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Satisfactory: 3/3 units had items noted: 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>No</u>
Files:	<u>2/3 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Kristin Park</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>10/4/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 0/3 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/3 files had items noted</u>		
Review Closed:	<u>Closed: 12/15/2016</u>		

Property:	<u>La Luz</u>	Total Home Units:	<u>9</u>
Inspection Date:	<u>8/4/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>REAC: 99a</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/5 file had items noted</u>		
Review Closed:	<u>Closed: 11/3/2016</u>		

Property:	<u>La Pradera</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>10/13/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Above Average: 1/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/4 files had items noted</u>		
Review Closed:	<u>Closed: 11/02/2016</u>		

Property:	<u>La Terraza (The Cannery)</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>4/6/2016</u>	Home Units Inspected:	<u>2</u>
Physical Review Rating:	<u>Above Average: 0/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0 files had items noted</u>		
Review Closed:	<u>Closed: 5/04/2016</u>		

Property:	<u>La Tierra</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>9/14/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 1/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/5 files had items noted</u>		
Review Closed:	<u>Closed: 11/18/2016</u>		

Property:	<u>La Villa Elena</u>	Total Home Units:	<u>8</u>
Inspection Date:	<u>3/29/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 5/5 units had items noted; 8 common area items noted</u>		
No. of EH&S Deficiencies:	<u>9: 6 expired fire extinguisher; 3 blocked egress</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/5 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Ladera Apartment Homes</u>	Total Home Units:	<u>11</u>
Inspection Date:	<u>9/14/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 3/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Closed: 01/22/2017</u>		

Property:	<u>Ladera Village</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>4/5/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 0/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/5 files had items noted</u>		
Review Closed:	<u>Closed: 04/05/2016</u>		

Property:	<u>Las Palomas</u>	Total Home Units:	<u>11</u>
Inspection Date:	<u>6/21/2016</u>	Home Units Inspected:	<u>9</u>
Physical Review Rating:	<u>Satisfactory: 2/9 units had items noted; 3 common area items noted</u>		
No. of EH&S Deficiencies:	<u>1: 1 expired fire extinguisher</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>No</u>
Files:	<u>5/9 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Lolomas</u>	Total Home Units:	<u>5</u>
Inspection Date:	<u>3/18/2016</u>	Home Units Inspected:	<u>2</u>
Physical Review Rating:	<u>Satisfactory: 1/2 units had items noted: 0 common areas items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>2/2 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Loma Parda</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>9/28/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 1/3 units had items noted; 0 common are items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/3 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Main Street Townhomes</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>11/16/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Satisfactory: 4/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>2: 2 blocked egress</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/5 files had items noted-property had 5 units designated as HOME</u>		
Review Closed:	<u>Closed: 01/13/2017</u>		

Property:	<u>Mariposa Village</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>10/5/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 3/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/5 files had items noted</u>		
Review Closed:	<u>Closed: 12/07/2016</u>		

Property:	<u>Mesa Del Norte Apartments</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>10/26/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Above Average: 1 unit had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/3 files had items noted</u>		
Review Closed:	<u>Closed: 01/17/2017</u>		

Property:	<u>Mesa Verde</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>8/12/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Below Average: 3/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>4: 4 expired fire extinguishers</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>No</u>
Files:	<u>4/5 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Mesa Village</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>4/5/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 4/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/5 files had items noted</u>		
Review Closed:	<u>Closed: 08/26/2016</u>		

Property:	<u>Mountain View-Deming</u>	Total Home Units:	<u>5</u>
Inspection Date:	<u>10/6/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Above Average: 2/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/4 files had items noted</u>		
Review Closed:	<u>Closed: 12/05/2016</u>		

Property:	<u>Mundo Ranch</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>4/21/2016</u>	Home Units Inspected:	<u>6</u>
Physical Review Rating:	<u>Satisfactory: 4/6 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>12: 5 inoperable smoke detectors; 2 exposed wires; 5 blocked egress</u>		
AFHMP:	<u>Not Submitted</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>6/6 files had items noted</u>		
Review Closed:	<u>Closed: 09/09/2016</u>		

Property:	<u>Otero Village</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>9/13/2016</u>	Home Units Inspected:	<u>1</u>
Physical Review Rating:	<u>Satisfactory: 0/1 units had items noted; 1 common area item noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/1 files had items noted</u>		
Review Closed:	<u>Closed: 01/24/2017</u>		

Property:	<u>Park Place (Casa Hermosa)</u>	Total Home Units:	<u>6</u>
Inspection Date:	<u>3/15/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 1/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>1: 1 blocked egress</u>		
AFHMP:	<u>Submitted, not approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/5 files had items noted</u>		
Review Closed:	<u>Closed: 07/09/2016</u>		

Property:	<u>Parkside Village</u>	Total Home Units:	<u>3</u>
Inspection Date:	<u>11/16/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Satisfactory: 3/3 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>4: 2 outlet cover missing; 2 utility closet used as storage</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/3 files had items noted</u>		
Review Closed:	<u>Closed: 01/13/2017</u>		

Property:	<u>Portales Special Needs</u>	Total Home Units:	<u>2</u>
Inspection Date:	<u>11/15/2016</u>	Home Units Inspected:	<u>2</u>
Physical Review Rating:	<u>Satisfactory: 2/2 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>2: 1 smoke detector inoperable; 1 expired fire extinguisher</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>2/2 files had items noted</u>		
Review Closed:	<u>Closed: 01/27/2017</u>		

Property:	<u>Rio Pecos Estates</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>11/4/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>REAC: 86b</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/4 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Roswell Summit</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>11/15/2016</u>	Home Units Inspected:	<u>1</u>
Physical Review Rating:	<u>REAC: Pending</u>		
No. of EH&S Deficiencies:	<u>REAC: Pending</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/1 files had items noted</u>		
Review Closed:	<u>Closed: 11/28/2016</u>		

Property:	<u>Ruth Visage</u>	Total Home Units:	<u>8</u>
Inspection Date:	<u>3/15/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 2/3 units had items noted; 2 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>No</u>
Files:	<u>4/5 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Sage</u>	Total Home Units:	<u>5</u>
Inspection Date:	<u>10/18/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 2/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>1: 1 expired fire extinguisher</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/5 files had items noted</u>		
Review Closed:	<u>Closed: 12/14/2016</u>		

Property:	<u>San Tierra (Cedar Creek)</u>	Total Home Units:	<u>5</u>
Inspection Date:	<u>6/7/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 4/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Closed 07/25/2016</u>		

Property:	<u>Santa Teresa Phase II</u>	Total Home Units:	<u>6</u>
Inspection Date:	<u>10/25/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 2/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Santa Teresa Phase III</u>	Total Home Units:	<u>6</u>
Inspection Date:	<u>10/25/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 0/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Sarah's House</u>	Total Home Units:	<u>1</u>
Inspection Date:	<u>4/20/2016</u>	Home Units Inspected:	<u>1</u>
Physical Review Rating:	<u>Below Average: 1/1 units had items noted</u>		
No. of EH&S Deficiencies:	<u>5: 3 blocked egress; 2 exposed wires</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>1/1 files had items noted</u>		
Review Closed:			

Property:	<u>Sedona Village</u>	Total Home Units:	<u>10</u>
Inspection Date:	<u>11/16/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 5/5 units had items noted; 1 common area item noted</u>		
No. of EH&S Deficiencies:	<u>6: 1 inoperable GFI; 1 inoperable smoke detector; 1 blocked egress; 1 utility closet used as storage; 2 outlet covers missing</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/5 files had items noted</u>		
Review Closed:	<u>Closed: 2/6/2017</u>		

Property:	<u>Silver Cliffs</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>7/28/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 5/6 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>4: 4 inoperable smoke detectors</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Closed: 09/14/2016</u>		

Property:	<u>Socorro Village</u>	Total Home Units:	<u>10</u>
Inspection Date:	<u>9/27/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 2/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>1: 1 inoperable smoke detector</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/5 files had items noted</u>		
Review Closed:	<u>Closed: 01/18/2017</u>		

Property:	<u>Southview Place</u>	Total Home Units:	<u>6</u>
Inspection Date:	<u>10/12/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 3/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>1/5 files had items noted</u>		
Review Closed:	<u>Closed: 12/02/2016</u>		

Property:	<u>Spicewood Canyon Villas</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>9/15/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Satisfactory: 2/3 units had items noted: 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/4 files had items noted</u>		
Review Closed:	<u>Closed: 11/13/2016</u>		

Property:	<u>Stage Coach Apartments</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>11/17/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Above Average: 2/4 units had items noted; 0 common area items</u>		
No. of EH&S Deficiencies:	<u>1: 1 blocked egress</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/4 files had items noted</u>		
Review Closed:	<u>Closed: 01/17/2017</u>		

Property:	<u>Sunset Hills</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>10/19/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Superior: 0/4 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>2/4 files had items noted</u>		
Review Closed:	<u>Closed: 12/14/2016</u>		

Property:	<u>Sunset View (Tres Santos)</u>	Total Home Units:	<u>8</u>
Inspection Date:	<u>8/11/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 2/5 units had items noted; 4 common area items noted</u>		
No. of EH&S Deficiencies:	<u>2: 2 expired fire extinguishers</u>		
AFHMP:	<u>Submitted, pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>5/5 files had items noted</u>		
Review Closed:	<u>Closed: 01/03/2017</u>		

Property:	<u>Taos Haus</u>	Total Home Units:	<u>2</u>
Inspection Date:	<u>9/28/2016</u>	Home Units Inspected:	<u>2</u>
Physical Review Rating:	<u>Superior: 2/2 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/2 files had items noted</u>		
Review Closed:			

Property:	<u>Tierra Encantada Apartments</u>	Total Home Units:	<u>15</u>
Inspection Date:	<u>6/8/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 3/5 units had files noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/5 files had items noted</u>		
Review Closed:	<u>Closed: 08/10/2016</u>		

Property:	<u>Tierra Montosa</u>	Total Home Units:	<u>6</u>
Inspection Date:	<u>9/27/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>REAC: 81b</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/3 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Tsigo Bugeh</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>12/28/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>REAC: 59c</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/4 files had items noted</u>		
Review Closed:			

Property:	Valle Verde II	Total Home Units:	4
Inspection Date:	10/26/2016	Home Units Inspected:	4
Physical Review Rating:	Satisfactory: 4/4 units had items noted; 0 common area items noted		
No. of EH&S Deficiencies:	0		
AFHMP:	N/A	Tenant Selection Plan in place:	Yes
Files:	0/4 files had items noted		
Review Closed:	Closed: 12/14/2016		

Property:	Villa Consuelo	Total Home Units:	55
Inspection Date:	8/4/2016	Home Units Inspected:	11
Physical Review Rating:	Satisfactory: 4/11 units had items noted; 0 common area items noted		
No. of EH&S Deficiencies:	2: 2 expired fire extinguishers		
AFHMP:	Not Submitted	Tenant Selection Plan in place:	Yes
Files:	11/11 files had items noted		
Review Closed:	Closed: 02/03/2017		

Property:	Villa De Gallup I	Total Home Units:	5
Inspection Date:	9/15/2016	Home Units Inspected:	5
Physical Review Rating:	Satisfactory: 4/5 units had items noted; 5 common area items noted		
No. of EH&S Deficiencies:	0		
AFHMP:	Approved	Tenant Selection Plan in place:	Yes
Files:	1/5 files had items noted		
Review Closed:	Closed: 01/05/2017		

Property:	Villa De Gallup II	Total Home Units:	7
Inspection Date:	9/14/2016	Home Units Inspected:	4
Physical Review Rating:	REAC: 83B		
No. of EH&S Deficiencies:	0		
AFHMP:	Approved	Tenant Selection Plan in place:	Yes
Files:	0/4 files had items noted		
Review Closed:	Closed: 12/15/2016		

Property:	Villa Del Norte	Total Home Units:	30
Inspection Date:	11/7/2016	Home Units Inspected:	7
Physical Review Rating:	REAC: 97b		
No. of EH&S Deficiencies:	0		
AFHMP:	Not Submitted	Tenant Selection Plan in place:	Yes
Files:	7/7 files had items noted		
Review Closed:	Closed: 12/07/2016		

Property:	<u>Villa Las Vegas</u>	Total Home Units:	<u>10</u>
Inspection Date:	<u>10/12/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 5/5 units had items noted; 1 common area items noted</u>		
No. of EH&S Deficiencies:	<u>5: 4 blocked egress; 1 exposed wire</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/5 files had items noted</u>		
Review Closed:	<u>Closed: 11/21/2016</u>		

Property:	<u>Villa Mentmore</u>	Total Home Units:	<u>9</u>
Inspection Date:	<u>10/18/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 3/5 units had items noted; 3 common area items noted</u>		
No. of EH&S Deficiencies:	<u>3: 2 inoperable smoke detector; 1 trip hazard on uneven ground</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/5 files had items noted</u>		
Review Closed:	<u>Closed: 12/14/2016</u>		

Property:	<u>Villa San Jose</u>	Total Home Units:	<u>10</u>
Inspection Date:	<u>10/24/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 2/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>1/5 files had items noted</u>		
Review Closed:	<u>Closed: 12/16/2016</u>		

Property:	<u>Vista Gallinas</u>	Total Home Units:	<u>7</u>
Inspection Date:	<u>10/12/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 3/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>3/5 files had items noted</u>		
Review Closed:	<u>Closed: 12/07/2016</u>		

Property:	<u>Village in the Bosque</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>12/12/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Above Average: 2/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>1: 1 blocked egress</u>		
AFHMP:	<u>Pending</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>1/5 files had items noted</u>		
Review Closed:	<u>Pending: complete response not yet received</u>		

Property:	<u>Vista Montano</u>	Total Home Units:	<u>8</u>
Inspection Date:	<u>9/27/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Satisfactory: 5/5 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Approved</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/5 files had items noted</u>		
Review Closed:	<u>Closed: 11/21/2016</u>		

Property:	<u>Walnut Street Courtyard Homes</u>	Total Home Units:	<u>6</u>
Inspection Date:	<u>8/9/2016</u>	Home Units Inspected:	<u>3</u>
Physical Review Rating:	<u>Satisfactory: 2/3 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Not Submitted</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>2/3 files had items noted</u>		
Review Closed:	<u>Closed: 01/04/2017</u>		

Property:	<u>Willow Bend Villas</u>	Total Home Units:	<u>4</u>
Inspection Date:	<u>3/15/2016</u>	Home Units Inspected:	<u>4</u>
Physical Review Rating:	<u>Satisfactory: 0 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>N/A</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>4/4 files had items noted</u>		
Review Closed:	<u>Closed: 05/09/2016</u>		

Property:	<u>Wilshire Gardens</u>	Total Home Units:	<u>6</u>
Inspection Date:	<u>8/10/2016</u>	Home Units Inspected:	<u>5</u>
Physical Review Rating:	<u>Above Average: 0 units had items noted; 0 common area items noted</u>		
No. of EH&S Deficiencies:	<u>0</u>		
AFHMP:	<u>Submitted, pending approval</u>	Tenant Selection Plan in place:	<u>Yes</u>
Files:	<u>0/0 files had items noted</u>		
Review Closed:	<u>Closed: 09/08/2016</u>		

Attachment F - HOME Program Income Uses 2016

PI Rcvd Date	Name/Subgrantee	IDIS #	Activity Type	Address	City	County	% Med	Hispanic? Y/N	Race	Female Head? Y/N	Head Disabled? Y/N	Amt. Disbursed	subtotal/notes
5/4/16	MFA Jan Admin	8838	ADM									5,279.21	
5/4/16	MFA Jan Admin	8838	ADM									5,724.82	
5/4/16	MFA Jan Admin	8838	ADM									6,348.95	
7/6/16	MFA Feb Admin	8838	ADM									6,161.44	
7/6/16	MFA Mar Admin	8838	ADM									9,953.21	
7/6/16	MFA Apr Admin	8838	ADM									14,490.80	
7/6/16	MFA May Admin	8838	ADM									8,487.95	
7/27/16	MFA Jun Admin	8838	ADM									4,221.92	
8/24/16	MFA Jul Admin	8838	ADM									109.68	
9/28/16	MFA Aug Admin	8838	ADM									4,059.91	
10/26/16	MFA Sept Admin	8838	ADM									6,552.75	
11/30/16	MFA Oct Admin	8838	ADM									6,221.32	
12/21/16	MFA Nov Admin	8838	ADM									6,869.55	79,202.30
1/13/16	Tierra del Sol Housing Corp	8778	HOR	505 Copper St.	Deming	Luna	1	Y	20	Y	N	270.11	
5/11/16	Tierra del Sol Housing Corp	8778	HOR									7,811.94	
5/11/16	Tierra del Sol Housing Corp	8779	HOR	128 E. Railway	Lordsburg	Hidalgo	3	Y	20	N	N	15,850.00	
7/13/16	Tierra del Sol Housing Corp	8779	HOR									7,827.40	
7/13/16	Tierra del Sol Housing Corp	8779	HOR									6,327.60	
2/3/16	White Sands HFH	8783	HOR	61 3rd Street	Boles Acres	Otero	1	N	11	Y	N	22,942.44	
1/13/16	White Sands HFH	8785	HOR	21 Battersby	Alamogordo	Otero	2	Y	11	Y	Y	27,417.82	IDIS shows funds as 2015
2/3/16	White Sands HFH	8785	HOR									5,043.84	
2/10/16	Native American Housing Consultants	8787	HOR	125 Juniper Valley Loop	Zia Pueblo	Sandoval	2	N	14	N	N	1,767.00	
1/13/16	Native American Housing Consultants	8788	HOR	21 Dove Road	Santa Ana Pueblo	Sandoval	2	N	14	N	N	23,835.44	HOME data base as 2016
2/3/16	Northern Pueblos	8799	HOR	9 Blue Corn Road	Santa Fe	Santa Fe	1	N	19	N	N	15,983.21	
2/3/16	Town of Silver City	8803	HOR	302 E. Broadway	Silver City	Grant	3	Y	11	N	N	11,404.05	
3/23/16	Ohkay Owingeh Housing Authority	8810	HOR	413 N. Yucca St.	Ohkay Owingeh Pueblo	Rio Arriba	3	N	14	N	N	55,452.97	
5/4/16	Native American Housing Consultants	8813	HOR	504 Juniper Lane	Zia Pueblo	Sandoval	2	N	14	N	N	35,181.44	
5/25/16	Bernalillo County Housing Department	8817	HOR	920 Ranchitos NW	Los Ranchos	Bernalillo	2	Y	11	Y	N	18,135.08	
6/1/16	White Sands HFH	8833	HOR	1100 Paradise	Alamogordo	Otero	2	Y	11	Y	N	4,740.65	
6/1/16	White Sands HFH	8833	HOR									18,060.78	
6/15/16	White Sands HFH	8833	HOR									12,296.91	
7/6/16	White Sands HFH	8834	HOR	149 Tulie Gate Road	Tularosa	Otero	1	N	11	Y	Y	1,564.65	
5/4/16	Santa Fe Habitat for Humanity, Inc.	8835	HOR	2115 Rancho Siringo Rd.	Santa Fe	Santa Fe	2	Y	20	Y	N	4,690.80	
6/7/16	Santa Fe Habitat for Humanity, Inc.	8835	HOR									12,577.00	
7/20/16	Southwestern Regional Housing & CDC	8839	HOR	212 N. Iowa	Columbus	Luna	2	Y	20	N	Y	15,172.40	
9/28/16	Southwestern Regional Housing & CDC	8839	HOR									22,758.92	
11/2/16	Bernalillo County Housing Department	8844	HOR	2448 Mares Rd. SW	Albuquerque	Bernalillo	1	Y	11	Y	N	41,923.41	
9/7/16	Northern Pueblos	8846	HOR	32 Jackrabbit Hill	Peñasco	Taos	1	N	19	N	N	7,279.25	
9/7/16	Northern Pueblos	8846	HOR									2,720.75	
9/7/16	Northern Pueblos	8847	HOR	Rt. 2 Box 72 TP	Santa Fe	Santa Fe	1	N	19	N	N	14,632.16	
9/28/16	Northern Pueblos	8847	HOR									36,215.84	
7/6/16	Southwestern Regional Housing & CDC	8848	HOR	1813 S. Santa Clara St.	Deming	Luna	3	Y	20	Y	N	13,201.56	
9/7/16	Southwestern Regional Housing & CDC	8848	HOR									29,260.00	

Attachment F - HOME Program Income Uses 2016

PI Rcvd Date	Name/Subgrantee	IDIS #	Activity Type	Address	City	County	% Med	Hispanic? Y/N	Race	Female Head? Y/N	Head Disabled? Y/N	Amt. Disbursed	subtotal/notes
6/1/16	San Felipe Pueblo Housing Authority	8849	HOR	27 Cactus Road	San Felipe Pueblo	Sandoval	2	N	14	Y	N	265.00	
6/7/16	San Felipe Pueblo Housing Authority	8849	HOR									515.66	
7/6/16	San Felipe Pueblo Housing Authority	8849	HOR									10,885.67	
6/1/16	San Felipe Pueblo Housing Authority	8850	HOR	29 Rainwater Road	San Felipe Pueblo	Sandoval	2	N	14	N	N	1,215.07	
6/7/16	San Felipe Pueblo Housing Authority	8850	HOR									543.64	
6/1/16	San Felipe Pueblo Housing Authority	8851	HOR	20 Lorenzo Road	San Felipe Pueblo	Sandoval	1	N	14	N	Y	1,379.46	
6/7/16	San Felipe Pueblo Housing Authority	8851	HOR									606.82	
6/1/16	San Felipe Pueblo Housing Authority	8852	HOR	22 Saint Phillips Road	San Felipe Pueblo	Sandoval	3	N	14	N	N	1,469.95	
6/7/16	San Felipe Pueblo Housing Authority	8852	HOR									704.62	
6/1/16	San Felipe Pueblo Housing Authority	8853	HOR	141 Black Mesa Road	San Felipe Pueblo	Sandoval	1	N	14	N	N	953.93	
6/7/16	San Felipe Pueblo Housing Authority	8853	HOR									802.71	
12/14/16	San Felipe Pueblo Housing Authority	8929	HOR	7 Black Mesa Road	San Felipe Pueblo	Sandoval	1	N	14	N	N	27,412.10	
12/14/16	San Felipe Pueblo Housing Authority	8930	HOR	5 St. James Road	San Felipe Pueblo	Sandoval	3	N	14	N	N	18,179.22	
7/13/16	Tierra del Sol Housing Corp	8855	HOR	415 W. 2nd	Lordsburg	Hidalgo	3	Y	11	N	Y	3,617.93	
9/7/16	Northern Pueblos	8859	HOR	67 TP 800	Santa Fe	Santa Fe	1	N	19	N	N	22,647.09	
9/28/16	Northern Pueblos	8859	HOR									28,000.00	
12/7/16	Native American Housing Consultants	8868	HOR	390 Broken Arrow	Cochiti Pueblo	Sandoval	3	N	14	Y	N	3,030.50	
12/14/16	Native American Housing Consultants	8868	HOR									7,652.02	
12/14/16	Native American Housing Consultants	8869	HOR	88 Elm Road	Cochiti Pueblo	Sandoval	2	N	14	Y	N	5,552.12	
11/2/16	Southwestern Regional Housing & CDC	8897	HOR	285 Monte Vista Rd.	Deming	Luna	2	Y	20	N	N	5,133.88	
11/2/16	Southwestern Regional Housing & CDC	8907	HOR	501 S. Calle Ricardo	Deming	Luna	1	Y	11	N	N	8,934.54	641,847.35
5/4/16	LBP MFA	8779	LEAD	128 E. Railway	Lordsburg	Hidalgo						15,949.16	These 3 are counted with subgrantee in IDIS
5/25/16	LBP MFA	8817	LEAD	920 Ranchitos NW	Los Ranchos	Bernalillo						1,191.99	
7/13/16	LBP MFA	8855	LEAD	415 W. 2nd	Lordsburg	Hidalgo						900.00	
4/5/16	Village in the Bosque	8344	REN	857 Calle Los Mayores	Bernalillo	Sandoval	See Attached Data - Rental Table					45,000.00	
4/6/16	Andalusia Housing LLLP	8345	REN	Connelly/2nd St.	Clovis	Curry	See Attached Data - Rental Table					44,578.87	
8/3/16	Washington Place Partners, LLLP	8812	REN	1405 E. Marland	Hobbs	Lea	See Attached Data - Rental Table					987.13	
8/3/16	Washington Place Partners, LLLP	8812	REN									61,500.00	152,066.00
5/18/16	Domingo LLLP	8843	REN*		Santo Domingo Pueblo	Sandoval	Not completed - no data yet					26,866.20	
6/3/16	Roselawn Manor	8858	REN*	800 S. Roselawn	Artesia	Eddy	Not completed - no data yet					25,000.00	51,866.20

Administration	ADM	14
Owner Occupied Rehabilitation	HOR	32
Lead Based Paint test/remediate	LBP	3
Rental projects completed in 2016	REN	3
Rental projects underway	REN*	2
		40

Household % of Med
1 – 0 to 30%
2 – 30+ to 50%
3 – 50+ to 60%
4 – 60+ to 80%

Household Race
11-White
12-Black or African American
13 – Asian
14 – American Indian or Alaska Native
15 – Native Hawaiian or Other Pacific Islander
16 – American Indian or Alaska Native & White
17 – Asian & White
18 – Black or African American & White
19 – American Indian or Alaska Native & Black or African American
20 – Other Multi Racial

Detail on rental **units** completed projects is attached as the Rental Table

Attachment F - HOME Program Income Usage for Rental Properties

Name/Subgrantee	IDIS #	Activity Type	Address	City	County	% Med	Hispanic? Y/N	Race	Female Head? Y/N	Head Disabled? Y/N
Village in the Bosque 4 UNITS	8344	REN	857 Calle Los Meyores	Bernalillo	Sandoval	2	Y	11	N	N
						2	Y	11	Y	N
						2	Y	11	N	N
						2	Y	20	Y	N
Andalusia Housing LLLP 3 UNITS	8345	REN	Connelly/2nd St.	Clovis	Curry	2	Y	11	Y	N
						2	N	11	N	N
						2	N	11	Y	N
Washington Place Partners, LLLP 4 UNITS	8812	REN	1405 E. Marland	Hobbs	Lea	1	Y	20	Y	N
						1	Y	11	Y	N
						1	Y	11	Y	N
						1	Y	12	N	N
						1	Y	11	Y	N
Domingo LLLP	8843	REN		Santo Domingo Pueblo	Sandoval	Not completed - no data yet				
Roselawn Manor	8858	REN	800 S. Roselawn	Artesia	Eddy	Not completed - no data yet				

ATTACHMENT G: *Monitoring Process*

MFA HOME, ESG and HOPWA Monitoring

MFA's **Community Development** staff conducts contract-based program compliance monitoring for HOME, ESG, HOPWA, NSP, and weatherization programs. Staff is highly skilled in monitoring all program requirements, including beneficiary income qualification, habitability standards, financial capability, adherence to program regulations, Davis/Bacon and environmental clearance procedures. The staff continues to increase skills by participating in program specific training provided by HUD, including passing scores in the Certified HOME Specialist - Regulations, Certified HOME Specialist - Administration and Certified HOME Specialist - Rental. MFA ensures that monitoring requirements are carried out in accordance with the HUD rules for HOME, ESG and HOPWA by using a database specifically designed for tracking monitoring visits. Risk assessments are conducted annually by the program managers, with monitoring schedules planned from the results of the assessments.

Program managers prepare and use risk assessments at the beginning of every program year, as a tool to develop their monitoring schedules for the next program year. These assessments help determine whether a service provider is a high, moderate, or low-risk agency. If an agency is rated high risk, an on-site monitoring visit will be scheduled. If an agency is rated moderate risk, a desk top financial audit will be conducted; if an agency is rated low risk, monitoring will be waived for the program year. Exceptions are HOME and DOE funded agencies which have to be monitored annually. Other issues (service provider organizational changes during year, etc.) that may surface and require an increase/decrease in monitoring levels will be documented in either the risk assessment file or the monitoring file and document(s).

MFA's **Asset Management** staff conducts long-term compliance monitoring for HOME-funded single family and multifamily rentals in accordance with the guidelines set out in 24 CFR Part 92, including income eligibility, certification and recertification, and habitability. The database assists in tracking the timeliness of those monitoring visits so that all monitoring frequency requirements are met. The on-site monitoring visits must occur within 12 months after project completion and at least once every 3 years thereafter during the period of affordability. Inspections must be based on a statistically valid sample of units appropriate for the size of the HOME-assisted project, as set forth by HUD through notice. For projects with one-to-four HOME-assisted units, participating jurisdiction must inspect 100 percent of the HOME-assisted units and the inspectable items (site, building exterior, building systems, and common areas) for each building housing HOME-assisted units. MFA staff notifies the project manager and owner at least two weeks in advance of a scheduled monitoring visit, providing a listing of the items for the audit. When staff arrives at the project, they will monitor, at a minimum, five tenant files and will perform physical inspections on the same units. Reports of the monitoring visit are sent to the manager and owner within 30 days of the monitoring, setting out all observations, concerns and findings, and providing information on how the results can be resolved.

The goal of the formal monitoring review is to ensure compliance with and provide technical assistance relating to federal regulations, state policies and program procedures. During the review, the following information is documented:

- Financial records/program income
- Tenant Selection Plan
- Marketing plan, procedures
- Tenant file reviews
- Physical Inspection

After each review, MFA provides letters to each manager and owner. The reports take the form of findings, concerns and observations of each project's operations. If necessary, remedial actions are part of the report, and must be addressed by the owner, in writing, to MFA within 30 days of the date of the letter. Remedies for the property nonperformance and noncompliance status include corrective and probationary action, suspension, and termination. Based on the review, MFA awards funding to entities that show successful capacity through adherence to federal regulations and MFA policies and procedures.

DFA CDBG Monitoring

All CDBG grantees are required to be monitored once a year for the life of the project; each CDBG project has two years to be completed. CDBG staff conducts both interim monitoring and close-out monitoring to ensure that all federal and state requirements are being followed.

DFA staff monitored grantees for compliance with the CDBG program requirements, conducting both desk and on site monitoring reviews. Desk and site reviews involve examining information and materials provided by grantees and reviewing progress alongside the project timeline. Staff use detailed monitoring checklists to determine if there are any concerns and/or findings that must be addressed by the grantee to ensure successful project completion. Staff review numerous processes such as environmental assessment, professional services and construction contracting, financial management and timely requests for payment. Compliance with federal requirements for Citizen Participation, Section 3, Minority Business Outreach, Fair Housing and Anti-displacement and Relocation, and Equal Employment Opportunity are insured. Quarterly progress reports signed by the grantee's local elected official provide an overall update on the project's year-to-date performance. On site reviews include a comprehensive monitoring of overall program administration, a review of the project files, and verification of work at the project site.

DFA staff provides five basic elements to assist in monitoring visits. The steps taken to conduct on site monitoring visits are:

1. Grantees are notified in writing the purpose of the visit, when the planned visit will happen, what time the visit will happen and what areas will be covered during the visit.
2. An entrance conference is usually scheduled with the chief elected official (of the grantee) and DFA to provide a clear understanding of the purpose of the monitoring.
3. All necessary documentation is reviewed using CDBG monitoring checklists (provided to grantees at implementation) in order to gather information to be included in the monitoring letter.
4. An exit conference is held at the end of the monitoring visit to discuss the results of the monitoring. Grantees are given 10 days to provide DFA with documentation that may not have been provided during the monitoring visit.
5. Within 45 days, DFA provides grantees with a monitoring letter of what was found during the review. Occasionally, monitoring will result in determining that certain activities or absence of certain activities will raise an issue of concern or violate program or statutory requirements for which a finding or concern is issued. A concern is defined as a deficiency in program performance not based on statutory, regulatory or other program requirements. A finding is defined as a deficiency in program performance based on statutory, regulatory or program requirements for which corrective actions are required.

Depending on the results of the monitoring, DFA takes appropriate actions when performance problems arise. There are three stages of intervention that DFA conducts depending on the seriousness of the problem.

1. Low-level intervention, DFA may implement all or some of the following items: identify problem areas, which may require corrective actions, plan a strategy with grantees that include technical assistance or training, or require more frequent monitoring.
2. Moderate-level intervention, DFA may implement all or some of the following items: restrict payment, disallow certain expenses or require repayment of funding, or require probationary status.
3. High-level intervention, DFA may implement all or some of the following items: temporarily suspend the grantee from participating in the CDBG program, request the community hire a grants administrator, terminate grantee for the current program year, or require legal action.

In order to ensure projects are completed according to all CDBG and NSP requirements, any findings and concerns identified during monitoring must be cleared by DFA in order for grantee to apply for funds in the future.

Attachment H: Public Notice Publication Certifications

SANTA FE NEW MEXICAN

Founded 1849

NM MORTGAGE FINANCE AUTHORITY.
344 4TH ST SW
ALBUQUERQUE, NM 87102

ACCOUNT: 2041
AD NUMBER: 0000190606
LEGAL NO 82227 P.O. #:
1 TIME(S) 397.60
AFFIDAVIT 10.00
TAX 33.88
TOTAL 441.48

AFFIDAVIT OF PUBLICATION

STATE OF NEW MEXICO
COUNTY OF SANTA FE

I, W. Barnard, being first duly sworn declare and say that I am Legal Advertising Representative of THE SANTA FE NEW MEXICAN, a daily newspaper published in the English language, and having a general circulation in the Counties of Santa Fe, Rio Arriba, San Miguel, and Los Alamos, State of New Mexico and being a newspaper duly qualified to publish legal notices and advertisements under the provisions of Chapter 167 on Session Laws of 1937; that the Legal No 82227 a copy of which is hereto attached was published in said newspaper 1 day(s) between 02/27/2017 and 02/27/2017 and that the notice was published in the newspaper proper and not in any supplement; the first date of publication being on the 27th day of February, 2017 and that the undersigned has personal knowledge of the matter and things set forth in this affidavit.

/S/

LEGAL ADVERTISEMENT REPRESENTATIVE

Subscribed and sworn to before me on this 28th day of February, 2017

Notary

Commission Expires:

10/25/20



SANTA FE NEW MEXICAN

For Mexico 2016 Consolidated Annual Performance and Evaluation Report (CAPER). The U.S. Department of Housing and Urban Development requires MFA and the Local Government Division of DFA to report on activities undertaken during the previous program year using the state's formula funding allocations. Such federal funding includes the following programs; HOME Investment Partnerships (HOME), Community Development Block Grant (CDBG), Emergency Solutions Grants (ESG), Housing Opportunities for Persons with AIDS (HOPWA) and the National Housing Trust Fund (NHTF). The HOME, ESG, HOPWA and NHTF programs are administered by the MFA and the CDBG program is administered by DFA.

Beginning March 1, 2017, a copy of the draft 2016 CAPER can be found on MFA's web page at <http://www.housingm.org/resources/caper>. If you are unable to download the Action Plan, please contact the Mortgage Finance Authority to request a copy (505-843-6880) or toll free 1-800-444-6880. If you are an individual with a disability who is in need of a reader, amplifier, qualified sign language interpreter, or any other form of auxiliary aid or service, please contact the person below. The draft 2016 CAPER may be provided in alternative accessible formats (i.e., Braille/large print, audio tape) for the disabled and may also be translated into Spanish for limited-English proficient (LEP) Spanish speaking persons upon request. Dial 7-1-1 to use Hamilton Relay in New Mexico or call one of the toll free numbers: TTY: 800-659-8331, Voice: 800-659-1779, VCO (Voice Carry Over): 877-659-4174, Mobile Caption Service: 800-855-8111, Speech-to-Speech: 888-659-3952, Spanish: 800-327-1857 (includes Spanish-to-Spanish and translation from English to Spanish).

Citizens, interested agencies, and for-profit and non-profit organizations may review the CAPER and provide comments

Desempeño y Evaluación de 2016 de Nuevo México (CAPER por sus siglas en inglés). Esta financiación federal incluye los siguientes programas: Colaboraciones para Invertir en Casas (HOME por sus siglas en inglés), Subvención en Bloque para el Desarrollo de la Comunidad (CDBG por sus siglas en inglés), Subvención para Soluciones de Urgencia (ESG por sus siglas en inglés), Oportunidades de Vivienda para Personas con SIDA (HOPWA por sus siglas en inglés) y Fondo Fiduciario Nacional para la Vivienda (NHTF por sus siglas en inglés). Los programas HOME, ESG, HOPWA y NHTF son administrados por la MFA, y el programa CDBG es administrado por la DFA.

Empezando el 1 de marzo 2017, una copia del borrador del CAPER 2016 está disponible en la página web de la MFA en <http://www.housingm.org/resources/caper>. Si Ud. no puede descargar el CAPER 2016, favor de ponerse en contacto con la Autoridad Financiera Hipotecaria para pedir una copia (505-843-6880 o sin cargo 1-800-444-6880). Si Ud. tiene una discapacidad y necesita un lector, un amplificador, un intérprete calificado de la lengua de señas, u otro tipo de ayuda o servicio, haga el favor de ponerse en contacto con la persona cuyo nombre aparece a continuación. El borrador del CAPER 2016 se podrá proveer en otros formatos (es decir, Braille/letra grande, cinta de audio) para personas discapacitadas y también, al ser pedido, puede ser traducido al español para personas de habla hispana que no hablen inglés (LEP por sus siglas en inglés). Marque el 7-1-1 para usar el 'Hamilton Relay' en Nuevo México o llame a uno de los números sin cargo: TTY: 800-659-8331, Voz ('Voice' en inglés) 800-659-1779, VCO ('Voice Carry Over' en inglés): 877-659-4174. Servicio

rior para participantes que no hablen inglés y personas con discapacidades llamando al 505-843-6880. Todas las instalaciones son accesibles para silla de ruedas.

Published in the Santa Fe New Mexican on February 27, 2017.

LEGAL #82227

Public Notice Draft 2016 New Mexico Consolidated Annual Performance and Evaluation Report

The New Mexico Mortgage Finance Authority (MFA) and the Department of Finance and Administration, Local Government Division (DFA) are seeking public comment on the DRAFT State of New

Continued...

Santa

numbers: TTY: 800-659-8331, Voice: 800-659-1779, VCO (Voice Carry Over): 877-659-4174, Mobile Caption Service: 800-855-8111, Speech-to-Speech: 888-659-3952, Spanish: 800-327-1857 (Includes Spanish-to-Spanish and translation from English to Spanish).

Santa

Citizens, interested agencies, and for-profit and non-profit organizations may review the CAPER and provide comments during a fifteen-day public comment period, which begins on March 1, 2017, and will end at 5:00 p.m. MST, March 16, 2017. A public hearing on the draft 2016 CAPER will be held on March 10, 2017 at 10:00 am in the Board Room of the New Mexico Mortgage Finance Authority. Written comments and/or questions may be directed to Debbie Davis. Phone: (505) 843-6880 or toll free 1-800-444-6880 or Fax: (505) 243-3289 or e-mail: ddavis@housingnm.org. Mail: New Mexico Mortgage Finance Authority, 344 Fourth St. SW, Albuquerque, NM 87102. After receipt of public comments, MFA staff will prepare a summary of all comments received in writing and, in cases where any citizens' views are not accepted, provide reasons for the decision. This documentation will be attached to the CAPER, which will be available to the public and submitted to HUD on or before March 30, 2017.

Accommodations can be made within 48 hours' notice for non-English speaking participants and individuals with disabilities by calling 505-843-6880. All facilities are wheelchair accessible.

**Aviso Pública
Borrador
2016 Informe Anual
Consolidado de
Desempeño y
Evaluación**

La Autoridad Financiera Hipotecaria de Nuevo México (MFA por sus siglas en inglés) y el Departamento de Finanzas y Administración, División Local Gubernamental (DFA por sus siglas en inglés) están solicitando comentarios del público sobre el BORRADOR del Informe Anual Consolidado de

personas discapacitadas y también, al ser pedido, puede ser traducido al español para personas de habla hispana que no hablen inglés (LEP por sus siglas en inglés). Marque el 7-1-1 para usar el 'Hamilton Relay' en Nuevo México o llame a uno de los números sin cargo: TTY: 800-659-8331, Voz ('Voice' en inglés) 800-659-1779, VCO ('Voice Carry Over' en inglés): 877-659-4174, Servicio Móvil de Poner Leyenda ('Mobile Caption Service' en inglés): 800-855-8111, Palabra a Palabra ('Speech-to-Speech' en inglés): 888-659-3952, Español: 800-327-1857 (Incluye español-a-español y traducción del inglés al español).

Ciudadanos, agencias interesadas, y organizaciones con y sin fines de lucro pueden revisar el CAPER 2016 y presentar comentarios durante un plazo de quince días para comentarios del público, que empieza el 1 de marzo 2017 y terminará a las 5:00 de la tarde MST 16 de marzo 2017. Una audiencia pública sobre el borrador de CAPER 2016 se llevará a cabo el 10 de marzo de 2017 a las 10:00 am en la sala de juntas de New Mexico Mortgage Finance Authority. Comentarios escritos y/o preguntas pueden ser enviados a Debbie Davis. Teléfono: (505) 843-6880 o sin cargo 1-800-444-6880 o por fax: (505) 243-3289 o por correo electrónico: ddavis@housingnm.org. Correo: New Mexico Mortgage Finance Authority, 344 Fourth St., SW, Albuquerque, NM 87102. Después de recibir los comentarios públicos, el personal de la MFA preparará un resumen de todos los comentarios recibidos en forma escrita, y en el caso en el cual el comentario de un ciudadano no sea aceptado, se proveerá motivos para la decisión. Esta documentación será adjuntada a la enmienda principal, que será disponible al público y sometida al HUD (Departamento Federal de Vivienda y Desarrollo Urbano) antes o en la fecha del 30 de marzo 2017.

Se podrá hacer arreglos con 48 horas de notificación ante-

a Fe, NM 87504-2048

Continued...

Continued...

Public Notice
Draft 2016 New Mexico Consolidated Annual Performance and Evaluation Report

The New Mexico Mortgage Finance Authority (MFA) and the Department of Finance and Administration, Local Government Division (DFA) are seeking public comment on the DRAFT State of New Mexico 2016 Consolidated Annual Performance and Evaluation Report (CAPER). The U.S. Department of Housing and Urban Development requires MFA and the Local Government Division of DFA to report on activities undertaken during the previous program year using the state's formula funding allocations. Such federal funding includes the following programs: HOME Investment Partnerships (HOME), Community Development Block Grant (CDBG), Emergency Solutions Grants (ESG), Housing Opportunities for Persons with AIDS (HOPWA) and the National Housing Trust Fund (NHTF). The HOME, ESG, HOPWA and NHTF programs are administered by the MFA and the CDBG program is administered by DFA.

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Accommodations can be made within 48 hours' notice for no-

AFFIDAVIT OF PUBLICATION

STATE OF NEW MEXICO

County of Bernalillo SS

Bernadette Gonzales, the under signed, on oath states that she is an authorized Representative of The Albuquerque Journal, and that this newspaper is duly qualified to publish legal notices or advertisements within the meaning of Section 3, Chapter 167, Session Laws of 1937, and that payment therefore has been made of assessed as court cost; that the notice, copy of which hereto attached, was published in said paper in the regular daily edition, for 1 time(s) on the following date(s):

02/26/2017

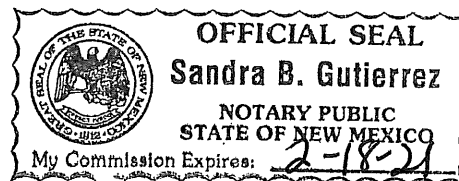


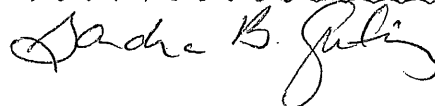
Sworn and subscribed before me, a Notary Public, in and for the County of Bernalillo and State of New Mexico this
26 day of February of 2017

PRICE \$163.61

Statement to come at the end of month.

ACCOUNT NUMBER 1011094





Comments are not accepted, provide reasons for the decision. This documentation will be attached to the CAPER, which will be available to the public and submitted to HUD on or before March 30, 2017.

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Aviso Pública
Borrador
2016 Informe Anual Consolidado
de Desempeño y Evaluación

La Autoridad Financiera Hipotecaria de Nuevo México (MFA por sus siglas en inglés) y el Departamento de Finanzas y Administración, División Local Gubernamental (DFA por sus siglas en inglés) están solicitando comentarios del público sobre el BORRADOR del Informe Anual Consolidado de Desempeño y Evaluación de 2016 de Nuevo México (CAPER por sus siglas

en inglés). Esta financiación federal incluye los siguientes programas: Colaboraciones para Invertir en Casas (HOME por sus siglas en inglés), Subvención en Bloque para el Desarrollo de la Comunidad (CDBG por sus siglas en inglés), Subvención para Soluciones de Urgencia (ESG por sus siglas en inglés), Oportunidades de Vivienda para Personas con SIDA (HOPWA por sus siglas en inglés) y Fondo Fiduciario Nacional para la Vivienda (NHTF por sus siglas en inglés). Los programas HOME, ESG, HOPWA y NHTF son administrados por la MFA, y el programa CDBG es administrado por la DFA.

Empezando el 1 de marzo 2017, una copia del borrador del CAPER 2016 está disponible en la página web de la MFA en <http://www.housingnm.org/resources/caper>. Si Ud. no puede descargar el CAPER 2016, favor de ponerse en contacto con la Autoridad Financiera Hipotecaria para pedir una copia (505-843-6880 o sin cargo 1-800-444-6880). Si Ud. tiene una discapacidad y necesita un lector, un amplificador, un intérprete calificado de la lengua de señas, u otro tipo de ayuda o servicio, haga el favor de ponerse en contacto con la persona cuyo nombre aparece a continuación. El borrador del CAPER 2016 se podrá proveer en otros formatos (es decir, Braille/letra grande, cinta de audio) para personas discapacitadas y también, al ser pedido, puede ser traducido al español para personas de habla hispana que no hablen inglés (LEP por sus siglas en inglés). Marque el 7-1-1 para usar el 'Hamilton Relay' en Nuevo México o llame a uno de los números sin cargo: TTY: 800-659-8331, Voz ('Voice' en inglés) 800-659-1779, VCO ('Voice Carry Over' en inglés): 877-659-4174, Servicio Móvil de Poner Leyenda ('Mobile Caption Service' en inglés): 800-855-8111, Palabra a Palabra ('Speech-to-Speech' en inglés): 888-659-3952, Español: 800-327-1857 (Incluye español-a-español y traducción del inglés al español).

Ciudadanos, agencias interesadas, y organizaciones con y sin fines de lucro pueden revisar el CAPER 2016 y presentar comentarios durante un plazo de quince días para comentarios del público, que empieza el 1 de marzo 2017 y terminará a las 5:00 de la tarde MST 16 de marzo 2017. Una audiencia pública sobre el borrador de CAPER 2016 se llevará a cabo el 10 de marzo de 2017 a las 10:00 am en la sala de Juntas de New Mexico Mortgage Finance Association.

por sus siglas en inglés) y unidades de Vivienda para Personas con SIDA (HOPWA por sus siglas en inglés) y Fondo Fiduciario Nacional para la Vivienda (NHTF por sus siglas en inglés). Los programas HOME, ESG, HOPWA y NHTF son administrados por la MFA, y el programa CDBG es administrado por la DFA.

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Se podrá hacer arreglos con 48 horas de notificación anterior para participantes que no hablen inglés y personas con discapacidades llamando al 505-843-6880. Todas las instalaciones son accesibles para silla de ruedas.
Journal: February 26, 2017

Attachment I – HOPWA CAPER



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

Revised 3/3/17

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

Table of Contents

PART 1: Grantee Executive Summary

1. Grantee Information
2. Project Sponsor Information
3. Administrative Subrecipient Information
4. Program Subrecipient Information
5. Grantee Narrative and Performance Assessment
 - a. Grantee and Community Overview
 - b. Annual Performance under the Action Plan
 - c. Barriers or Trends Overview
 - d. Assessment of Unmet Housing Needs

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

PART 3: Accomplishment Data: Planned Goals and Actual Outputs

PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department's standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran's Information, and Children's Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference*.

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number NMH16F999		Operating Year for this report From (mm/dd/yy) 01/01/2016 To (mm/dd/yy) 12/31/2016		
Grantee Name New Mexico Mortgage Finance Authority				
Business Address	344 Fourth Street SW			
City, County, State, Zip	Albuquerque	Bernalillo County	NM	87102
Employer Identification Number (EIN) or Tax Identification Number (TIN)	85-0252748			
DUN & Bradstreet Number (DUNs):	08-321-4742	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, provide CCR Number:		
Congressional District of Grantee's Business Address	NM1			
*Congressional District of Primary Service Area(s)	NM1 NM2 NM3			
*City(ies) and County(ies) of Primary Service Area(s)	Cities: Albuquerque, Santa Fe, Las Cruces, Roswell, Farmington		Counties: Bernalillo, Santa Fe, Doña Ana, Chaves, San Juan	
Organization's Website Address www.housingnm.org	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.			

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name New Mexico AIDS Services		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency	Lacey Nagar, Housing and Program Manager		
Email Address	LNagar@nmas.net		
Business Address	625 Truman St. NE		
City, County, State, Zip,	Albuquerque, Bernalillo County, NM 87110		
Phone Number (with area code)	505-938-7125		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	85-0335085	Fax Number (with area code) 505-938-7101	
DUN & Bradstreet Number (DUNs):	018218452		
Congressional District of Project Sponsor's Business Address	NM1		
Congressional District(s) of Primary Service Area(s)	NM1		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Albuquerque, Gallup, Farmington, Rio Rancho, Los Lunas	Counties: Bernalillo, McKinley, San Juan, Sandoval, Valencia	
Total HOPWA contract amount for this Organization for the operating year	\$387,780.16		
Organization's Website Address	www.nmas.net		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name Southwest CARE Center		Parent Company Name, if applicable	
Name and Title of Contact at Project Sponsor Agency		Eric Garrison, Director of Finance, Planning, and Analysis	
Email Address		egarrison@southwestcare.org	
Business Address		810 W San Mateo Road, Suite 202	
City, County, State, Zip,		Santa Fe, Santa Fe County, NM 87505	
Phone Number (with area code)		505-989-8200	505-216-0327
Employer Identification Number (EIN) or Tax Identification Number (TIN)		85-0397444	Fax Number (with area code) 505-216-1391
DUN & Bradstreet Number (DUNs):		927493556	
Congressional District of Project Sponsor's Business Address		NM3	
Congressional District(s) of Primary Service Area(s)		NM2 and NM3	
City(ies) <u>and</u> County(ies) of Primary Service Area(s)		Cities: Santa Fe, Española, Taos, Las Vegas, Los Alamos, Las Cruces, Roswell	Counties: Santa Fe, Rio Arriba, Taos, San Miguel, Los Alamos, Doña Ana, Chaves
Total HOPWA contract amount for this Organization for the operating year		\$219,594.07	
Organization's Website Address		www.SouthwestCARE.org	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	N/A			Parent Company Name, if applicable	
Name and Title of Contact at Subrecipient					
Email Address					
Business Address					
City, State, Zip, County					
Phone Number (with area code)				Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)					
DUN & Bradstreet Number (DUNs):					
North American Industry Classification System (NAICS) Code					
Congressional District of Subrecipient's Business Address					
Congressional District of Primary Service Area					
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:			Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year					

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	N/A			Parent Company Name, if applicable	
Name and Title of Contact at Contractor/ Sub-contractor Agency					
Email Address					
Business Address					
City, County, State, Zip					
Phone Number (include area code)				Fax Number (include area code)	
Employer Identification Number (EIN) or Tax Identification Number (TIN)					
DUN & Bradstreet Number (DUNs)					
North American Industry Classification System (NAICS) Code					
Congressional District of the Sub-recipient's Business Address					
Congressional District(s) of Primary Service Area					
City(ies) and County(ies) of Primary Service Area	Cities:			Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year					

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

The State of New Mexico HOPWA grant is a formula grant program based on a renewable funding source pursuant to the number of AIDS diagnoses, as reported to the Center for Disease Control (CDC), by the State of New Mexico. There are two (2) HOPWA formula grants: (1) Balance of State and (2) City of Albuquerque.

Since 1997, MFA has administered the HOPWA program statewide. Beginning in fiscal year 2011, the City of Albuquerque reported enough HIV/AIDS cases to qualify for their own, separate HOPWA entitlement grant from HUD. MFA has been administering the City of Albuquerque grant since 2011.

MFA supports HUD's primary goals for the HOPWA program including housing stability, reducing the risk of homelessness and increased access to HIV treatment and other healthcare. New Mexico HOPWA Project Sponsors provide the following HOPWA activities:

- Tenant Based Rental Assistance (TBRA)
- Short Term Rental Mortgage Utility Assistance (STRMU)
- Permanent Housing Placement (PHP)
- Supportive Services
 - Case management
 - Health and medical services, as approved
- Administrative expenses
 - 3% for the Grantee (MFA)
 - 7% for Project Sponsors

In 2016, MFA exercised a contract renewal option from the 2014 request for proposal (RFP) to renew the two HOPWA project sponsors. New Mexico AIDS Services (NMAS) and Southwest CARE Center (SCC) were awarded HOPWA funding. New Mexico AIDS Services provides HOPWA assistance to the Albuquerque Metropolitan area, Farmington and parts of rural areas in northwest New Mexico. Southwest CARE Center provides HOPWA assistance primarily in Santa Fe, northern New Mexico, Roswell and Las Cruces.

The success of New Mexico's HOPWA program is contributed to the hard work and commitment of the program managers at New Mexico AIDS Services and Southwest CARE Center. HOPWA program contacts:
Lacey Nagar, Housing and Program Manager, New Mexico AIDS Services, LNagar@nmas.net or 505-938-7125
Eric Garrison, Director of Finance, Planning, and Analysis, Southwest CARE Center, egarrison@southwestcare.org or 505-989-8200.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

During the calendar year 2016, MFA and our project sponsors served a total of 240 individuals - 174 HOPWA eligible clients and 66 other beneficiaries.

The primary accomplishment for the New Mexico HOPWA program is the significant increase in housing stability by placing more HOPWA clients and their families on TBRA assistance. New Mexico AIDS Services transitioned a few additional TBRA clients. Southwest CARE Center worked to transition TBRA clients in the Santa Fe area, and has worked with Alianza, a partner organization, to transition clients in the Roswell and Las Cruces areas. Over the past couple of years, New Mexico project sponsors have significantly increased housing stability.

The primary challenge to providing HOPWA funds statewide is finding quality affordable housing. Rural areas are particularly affected by this challenge as Fair Market Rents are too low for the market rate rents in the area. Detail on these challenges are outlined in the Barriers and Trends Overview Section, on the following page.

In the State of New Mexico Consolidated Plan for 2015-2019, MFA identifies a goal to expand housing opportunities and access for special needs populations including persons with HIV/AIDS. MFA met this goal by providing HOPWA funding to two non-profit organizations to administer housing and related services to persons with HIV/AIDS. These agencies work throughout the state to house HOPWA eligible clients and stabilize their housing situation.

HOPWA funds were distributed throughout New Mexico. Housing assistance comprises 81% of HOPWA funds expended which include TBRA, STRMU and Permanent Housing Placement activities. Supportive services, primarily case management, comprised of 10% of HOPWA funds expended. The remaining funds were used for administrative expenses which consists 9% of total expenditures (2% for MFA and 7% for program sponsors).

Utilizing the HOPWA Program, NMAS assisted 104 clients with housing assistance in 2016. NMAS has transitioned most of its HOPWA funding to TBRA as there were many households accessing funds more than once in a fiscal year.

In 2016, Southwest CARE Center (SCC) assisted 67 clients with HOPWA. SCC provides most clients with STRMU. In addition, PHP has been able to serve on an emergency basis to keep clients in their homes and prevent eviction. In 2016 SCC enrolled 6 new clients in TBRA and plans to continue enrolling more clients into the program in 2017. SCC's top challenges are finding appropriate housing that is affordable, passes inspection, and meets Fair Market Rent (FMR). In addition, Housing Choice Voucher programs have long waitlists, up to three years. Some rural parts of New Mexico do not have any vouchers available at all.

2. Outcomes Assessed. Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

The project sponsors met the goals established in coordination with MFA. In 2016, both agencies maintained the number of clients receiving TBRA to stabilize housing for many clients. When a client is on TBRA, they meet with their case managers who are able to provide the necessary resources and support to assist with maintaining stable housing which improves health outcomes, as well.

NMAS's current rate of homelessness is less than 1%. In 2016, the majority of HOPWA funds were spent on TBRA, enabling clients to maintain safe and stable housing. NMAS case managers work with other agency case workers to provide more support to clients regarding housing, medical needs, and other basic needs. This allows NMAS to provide the necessary resources to assist the client in continuing to have stable housing and improved health. NMAS found that the majority of clients who received intensive case

management improved on their budgeting skills which allowed them to pay their rent and utilities on time which in turn, helped them to maintain stable housing. Case managers also work closely with clients to help them improve their other basic needs, including, affording utilities, transportation, food and others.

In 2015, NMAS also began its Project SEEK classes which clients receiving TBRA funds are required to attend. NMAS provides classes once per month on topics that are helpful to the self-sufficiency of clients. Class topics have included: resume writing, interview skills, housing searches, ticket to work, healthy and affordable eating, etc.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

NMAS' primary goal is to ensure all emergency housing needs are met. If HOPWA funds are not appropriate for a client's specific need, NMAS is able to provide assistance through the City of Albuquerque's Transitional Housing Program. NMAS case managers also provide referrals to various community housing resources, as needed. NMAS' Housing and Program Manager also attends a monthly meeting put on by the New Mexico Coalition to End Homelessness. This allows for networking and sharing of information between NMAS and other housing agencies.

SCC coordinates funds with the housing programs provided by the Santa Fe Community Housing Trust for the Shelter Plus Care program and the New Mexico Department of Health emergency housing funds. This prevents duplication of services and allows more clients to be served.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

NMAS has not had any issues requiring technical assistance. Natalie Michelback, MFA program manager and Ben Ofoma, HMIS program manager are very helpful when issues arise.

SCC has not had any issues requiring technical assistance. Difficulties in previous years have largely been resolved with the assistance of Natalie Michelback and Ben Ofoma.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Housing Affordability/Rent Determination / Fair Market Rents

There are several parts of the state including Farmington, Gallup and Roswell, where project sponsors have difficulty finding units that meet the HOPWA TBRA unit requirements such as Fair Market Rent, Housing Quality Standards and Rent Reasonableness. There are several areas in the state where market rents are higher than the FMR standard. In turn, the HOPWA funds in these areas are primarily used for emergency assistance rather than contributing to long-term housing stability. MFA has the authority to grant FMR exceptions to allow for rental assistance 10% higher than the FMR amount for up to 20% of the units assisted. While this exception is helpful, it does not solve the low FMR issue in

rural areas. NMAS works diligently to form relationships with landlords in those areas in order to access the most affordable, safe and stable housing.

Credit History / Rental History / Criminal History

Many NMAS clients have been evicted for non-payment of rent, owe money to creditors, have a poor credit history, or have these things in combination with a criminal history. This further limits the rental properties available to this population. NMAS continues to work with clients on budgeting in order to minimize this issue. NMAS also works with landlords to better understand what can be done to get clients approved into a new unit or to keep a client in their existing home. NMAS has developed relationships with several landlords who are willing to be more lenient on these issues.

<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input checked="" type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

Geographical / Rural Access

New Mexico is a rural state with few housing service providers in rural areas. There are very few housing opportunities, especially for those clients who are living on a reservation.

Reporting Requirements

N/A

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

NMAS reports their clients have difficulty finding employment. Many NMAS clients have been on disability for many years and look into part time employment for a little extra income to afford rent and other necessary items. There is a lack of jobs available in the community in general and especially for those with special needs. Many clients are also lacking education and training which would allow them to find gainful employment. NMAS refers many clients to organizations such as Goodwill and DVR that help to train and find employment for individuals with work limitations.

Since Southwest CARE Center is a comprehensive center of care for HIV+ persons, the clients are in close contact with their case manager who participates in a multi-disciplinary team that work with clients to access community resources. Clients still have difficulty finding employment, especially in rural areas. Clients also have difficulty finding affordable housing that will pass inspection.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The HOPWA CAPER is available to the public for review.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	127
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	65
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	62
• Assistance with rental costs	26
• Assistance with mortgage payments	12
• Assistance with utility costs.	24
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	0

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
X	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	\$5,500	Emergency Housing Utilities	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: City of Albuquerque	\$27,510	Emergency, Transitional Housing	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	\$33,010		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	0
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	0

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	83	83	0	0	\$367,694.15	\$367,694.15
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	0	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0	0	0	0	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0	0	0	0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	83	83	0	0	\$65,059.25	\$65,059.25
5.	Permanent Housing Placement Services	21	21	0	0	\$17,484.34	\$17,484.34
6.	Adjustments for duplication (subtract)	13	13	0	0		
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	174	174	0	0	\$450,237.74	\$450,237.74
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)	0	0	0	0	0	0
9.	Stewardship Units subject to 3 or 10 year use agreements	0	0				
10.	Total Housing Developed (Sum of Rows 8 & 9)	0	0	0	0	0	0
Supportive Services		[1] Output: Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	120	120			\$54,876.32	\$54,876.32
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	0	0			0	0
12.	Adjustment for duplication (subtract)	0	0				
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	120	120			\$54,876.32	\$54,876.32
Housing Information Services		[1] Output: Households				[2] Output: Funding	
14.	Housing Information Services	0	0			0	0
15.	Total Housing Information Services	0	0			0	0

Comment [MS1]: These totals are just slightly off (by cents) – looks like a rounding discrepancy. When I double checked I got \$450,237.73. Please adjust the cents in this total or in one of the amounts above, in rows 1-5.

Comment [DD2]: TBRA number was incorrect. Should have been 367,694.15

Comment [DD3]: Verified and corrected the number of households

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
17.	Technical Assistance (if approved in grant agreement)					0	0
18.	Grantee Administration (maximum 3% of total HOPWA grant)					\$11,229.16	\$11,229.16
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					\$38,394.98	\$38,394.98
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)	0	0	0	0	\$49,624.14	\$49,624.14
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					\$554,738.20	\$554,738.20

Comment [MS4]: If the cents in row 7 above change, update the cents in this total as well.

Comment [DD5]: This is the correct total.

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	115	\$53,851.36
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	5	\$1,024.96
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	120	
16.	Adjustment for Duplication (subtract)	0	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	120	\$54,876.32

Comment [MS6]: Please add the number of households that were served with the HOPWA funds listed in column 2.

Comment [DD7]: The information in this row was incorrect.

Comment [MS8]: This total does not match the Supportive Services total data check from Part 3, Chart 1, row 13 above – there it was listed that \$54,876.32 was expended on supportive services this operating year. Please update so that the two totals match.

Comment [DD9]: Numbers have been corrected.

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u> Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	83	\$65,059.25
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	8	\$15,723.68
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	1	\$3,221.45
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	55	\$32,538.96
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	3	\$5,999.85
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	16	\$487.03
g.	Direct program delivery costs (e.g., program operations staff time)		0

Comment [MS10]: This does not match the STRMU expenditure data check of **\$65,059.25** as reported in Part 3, Chart 1, row 4 above. Please adjust column 2 of this chart so that the two totals match.

Comment [DD11]: Completed the review

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)
A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	83	6	1 Emergency Shelter/Streets	1	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	11	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	65	
			6 Institution	0	Unstable Arrangements
			7 Jail/Prison	0	
			8 Disconnected/Unknown	0	Life Event
			9 Death	1	
Permanent Supportive Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	0	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	Unstable Arrangements
			7 Jail/Prison	0	
			8 Disconnected/Unknown	0	Life Event
			9 Death	0	

Comment [MS12]: Are the amounts in this section perhaps entered by mistake or entered as PHP Services? No households were reported as served with **Permanent Supportive Housing Facilities** above in Part 3, Chart 1, row 2a above. If none were served with Facilities, you can simply delete these amount in this section.

Comment [DD13]: Deleted information.

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets	0	Unstable Arrangements
			2 Temporary Housing	0	Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing	0	Stable/Permanent Housing (PH)
			4 Other HOPWA	0	
			5 Other Subsidy	0	
			6 Institution	0	

			7 Jail/Prison	0	Unstable Arrangements
			8 Disconnected/unknown	0	
			9 Death	0	Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months			0		

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
83	Maintain Private Housing <u>without</u> subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	68	Stable/Permanent Housing (PH)
	Other Private Housing without subsidy (e.g. client switched housing units and is now stable, not likely to seek additional support)	10	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	4	
	Institution (e.g. residential and long-term care)	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	Temporarily Stable, with Reduced Risk of Homelessness
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	0	
	Temporary/Non-Permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	1	
	Emergency Shelter/street	0	Unstable Arrangements
	Jail/Prison	0	
	Disconnected	0	
	Death	0	Life Event
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			29
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			20

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	187
b. Case Management	120
c. Adjustment for duplication (subtraction)	0
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	307
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	

Comment [MS14]: Feel free to call me to go over this section.

Comment [DD15]: Numbers corrected

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	171	n/a	Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	176	n/a	Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	176	n/a	Access to Health Care
4. Accessed and maintained medical insurance/assistance	173	n/a	Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	142	n/a	Sources of Income

Comment [MS16]: Please double check and confirm the household totals below. The households reported below ideally should be equal to the total unduplicated # of hhs served with EITHER case management or housing subsidy assistance – so, 256 as reported above in Chart 1a, row d.

While not a data error,

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children's Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran's Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran's Disability Payment • Retirement Income from Social Security • Worker's Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

***Note:** This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*

***Note:** Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	34	n/a

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	0	0	0	0
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
Total Permanent HOPWA Housing Subsidy Assistance	0	0	0	0
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	0	0	0	0
Total HOPWA Housing Subsidy Assistance	0	0	5	2

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of *Stewardship Units*.

1. General information

HUD Grant Number(s)	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	174

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category	Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1. Continuing to receive HOPWA support from the prior operating year	104
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year	
2. Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	2
3. Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	4
4. Transitional housing for homeless persons	0
5. Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	6
6. Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	1
7. Psychiatric hospital or other psychiatric facility	0
8. Substance abuse treatment facility or detox center	0
9. Hospital (non-psychiatric facility)	0
10. Foster care home or foster care group home	0
11. Jail, prison or juvenile detention facility	0
12. Rented room, apartment, or house	44
13. House you own	8
14. Staying or living in someone else's (family and friends) room, apartment, or house	11
15. Hotel or motel paid for without emergency shelter voucher	0
16. Other	0
17. Don't Know or Refused	0
18. TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	174

Comment [DD17]: Numbers corrected

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	2

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of *HOPWA Eligible Individual*

Note: See definition of *Transgender*.

Note: See definition of *Beneficiaries*.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	174
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	6
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	60
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	240

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E, equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	10	4	0	0	14
3.	31 to 50 years	53	23	4	0	80
4.	51 years and Older	66	14	0	0	80
5.	Subtotal (Sum of Rows 1-4)	129	41	4	0	174
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	17	16	0	0	33
7.	18 to 30 years	13	5	0	0	18
8.	31 to 50 years	4	4	0	0	8
9.	51 years and Older	0	7	0	0	7
10.	Subtotal (Sum of Rows 6-9)	34	32	0	0	66
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	163	73	4	0	240

Comment [MS18]: While this does add up internally, it does not match the data check of 174. 174 is the total number of qualifying individuals served as reported in Part 3, chart 1, row 7, and here in Part 7A, Section 1-Chart a and Section 2-Chart a above.

Please double check numbers in this chart and adjust so that total matches the data check (remove 2).

Comment [DD19]: updated

Comment [MS20]: After totals in rows 1-5 above are adjusted, double check and update grand totals here in row 11 as needed.

Change total in row 11, Column D to 240 (to match the beneficiary data check of 240 as reported above in Part 7a, Section 2-Chart a, row 4).

Comment [DD21]: Number updated

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	16	3	7	0
2.	Asian	0	0	0	0
3.	Black/African American	8	2	2	1
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	148	74	54	43
6.	American Indian/Alaskan Native & White	1	1	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	1	0	3	2
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	174	80	66	46
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	141
2.	31-50% of area median income (very low)	24
3.	51-80% of area median income (low)	9
4.	Total (Sum of Rows 1-3)	174

Comment [MS22]: Change to 174. This total does not match the data check 174. However 174 individuals seem to be listed in rows 1-10, column 1 above already so no changes to those rows are needed – simply update this total.

Comment [MS23]: This total is not adding up quite right. When I double checked the math here, I got 80. Please adjust.

Comment [DD24]: Both numbers updated

Part 7: Summary Overview of Grant Activities
B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Comment [MS25]: Please complete

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Comment [DD26]: Not needed, as the number in the other section was incorrect.

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

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2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- ☐ Permanent Supportive Housing Facility/Units
☐ Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient	Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility <u>Specify:</u>						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

Attachment J 2016 eCart



MFA2017
ESG-CAPER-Reporting

Attachment K: Section 3 Summary Report 2016

Section 3 Summary Report					
1. Recipient Name & Address: (street, city, state, zip)		2. Federal Identification: <i>(contract/award)</i>		3. Dollar Amount of Award:	
		4. Contact Person:		5. Phone: (include area code)	
		6. Reporting Period:		7. Date Report Submitted:	
8. Program Code: * 6		(Use a separate sheet for each	9. Program Name: HOME		
Part I: Employment and Training (** Include New Hires in columns E & F.)					
A	B	C	D	E**	F**
Job Category	Number of New Hires	Number of New Hires that are Sec. 3 Residents	% of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	% of Total Staff Hours for Section 3 Employees and Trainees	Number of Section 3 Employees and Trainees
Professionals	1				
Technicians	1				
Office/Clerical	0				
Construction by Trade (List) Trade	200	35	0	0	20
Trade Roofer	4	1	20.7	20.7	1
Trade Carpenters	10	0	0	0	0
Trade Laborers	4	0	0	0	0
Trade Concrete	4	0	0	0	0
Other (List)					
Total	224	36	20.7	20.7	21
Part II: Contracts Awarded					
1. Construction Contracts:					
A. Total dollar amount of all contracts awarded on the project					\$37,877,155
B. Total dollar amount of contracts awarded to Section 3 businesses					\$1,353,116
C. Percentage of the total dollar amount that was awarded to Section 3 businesses					3.57%
D. Total number of Section 3 businesses receiving contracts					2
2. Non-Construction Contracts:					
A. Total dollar amount of all non-construction contracts awarded on the project/activity					\$389,519
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses					\$0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses					0
D. Total number of Section 3 businesses receiving non-construction contracts					0
Attempted to recruit					